



### Kaon rare decay experiments

Shiro Suzuki (Saga univ.) The 10<sup>th</sup> Yonsei-Saga Joint seminar Jan. 17, 2014

# Flavor Physics in the LHC era

- LHC provides first direct TeV scale physics
- Gauge symmetry : well tested
- Higgs sector : direct observation of Higgs particle at 125GeV !
- ▶ New era started. New physics spectrum is expected.
- Many ideas for beyond SM ..... need to be distinguished.
- Need to probe flavor structure of BSM models
- > Flavor physics and indirect searches gain importance.
- $\succ$  Esp. SM suppressed processes in B, K,  $\tau,\,\mu$  will be promising.

# Kaon system

- composite system containing s-quark
- Historically, its decay has brought us key discoveries on particle phys.

Such as ;

- $\tau \theta$  puzzle  $\rightarrow$  P violation
- Suppression of FCNC
  - GIM mechanism  $\rightarrow$  charm
- CP violation in K decay
   Kobayashi-Maskawa scheme
   Three generations of quark

Those are basis of the SM



# Kaon rare decay

> SM suppressed process is a promising research ground of BSM

- Iook for deviations from the SM by precise measurements with high intensity beam.
- Possible to reach higher mass scale
- Topics of this talk : Rare decay  $K \rightarrow \pi \nu \nu$ 
  - Golden mode in Kaon physics
  - FCNC process via loop diagram
- Will concentrate on going or coming experiments
  - $K_L \rightarrow \pi^0 \nu \nu$ ; KOTO (JPARC)
  - K<sup>+</sup> $\rightarrow \pi^+ \nu \nu$ ; NA62 (CERN), ORKA (FNAL)



### Decay branching fraction

Scale factor/ ction ( $\Gamma_i/\Gamma$ ) Confidence level											
		Leptonic and semileptonic modes									
$) \times 10^{-5}$	247										
)% S=1.2	236										
)% S=2.1	228										
s) % S=1.8	215										
)% S=1.2	205										
2) % S=1.1	133										
)% S=1.3	125										
	)% S=2.1 4)% S=1.8 )% S=1.2 2)% S=1.1 )% S=1.3										

 $\pi^+ v \overline{v}$  (1.7±1.1)×10<sup>-10</sup> BNL E787/949 --7events

### Decay branching fraction (cont.)

KL DECAY MODES	F	Fraction (Γ <sub>i</sub> /Γ)	Сог	Scale factor/ nfidence level	р (MeV/c)						
Semileptonic modes											
$\pi^{\pm} e^{\mp} \nu_e$ Called $K^0_{e3}$ .	[ <i>n</i> ]	(40.55 ±0.11	) %	S=1.7	229						
$\pi^{\pm}\mu^{\mp}\nu_{\mu}$ Called $K^{0}_{\mu3}$ .	[ <i>n</i> ]	(27.04 ±0.07	) %	S=1.1	216						

Hadronic modes, including Charge conjugation × Parity Violating (CPV) modes

$3\pi^{0}$			(19.52 $\pm 0.12$ )%	S=1.6	139
$\pi^+\pi^-\pi^0$			(12.54 $\pm 0.05$ )%		133
$\pi^+\pi^-$	CPV	[ <i>p</i> ]	( $1.966 \pm 0.010$ ) $ imes$ $10^{-3}$	S=1.5	206
$\pi^{0}\pi^{0}$	CPV		( 8.65 $\pm 0.06$ ) $ imes 10^{-4}$	S=1.8	209

$$\pi^{0} v \overline{v}$$
 < 2.6×10<sup>-8</sup> 90% C.L. KEK E391a  
(SM prediction 2.6×10<sup>-11</sup>)

# FCNC decay is suppressed

![](_page_6_Figure_1.jpeg)

## FCNC by GIM with charm

![](_page_7_Figure_1.jpeg)

### In KM scheme

• unitarity triangle for  $s \rightarrow d$  chain (u,c,t as intermediate states)

![](_page_8_Figure_2.jpeg)

► However, in general  $(s \rightarrow d) \propto V_{ud} f(m_u) V_{us}^* + V_{cd} f(m_c) V_{cs}^* + V_{td} f(m_t) V_{ts}^*$   $f(m_a)$ : these maydepend on *a*. Lim-Inami(1981) →  $m_u \ll m_c \ll m_t \Rightarrow (s \rightarrow d)$  is not exactly zero.

→ Very sensitive to the higher order contribution.

$$K \rightarrow \pi \nu \overline{\nu} \text{ decays in the SM}$$

$$S \rightarrow d \text{ transition via loop diagram}$$

$$K_{0}^{L} : \text{ Top quark dominates}$$
Superposition of  $K^{0}$  and  $\overline{K}^{0}$ 

$$A(K_{L}^{0} \rightarrow \pi^{0} \nu \overline{\nu}) \cong \frac{1}{\sqrt{2}} \left\{ A(K^{0} \rightarrow \pi^{0} \nu \overline{\nu}) - A(\overline{K}^{0} \rightarrow \pi^{0} \nu \overline{\nu}) \right\}$$
extracts imaginary part of the amplitude
$$K^{+} : \text{ Both top and charm contribute}$$

$$V_{KM} = \left( \frac{V_{ud} \quad V_{us} \quad V_{ub}}{V_{td} \quad V_{ts} \quad V_{tb}} \right) \dots S \rightarrow C \rightarrow d \text{ real}$$

$$= \left( \frac{1 - \lambda^{2}/2}{\lambda^{3} A(1 - \rho - i\eta)} \frac{\lambda^{2} A}{\lambda^{2} A} \right) + o(\lambda^{4})$$

$$V_{KM} = V_{KM} = \left( \frac{1 - \lambda^{2}/2}{\lambda^{3} A(1 - \rho - i\eta)} \frac{\lambda^{2} A}{\lambda^{2} A} \right) + o(\lambda^{4})$$

$$K \rightarrow \pi \nu \overline{\nu} \text{ decays in the SM (cont.)}$$

$$Br(K_{L} \rightarrow \pi^{0} \nu \overline{\nu}) = \kappa_{L} \left( \frac{\text{Im}(V_{ts}^{*} V_{td})}{\lambda^{5}} X_{t} \right)^{2} \propto \overline{\eta}^{2}$$

$$Br_{SM} = (2.43 \pm 0.39 \pm 0.06) \times 10^{-11} \text{ Exp. KEK E391a} \text{ Br} < 2.6 \times 10^{-8} \text{ CP violating}$$

$$Br(K^{+} \rightarrow \pi^{+} \nu \overline{\nu}) = \kappa_{+} (1 + \Delta_{EM})$$

$$\times \left| \frac{V_{ts}^{*} V_{td}}{\lambda^{5}} \cdot X_{t} + \frac{\text{Re} V_{cs}^{*} V_{cd}}{\lambda} \cdot (P_{c} + \delta P_{c,u}) \right|^{2} \propto \overline{\eta}^{2} + (\overline{\rho}_{c} - \overline{\rho})^{2}$$

$$Br_{SM} = (7.81 \pm 0.75 \pm 0.29) \times 10^{-11} \text{ Exp. BNL E787/949} \text{ Br} = (1.73^{+1.15}_{-1.05}) \times 10^{-10} \text{ CP violation} K_{\ell 3}$$

$$(\kappa_{L} \rightarrow \pi^{-} e^{+} \nu_{e}, \kappa^{+} \rightarrow \pi^{0} e^{+} \nu_{e} \text{ etc.})$$
Theoretical uncertainty is small Golden modes of K physics
$$X_{L} : \text{ top quark contribution} K_{\ell 3} \text{ (soft \& long distace)}$$

 $K_L \rightarrow \pi^0 v v$  is a CPV process

• vv via Z\* CP+, L = I to make J = 0

 $\rightarrow$  final state CP + , but initial state (K<sub>L</sub> ) CP -

$$\begin{array}{l} A(K_{L} \rightarrow \pi^{0} v \overline{v}) \\ = \frac{1}{\sqrt{1 + \varepsilon^{2}}} \Big[ A(K_{2} \rightarrow \pi^{0} v \overline{v}) + \varepsilon A_{1}(K_{1} \rightarrow \pi^{0} v \overline{v}) \Big] \\ = \frac{1}{\sqrt{1 + \varepsilon^{2}}} \Big[ (1 + \varepsilon) A(K^{0} \rightarrow \pi^{0} v \overline{v}) - (1 - \varepsilon) A(\overline{K}^{0} \rightarrow \pi^{0} v \overline{v}) \Big] \\ \propto V_{td}^{*} V_{ts} - V_{ts}^{*} V_{td} \approx 2i\eta \end{array}$$
 Sensitive to CKM matrix element   
 
$$\begin{array}{l} \text{Im}(V_{td}) = \eta \\ \text{Theoretically} \\ \text{clean} < 2\% \end{array}$$

- Measurement is independent from B physics – cross check
- FCNC : suppressed in the SM

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> Any new amplitude expected to give large effect

![](_page_11_Figure_7.jpeg)

### $K \rightarrow \pi vv$ : BSM phys. adds extra phase

+

![](_page_12_Figure_1.jpeg)

![](_page_12_Figure_2.jpeg)

THDM: two-HIggs-doublet model MSSM: minimal-supersymmetric SM mUED: minimal universal extra dimension LHT : littlest Higgs model with T parity

![](_page_12_Figure_4.jpeg)

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# Effect of Physics beyond SM

- Enumeration of BSM candidates ... mentioned by Buras et al
  - Little Higgs Model with T-parity
  - RS model based on a warped extra dimension
  - MSSM
  - THDM
  - Extra Z penguin
  - 4-th generation
  - …and others
- Most of these models give possibility of enhancing  $Br(K^+ \rightarrow \pi^+ \nu \nu)$  and/or  $Br(K^0 \rightarrow \pi^0 \nu \nu)$  by factor of 3 to 10 (or more) w.r.t. SM value

![](_page_14_Figure_0.jpeg)

# Little Higgs model with T-parity

![](_page_15_Figure_1.jpeg)

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# RS model

Calculation by Buras et al.

- proposed to solve hierarchy problem between Planck / weak scale
- KK fermions and bosons
   Flavor changing Z coupling

![](_page_16_Figure_4.jpeg)

Also strong correlation between  $K_L \rightarrow \pi^0 v \overline{v}$  and  $K_L \rightarrow \pi^0 e^+ e^- / \mu^+ \mu^-$ 

![](_page_16_Figure_6.jpeg)

### Experiments on $K \rightarrow \pi v \overline{v}$

![](_page_17_Figure_1.jpeg)

# Upper limit of $K_L \rightarrow \pi^0 \nu \nu$ KEK-PS E391a

Signature of  $K_L \rightarrow \pi^0 \nu \nu$  decay :  $2\gamma$  + nothing

 Detecting nγ (n=1,2,4..) and nothing else → CsI calorimeter and Hermetic veto system with high eff.
 BG source : K<sub>L</sub>→π<sup>0</sup>π<sup>0</sup> if 2γ missed (BR ~10<sup>-3</sup>)

 $\leftrightarrow$  K<sub>L</sub> $\rightarrow \pi^0 \nu \nu$  BR<sub>SM</sub> = 2.8 × 10<sup>-11</sup> reduction of 10<sup>-8</sup> !!

### Pencil beam

• Reconstruct  $\gamma$  assuming  $\pi^0$  decays on the beam axis

![](_page_18_Figure_6.jpeg)

# Kinematics of $K_L \rightarrow \pi^0 \nu \nu$

### Signal reconstruction

- Assume :  $2\gamma$  come from  $\pi^0$  on beam axis  $\rightarrow$  calculate  $Z_{ytx}$
- Calculate  $P_t$  of  $\pi^0$
- $(Z_{vtx}, P_t)$  defines signal region

![](_page_19_Figure_5.jpeg)

![](_page_20_Figure_0.jpeg)

# E391a results (full sample)

•  $Z_{vtx}$  vs  $P_T(\pi^0)$  defines good signal box for  $\pi^0 vv$ 

- Single event sensitivity  $(1.11 \pm 0.02 \pm 0.10) \times 10^{-8}$
- 0 event remained in the box

![](_page_21_Figure_4.jpeg)

### Evolution of the upper limit of $K_L \rightarrow \pi^0 v v$

![](_page_22_Figure_1.jpeg)

# Grossman-Nir bound

PLB 398 (1997) 163

$$\begin{aligned} r_{is} &\times \frac{\Gamma(K_L \to \pi^0 \nu \bar{\nu})}{\Gamma(K^+ \to \pi^+ \nu \bar{\nu})} &= sin^2 \theta \\ & \text{isospin} \\ & \text{breaking} \\ & \text{correction} \quad \frac{BR(K_L \to \pi^0 \nu \bar{\nu})}{BR(K^+ \to \pi^+ \nu \bar{\nu})} < \frac{\tau_{K_L}}{\tau_{K^+}} \times \frac{1}{r_{is}} = 4.371... \simeq 4.4 \\ & BR(K_L \to \pi^0 \nu \bar{\nu}) < 4.4 \times UL_{90\%}(K^+ \to \pi^+ \nu \bar{\nu}) \end{aligned}$$

• Current exp. Bound  

$$Br(K^+ \rightarrow \pi^+ \nu \overline{\nu}) = 1.73^{+1.15}_{-1.05} \times 10^{-10}$$
 BNL E949  
 $< 3.1 \times 10^{-10} \Rightarrow \text{GN limit } 1.4 \times 10^{-9}$   
 $Br(K_L^0 \rightarrow \pi^0 \nu \overline{\nu}) < 2.6 \times 10^{-8}$  KEK E391a Morii et al.,  
PRD 81,072004 (2010)  
--- current exp. limit is ~20 times larger than GN limit

### Evolution of the upper limit of $K_L \rightarrow \pi^0 v v$

![](_page_24_Figure_1.jpeg)

![](_page_25_Picture_0.jpeg)

![](_page_26_Picture_0.jpeg)

![](_page_26_Picture_1.jpeg)

![](_page_27_Picture_0.jpeg)

### Detector upgrade E391a→KOTO

- Csl calorimeter with KTeV crystal
  - ▶ Longer 30cm  $\rightarrow$  50cm (27X<sub>0</sub>), Finer granularity 7x7  $\rightarrow$  2.5x2.5cm<sup>2</sup>
  - $\Rightarrow$  Better energy and positional resolution
- New Veto and beam hole counters
  - CC with Csl crystal, Charged Veto with thinner scintillator
  - $\Rightarrow$  Suppress halo neutron background

![](_page_27_Figure_8.jpeg)

![](_page_28_Picture_0.jpeg)

### Csl calorimeter

# Main Barrel Veto

![](_page_28_Picture_3.jpeg)

![](_page_28_Picture_4.jpeg)

![](_page_29_Picture_0.jpeg)

## Charged Veto in front of Csl

![](_page_29_Picture_2.jpeg)

![](_page_30_Picture_0.jpeg)

### Upstream veto counters

![](_page_30_Picture_2.jpeg)

![](_page_30_Picture_3.jpeg)

Neutron Collar Counter (NCC) newly constructed

Placed inside the FB FB+NCC slid into MB  $\downarrow$ 

Front Barrel (FB) From E391a re-assembled

![](_page_30_Picture_7.jpeg)

![](_page_30_Picture_8.jpeg)

![](_page_31_Picture_0.jpeg)

### Vacuum vessel

Nomura, PIC 2013

![](_page_31_Figure_3.jpeg)

Nomura, PIC 2013

![](_page_32_Picture_1.jpeg)

### calibration signal at the engineering run

![](_page_33_Figure_1.jpeg)

![](_page_34_Picture_0.jpeg)

# calibration signal at the engineering run

![](_page_34_Figure_2.jpeg)

![](_page_35_Picture_0.jpeg)

### 1<sup>st</sup> Physics run

- Scheduled in May June 2013 (25kW)
- Expected to reach the Grossman-Nir limit
- BUT... a radiation accident on May 23 at hadron hall terminated the run at ~1/5 of planned statistics (already equivalent to E391a).
- Analysis of these data is on going.

![](_page_35_Figure_6.jpeg)

# **KOTO** milestone

- Dec. 2012 : Engineering run with full detector
- May 2013 : Physics run! ... till the acccident

Reinforce safety measures

• Autumn or winter 2014 : Hope to restart physics run,  $10^{-11}$ and cross the GN limit.  $10^{18}$ 

Upgrade barrel Veto

In 3-4 years : reach to SM sensitivity

![](_page_36_Figure_7.jpeg)

![](_page_37_Figure_0.jpeg)

# $K^+ \rightarrow \pi^+ \nu \overline{\nu}$ measurement to come

### NA62 (CERN)

- "Decay in flight" experiment
- Aims to collect ~100events
- ► CERN NA3I  $\rightarrow$  NA48  $\rightarrow$  NA62

(neutral K  $\varepsilon'/\varepsilon$  rare decays  $\rightarrow$  K<sup>+</sup> rare decays)

Construction & engineering run

### • ORKA (FNAL)

- Stopped K<sup>+</sup> experiment using FNAL main injector
- Aims to collect ~1000events
- ▶ BNL E787  $\rightarrow$  E949(record holder)  $\rightarrow$  FNAL ORKA
- Scientific approval / R&D on going

![](_page_38_Picture_12.jpeg)

![](_page_38_Picture_13.jpeg)

![](_page_39_Picture_0.jpeg)

## NA62 kinematics and BG

![](_page_39_Figure_2.jpeg)

![](_page_40_Picture_0.jpeg)

## NA62 detector

F. Harn @ Kaon 13

![](_page_40_Figure_3.jpeg)

 $\Rightarrow$  4.5 × 10<sup>12</sup> K<sup>+</sup> decays/year in fiducial region

![](_page_40_Picture_5.jpeg)

![](_page_41_Picture_0.jpeg)

# NA62 K<sup>+</sup> in, $\pi^+$ out PID

![](_page_41_Figure_2.jpeg)

![](_page_42_Picture_0.jpeg)

#### NA62 detector Trackers F. Harn @ Kaon 13 7-10 MHz of Muon Rate 750 MHz primary in the Detectors Hadron beam at 75GeV/c 6% are Kaons CEDAR GTK • • C Target **50 MHz** 750 MHz K<sup>+</sup> rate rate RICH LKr MUV **Decay Region 65m** Straw Tracker For $\pi^+$ For $\pi^+$ Thin Si pixel detectors Straw tubes $\Delta \theta \sim 0.016$ mrad. Δx ~ I40μm ∆t ~ 200ps

![](_page_43_Picture_0.jpeg)

#### NA62 detector Veto counters F. Harn @ Kaon 13

![](_page_43_Figure_2.jpeg)

![](_page_44_Picture_0.jpeg)

# ORKA kinematics and BG

![](_page_44_Figure_2.jpeg)

![](_page_45_Picture_0.jpeg)

## **ORKA** detector

![](_page_45_Figure_2.jpeg)

![](_page_46_Picture_0.jpeg)

# ORKA

### Sensitivity

- > 210 SM events/year with FNAL main injector
- Improvements over BNL E949
  - ► K beam flux ×10 (improvement of 2ry beam line)
  - Acceptance ×II (PID, DAQ,...)
- 5% measurement in 5 years
  - Statistic + systematic
- Detector R&D on going
  - Leadglass+scint. Cal
  - GEM tracker

![](_page_47_Figure_0.jpeg)

## Summary

- BSM physics should be studied in the various approach, and rare Kaon decay is a promising research target.
- $K \rightarrow \pi v v$  rare decay experiment has made progress with step by step approach. That will reach the immediate milestone (GN bound) in a year.
- Precision data on the  $K \rightarrow \pi v v$  process for a serious test of the SM will be available in 4 ~ 5 years.
  - $K_L \rightarrow \pi^0 \nu \nu$  by KOTO (JPARC)

![](_page_48_Picture_5.jpeg)

► K<sup>+</sup> $\rightarrow \pi^+ \nu \nu$  by NA62 (CERN), ORKA (FNAL)

![](_page_48_Picture_7.jpeg)

![](_page_49_Picture_0.jpeg)