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# Search for $D^0 \rightarrow$ invisible final states in Belle II experiment

Chanho Kim\*, Youngjoon Kwon†,

*Yonsei University*

## Abstract

1 We measured the branching fraction of  $D^0 \rightarrow K^- \pi^+$  control mode decay and  
2 the upper limit of Branching fraction of  $D^0$  to invisible final states signal mode  
3 on  $1 \text{ ab}^{-1}$  generic MC which contains mixed, charged,  $q\bar{q}(q = u, d, s, c)$  events.  
4 the measurement of absolute branching fraction of the signal and control mode is  
5 performed with charm tagging method. the results are shown in the below.

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# 1 Motivation

In standard model, the decay  $D^0$  to the invisible final states correspond to the  $D^0 \rightarrow \nu\bar{\nu}$  decay and its Feynmann diagrams are shown in Figure 1. however as shown in the figure 2, the  $D^0 \rightarrow \nu\bar{\nu}$  is helicity suppressed with expected branching fraction of  $10^{-30}$  order, which is beyond current collider experiment. but the  $\text{Br}(D^0 \rightarrow \text{invisible})$  can be enhanced in some of dark matter model. if signal is observed, then it would be a sign of new physics. in other words, the search for  $D^0$  to the invisible final states is very sensitive to new physics.

The previous research in Belle expeirment had result of upper limit estimation of Branching fraction. its value is  $9.4 \times 10^{-5}$  at 90% confidence level on  $924\text{fb}^{-1}$  data samples.

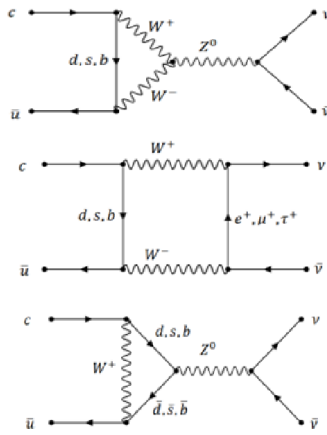


Figure 1: Feynman diagram of signal MC

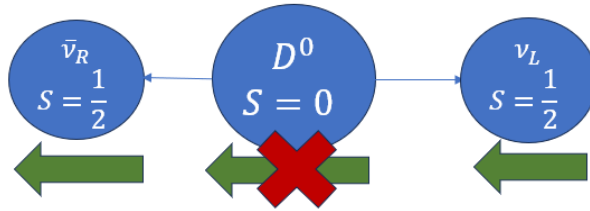


Figure 2: Scheme of helicity suppressing

# 2 Data Sample

The analysis is based on the  $1\text{ab}^{-1}$  MC15 run independent samples that contains mixed, charged and  $q\bar{q}$  ( $q = u, d, s, c$ ) events and the signal MC which have 20M  $e^+e^- \rightarrow c\bar{c} \rightarrow D_{tag} X_{frag} D_{sig}^{*+}$  and  $D_{sig}^{*+} \rightarrow D_{sig}^0 \pi^\pm$  and  $D_{sig}^0 \rightarrow \nu\bar{\nu}$  event and 20M  $D^0 \rightarrow K^- \pi^+$  events as control sample.

## 3 Charm tagger : Inclusive $D^0$ reconstruction

### 3.1 Overview

Since the signal  $D^0$  is invisible, so direct reconstruction of signal  $D^0$  is not possible. so, we need to reconstruct the signal  $D^0$  indirectly. To obtain the inclusive  $D^0$  sample, the process followed by  $e^+e^- \rightarrow c\bar{c}$  which we are going to reconstruct is

$$e^+e^- \rightarrow c\bar{c} \rightarrow D_{tag}^{(*)}X_{frag}D^{*+}, D^{*+} \rightarrow D^0\pi^+$$

Through this process, one of the c quark hadronizes into  $D_{tag}^{(*)}$ , which is going to be reconstructed as tag side.  $D_{tag}^{(*)}$  includes ground state  $D_{tag}(D^0, D^+, D_s^+ \text{ and } \Lambda_c^+)$  and excited state  $D_{tag}^*(D^{*0}, D^{*+} \text{ and } D_s^{*+})$ . Since the CM energy of KEKB is above the  $c\bar{c}$  mass threshold, for the  $e^+e^- \rightarrow c\bar{c}$  production, besides two hadrons with c flavor would be generated from the 2 c jets, other unflavored mesons would also be generated from fragmentation, which is denoted as  $X_{frag}$ .  $X_{frag}$  consists of any number of pions or  $\gamma$ , and even number of Kaons. The information of recoil  $D^*$  is the missing momentum against the reconstructed  $D_{tag}^{(*)}$  and  $X_{frag}$ . We use the inverse mass constrained fit to improve the resolution of recoil  $D^*$  momentum and to suppress background from many fragmentation particle combinations. With tagging on a extra slow  $\pi^+$  from recoil  $D^{*+}$ , we can finally reconstruct the inclusive  $D^0$ . The yield of inclusive  $D^0$  is obtained by the fit on missing mass distribution recoiling against  $D_{tag}^{(*)}$ ,  $X_{frag}$  and  $\pi_s^+$ .

One example of the signal events that consist of  $D_{tag}^* = D^{*+}(\rightarrow D^+(\rightarrow K^-\pi^+\pi^+)\pi^0)$  and  $X_{frag} = K^+K^-$  is the below figure. In this example, I reconstructed  $D^{*+} \rightarrow D^+(\rightarrow K^-\pi^+\pi^+)\pi^0$  and  $K^+K^-$  directly and then reconstruct signal side  $D^{*-}$  by calculating missing momentum against of this  $D_{tag}^*$  and  $X_{frag}$ .

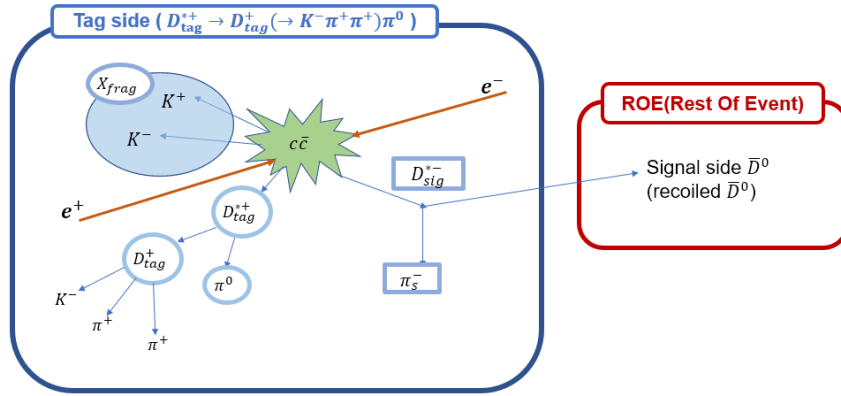


Figure 3: one example of signal events

Measurement of absolute branching fraction of  $D^0$  to an exclusive final state  $f$  is determined by this formula:

$$\text{Br}(D^0 \rightarrow f) = \frac{N_{excl}(D^0 \rightarrow f)}{N_{incl}^{D^0} \cdot \epsilon(D^0 \rightarrow f|incl.D^0)}$$



48 where  $N_{incl}^{D^0}$  represents the total number of inclusive  $D^0$  reconstructed by the charm tag-  
49 ger,  $N_{excl}(D^0 \rightarrow f)$  is signal yield of exclusive  $D^0 \rightarrow f$  decay, and the  $\epsilon(D^0 \rightarrow f|incl.D^0)$   
50 is the signal side selection efficiency of  $D^0 \rightarrow f$  decay with a given inclusive  $D^0$  sam-  
51 ple. Basically, the signal side selection is usually constraints on the remaining detector  
52 information for specific  $D^0$ . For instance, we will require there is no remaining detector  
53 information at signal side for  $D^0 \rightarrow \nu\bar{\nu}$  study.

54 In order to get better statistics, we reconstruct as many tag side decay channels as possi-  
55 ble. for this reason, one of the main background source is from mis-reconstruction of tag  
56 side. So, the BDT is also being used for suppressing background from mis-reconstructed  
57 tag side.

58 This signal event reconstruction procedure is called as Charm Tagger and basf2 release-  
59 06 is used for MC production and basf2 [light-2405-quaxo](#) version is used for reconstruction.

### 60 3.2 $D_{tag}$ reconstruction

61 The first step is to reconstruct the ground state charm hadron  $D_{tag}$ . I used 4 types of  
62  $D_{tag}$  and totally 51 decay channels are reconstructed and all these channels are listed in  
63 Table 1. All reconstructions except for  $D^0 \rightarrow K_S^0\pi^0$ ,  $D^+ \rightarrow \pi^+\pi^0$  and  $\Lambda_c^+ \rightarrow \Sigma^+\pi^0$  were  
64 done with vertex fit. applying vertex fit on these 3 channels is not possible due to degree  
65 of freedom issue for vertex fit.

$D^0$ decay	$Br(\%)$	$D^+$ decay	$Br(\%)$	$\Lambda_c^+$ decay	$Br(\%)$	$D_s^+$ decay	$Br(\%)$
$K^-\pi^+$	3.9	$K^-\pi^+\pi^+$	9.4	$pK^-\pi^+$	5.0	$K^+K^-\pi^+$	5.5
$K^-\pi^+\pi^0$	13.9	$K^-\pi^+\pi^+\pi^0$	6.1	$pK^-\pi^+\pi^0$	3.4	$K_S^0K^+$	1.5
$K^-\pi^+\pi^+\pi^-$	8.1	$K_S^0\pi^+$	1.5	$pK_S^0$	1.1	$K_S^0K_S^0\pi^+$	5.4
$K^-\pi^+\pi^+\pi^-\pi^0$	4.2	$K_S^0\pi^+\pi^0$	6.9	$\Lambda^0\pi^+$	1.1	$K^+K^-\pi^+\pi^0$	5.6
$K_S^0\pi^+\pi^-$	2.9	$K_S^0\pi^+\pi^+\pi^-$	3.1	$\Lambda^0\pi^+\pi^0$	3.6	$K_S^0K^-\pi^+\pi^+$	1.5
$K_S^0\pi^+\pi^-\pi^0$	5.4	$K^+K^-\pi^+$	1.0	$\Lambda^0\pi^+\pi^+\pi^-$	2.6	$K^+\pi^-\pi^+K_S^0$	1.0
$K^-\pi^+\pi^0\pi^0$	8.9	$K^-K^+\pi^+\pi^0$	0.7	$p^+\pi^-\pi^+$	0.5	$\pi^+\pi^-\pi^+$	1.0
$\pi^-\pi^+$	0.1	$\pi^-\pi^+\pi^+$	0.3	$p^+K^-K^+$	0.1	$\pi^+K_S^0$	0.1
$\pi^-\pi^+\pi^-\pi^+$	0.8	$\pi^-\pi^+\pi^+\pi^0$	1.2	$p^+K^-\pi^+\pi^0\pi^0$	0.1	$\pi^+\pi^0K_S^0$	0.5
$\pi^-\pi^+\pi^0$	1.5	$K^+K_S^0K_S^0$	0.3	$p^+\pi^-\pi^+\pi^-\pi^+$	0.2	$K^-K^+\pi^+\pi^-\pi^+$	0.7
$\pi^-\pi^+\pi^0\pi^0$	1.0	$\pi^+\pi^0$	0.1	$p^+K_S^0\pi^0$	2.0		
$K^-K^+$	0.4			$p^+K_S^0\pi^+\pi^-$	1.6		
$K^-K^+\pi^0$	0.3			$\pi^+\pi^-\Sigma^+$	4.5		
$K^-K^+K_S^0$	0.4			$\pi^+\pi^-\pi^0\Sigma^+$	1.2		
$\pi^0K_S^0$	1.2			$\pi^0\Sigma^+$	1.2		
sum	53.1	sum	30.5	sum	28.2	sum	22.8

Table 1:  $D_{tag}$  decay channels (note :  $\pi^+\pi^-\pi^0\Sigma^+$  not measured yet)

#### 66 3.2.1 Preselection for final state particles

- 67 • Selection for charged tracks
  - 68 –  $dr < 1.0$  and  $|dz| < 3.0$  and  $\theta \in \text{InCDCAcceptance}$
- 69 • PID selection for Charged hadrons ( $\pi^+$ ,  $K^+$  and  $p^+$ )

- 70       –  $\pi^+$  : 15 candidates with highest pionID after pionID > 0.01  
71       –  $K^+$  : 10 candidates with highest kaonID after kaonID > 0.1  
72       –  $p^+$  : 10 candidates with highest protonID after protonID > 0.1
- 73       • selection for  $\pi^0$ ,  $K_S^0$ ,  $\Sigma^+$  and  $\Lambda^0$
- 74       –  $K_S^0$  :  $0.468 \text{ GeV}/c^2 < M < 0.506 \text{ GeV}/c^2$  and goodBelleKshort == 1  
75       –  $\Sigma^+$  :  $1.08 \text{ GeV}/c^2 < M < 1.28 \text{ GeV}/c^2$   
76       –  $\pi^0$  :  
77           \* standard eff40 May2020  $\pi^0$   
78           \* beamBackgroundSuppression > 0.5 and fakePhotonSuppression > 0.1 for  
79            each daughter  $\gamma$   
80       –  $\Lambda^0$  :  
81           \*  $1.111 \text{ GeV}/c^2 < M < 1.121 \text{ GeV}/c^2$   
82           \*  $dr > 0.1$   
83           \*  $\chi^2 < 100$   
84           \*  $\cos\text{AngleBetweenMomentumAndVertexVectorInXYPlane} > 0.99$

### 85 3.2.2 Preselection for $D_{tag}$ candidate

86       For  $D_{tag}$  reconstruction, I applied some selections on mass and momentum variables  
87       and if vertex fit was applied, selection on  $\chi^2$ . this is just preselection so just only some  
88       basic selections were applied such as roughly mass cut covering the nominal mass and  
89       selection on  $p^*$  for suppressing charm particle from B meson decay and accept only  
90       reasonable scaled momentum(xp) value. the below table is about this preselection.

$D^0$	$1.81 \text{ GeV}/c^2 < M < 1.91 \text{ GeV}/c^2$ $p^* > 2.3 \text{ GeV}$ and $xp > 0.0$ $\chi^2 < 20$
$D^+$	$1.81 \text{ GeV}/c^2 < M < 1.91 \text{ GeV}/c^2$ $p^* > 2.3 \text{ GeV}$ and $xp > 0.0$ $\chi^2 < 20$
$\Lambda_c^+$	$2.23 \text{ GeV}/c^2 < M < 2.33 \text{ GeV}/c^2$ $p^* > 2.3 \text{ GeV}$ and $xp > 0.0$ $\chi^2 < 20$
$D_s^+$	$1.91 \text{ GeV}/c^2 < M < 2.0 \text{ GeV}/c^2$ $p^* > 2.3 \text{ GeV}$ and $xp > 0.0$ $\chi^2 < 20$
Note	selection about $\chi^2$ is only on those reconstructed channels with vertex fit

Table 2: preselection for  $D_{tag}$  reconstruction

### 91 3.3 $D_{tag}^*$ reconstruction

Table 3:  $D_{tag}^*$  decay channels

$D^{*+}$ decay	Br(%)	$D^{*0}$ decay	Br(%)	$D_s^{*+}$ decay	Br(%)
$D^0\pi^+$	67.7	$D^0\pi^0$	61.9	$D_s^+\gamma$	93.5
$D^+\pi^0$	30.7	$D^0\gamma$	38.1		
sum	98.4	sum	100.0	sum	93.5

92 For reconstruction of  $D_{tag}^*$ , I used totally 5 channels listed in the table 3. in this step,  
 93  $\pi^0, \pi^\pm$  and  $\gamma$  are used for reconstruction and I also did  $\pi^0$  veto to suppress background in  
 94 case of using  $\gamma$ .

- 95 • Selection for  $\pi^\pm, \pi^0$  and  $\gamma$  to reconstruct  $D_{tag}^*$

- 96 –  $\pi^\pm$  : same selection criteria with preselection on first step of charm tagger
- 97 –  $\pi^0$  : same selection criteria with preselection on first step of charm tagger
- 98 –  $\gamma$  :

- 99 \*  $|\text{clusterTiming}| < 200$  ns and  $\left| \frac{\text{clusterTiming}}{\text{clusterErrorTiming}} \right| < 2.0$
- 100 \*  $\text{beamBackgroundSuppression} > 0.5$  and  $\text{fakePhotonSuppression} > 0.1$
- 101 \*  $E > 0.1$  GeV

- 102 • Selection for  $\pi^0$  veto on  $D^{*0} \rightarrow D^0\gamma$  and  $D_s^+ \rightarrow D_s^+\gamma$  :

103 The  $\gamma$  used in reconstruction of  $D^{*0} \rightarrow D^0\gamma$  and  $D_s^+ \rightarrow D_s^+\gamma$  can be from  $\pi^0 \rightarrow \gamma\gamma$ .  
 104 so I would like to suppress background from  $\pi^0 \rightarrow \gamma\gamma$  decay by vetoing  $\gamma$  candidate  
 105 that can be from  $\pi^0$ .

106 To do  $\pi^0$  veto, the procedure is like this :

107 I made combination using  $\gamma_{sig}$  from  $D^{*0} \rightarrow D^0\gamma$  and  $D_s^+ \rightarrow D_s^+\gamma$   
 108 and  $\gamma_{roe}$  in rest of event and check whether that combination is  $\pi^0$  like  
 109 by checking  $M_{\gamma_{sig}\gamma_{roe}}$  and if that combination is in  $\pi^0$  selection,  
 110 then veto  $D^{*0} \rightarrow D^0\gamma$  and  $D_s^+ \rightarrow D_s^+\gamma$  candidates.

- 112 –  $\gamma_{roe}$  :

- 113 \* standard loose photon:  
 114  $\text{inCDCAcceptance}$  and  $\text{clusterErrorTiming} < 10^6$   
 115  $\text{clusterE1E9} > 0.4$  or  $E > 0.075$
- 116 \* should be in Rest Of Event ( $\text{isRestOfEvent} == 1$ )
- 117 \*  $\text{beamBackgroundSuppression} > 0.5$  and  $\text{fakePhotonSuppression} > 0.1$

- 118 –  $\pi^0$  (combination of  $\gamma_{sig}$  and  $\gamma_{roe}$ ):

- 119 \*  $0.115 \text{ GeV}/c^2 < M_{\gamma_{sig}\gamma_{roe}} < 0.150 \text{ GeV}/c^2$
- 120 \*  $\left| \frac{E_{\gamma_1} - E_{\gamma_2}}{E_{\gamma_1} + E_{\gamma_2}} \right| < 0.5$
- 121 \* select one  $\pi^0$  candidate with smallest dM

122 **3.3.1 Preselection for  $D_{tag}^*$  candidate**

Table 4: Selections of  $D_{tag}^*$

$D_{tag}^*$	Mass [GeV/c <sup>2</sup> ]	$\Delta M$ [GeV/c <sup>2</sup> ]
$D^{*+} \rightarrow D^0\pi^+$	1.96 ~ 2.06	0.14 ~ 0.15
$D^{*+} \rightarrow D^+\pi^0$	1.96 ~ 2.06	0.1375 ~ 0.1465
$D^{*0} \rightarrow D^0\pi^0$	1.95 ~ 2.05	0.1375 ~ 0.1465
$D^{*0} \rightarrow D^0\gamma$	1.95 ~ 2.05	0.125 ~ 0.160
$D_s^{*+} \rightarrow D_s^+\gamma$	2.06 ~ 2.16	0.125 ~ 0.16
Note	xp > 0.0 for all $D_{tag}^*$ vertex fit on $D^{*+} \rightarrow D^0\pi^+$	

123 **3.4 FastBDT: background suppression from  $D_{tag}^{(*)}$  reconstruct**

124 Since there are so much  $D_{tag}^{(*)}$  decay channels for tag side reconstruction, the mis-  
 125 reconstructed  $D_{tag}^{(*)}$  can be one of the main source of backgrounds. so I used fastBDT to  
 126 suppress the background from reconstruction of  $D_{tag}^{(*)}$ . I totally trained 51 BDTs for  $D_{tag}$   
 127 and 5 BDTs for  $D_{tag}^*$  for this charm tagger.

128 The training is done on  $1\text{ab}^{-1}$  MC15 run independent generic MC sample. in order  
 129 to avoid bias in training, the size of signal and background sample for each trainings was  
 130 set up as same.

131 **3.4.1 Input variables of FastBDT**

- 132 • Input variables for  $D_{tag}$  training
  - 133 – PID for charged tracks : pionID for  $\pi^+$ , kaonID for  $K^+$ , protonID for  $p^+$
  - 134 – dr(flightlength), dz (these variables used for the decay with vertex fit applied)
  - 135 – Mass : M
  - 136 – scaled momentum : xp
  - 137 – angle variables :
    - 138 \* cosAngleBetweenMomentumAndVertexVectorInXYPlane
    - 139 \* cosToThrustOfEvent
    - 140 \* cosHelicityAngle(only for 2 body or 3 body decays)
  - 141 – variables for  $\pi^0, K_S^0, \Lambda^0$  and  $\Sigma^+$  :
    - 142 \* Energy Asymmetry between two daughters :  $\left| \frac{E_{d_1} - E_{d_2}}{E_{d_1} + E_{d_2}} \right|$
    - 143 \* Angle between momentum of two daughters
- 144 • Input variables for  $D_{tag}^*$  training
  - 145 – PID for charged tracks : pionID for  $\pi^+$
  - 146 – momentum of  $\gamma$
  - 147 – dr(flightlength), dz (these variables used for the decay with vertex fit applied)

- 148 –  $\Delta M(=M_{D_{tag}^*} - M_{D_{tag}})$
- 149 – scaled momentum : xp
- 150 – angle variables :
- 151     \* cosAngleBetweenMomentumAndVertexVectorInXYPlane
- 152     \* cosToThrustOfEvent
- 153     \* cosHelicityAngle
- 154 – variables for  $\pi^0$  :
- 155     \* Energy Asymmetry between two daughters :  $\left| \frac{E_{\gamma_1} - E_{\gamma_2}}{E_{\gamma_1} + E_{\gamma_2}} \right|$
- 156     \* Angle between momentum of two daughters

### 157 3.4.2 BDT Input variable distribution

158 In this section, there are BDT input variables for training about  $D^0 \rightarrow \pi^+\pi^-K_S^0$  in  
 159 tag side. All the other training informations will be appendix section.

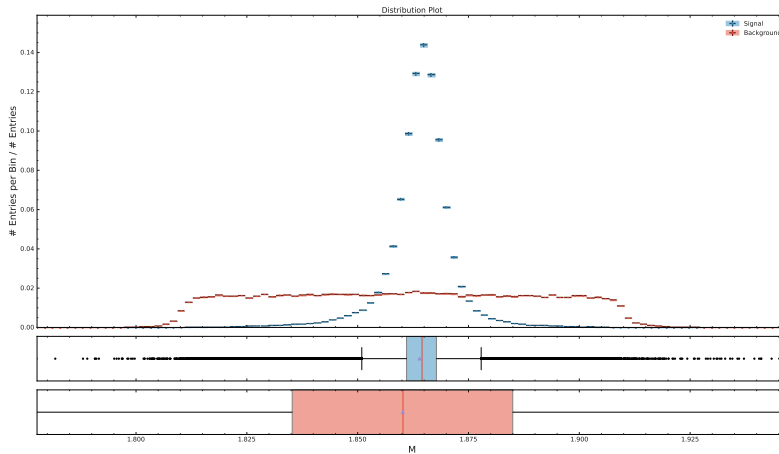


Figure 4: BDT Input variable: Mass

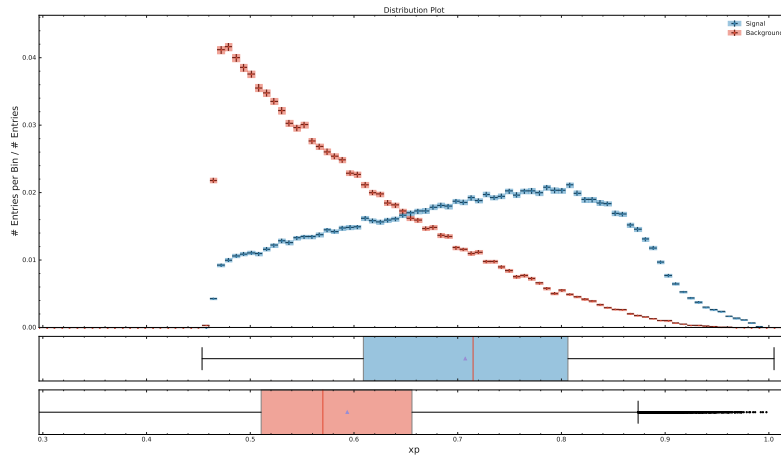


Figure 5: BDT Input variable: xp(scaled momentum)

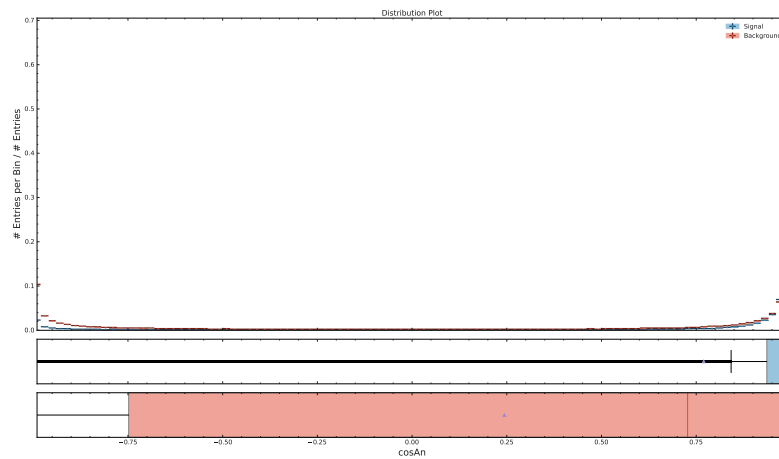


Figure 6: BDT Input variable: cosAngleBetweenMomentumAndVertexVectorInXYPlane

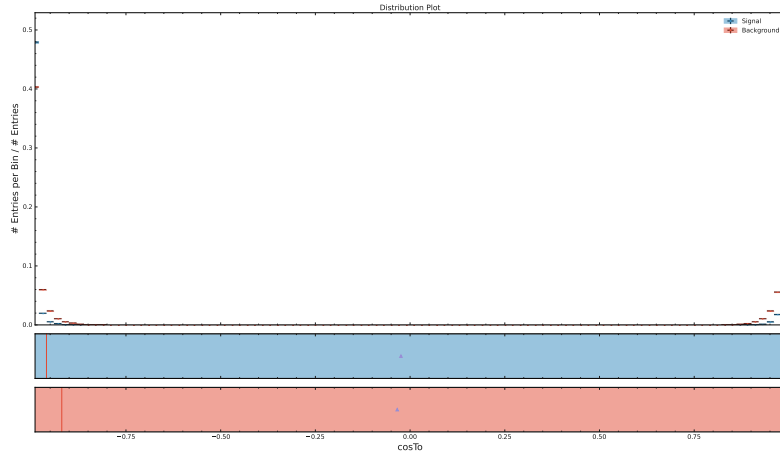


Figure 7: BDT Input variable:  $\cos\theta_{\text{ToThrust}}$

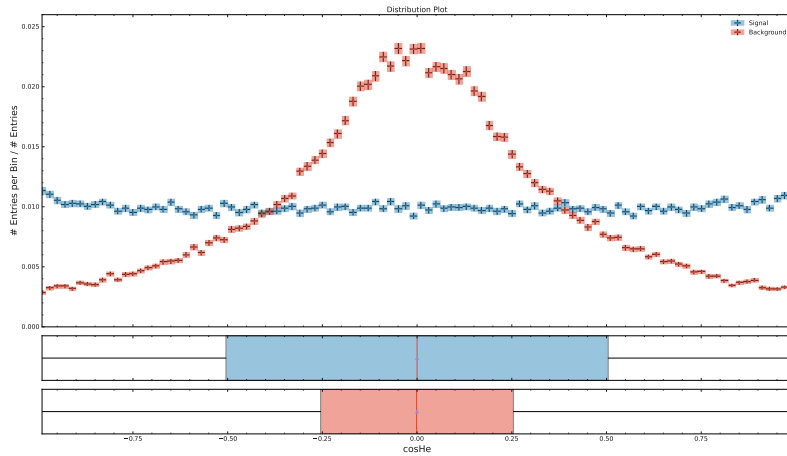


Figure 8: BDT Input variable:  $\cos\theta_{\text{Helicity}}$

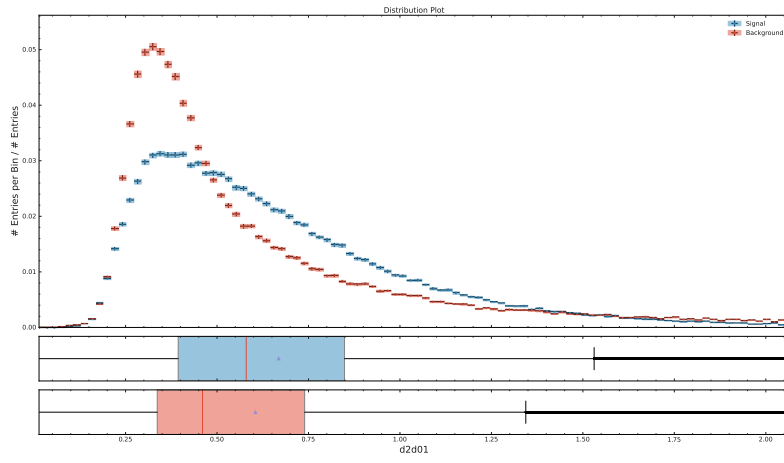


Figure 9: BDT Input variable: angle between 2 daughters of  $K_S^0$

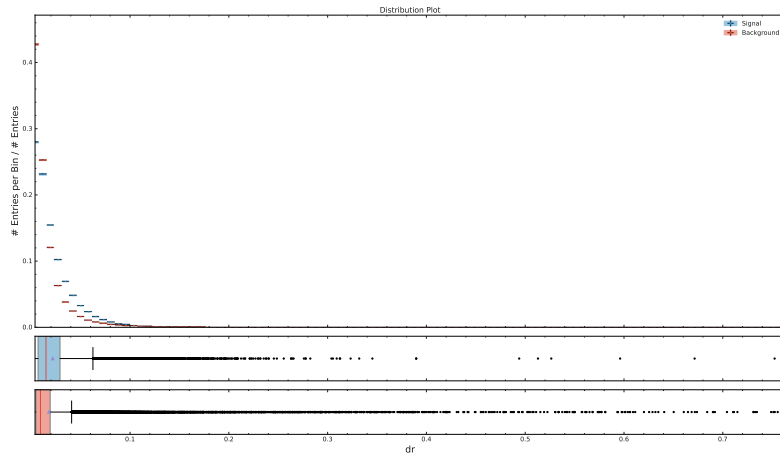


Figure 10: BDT Input variable: dr



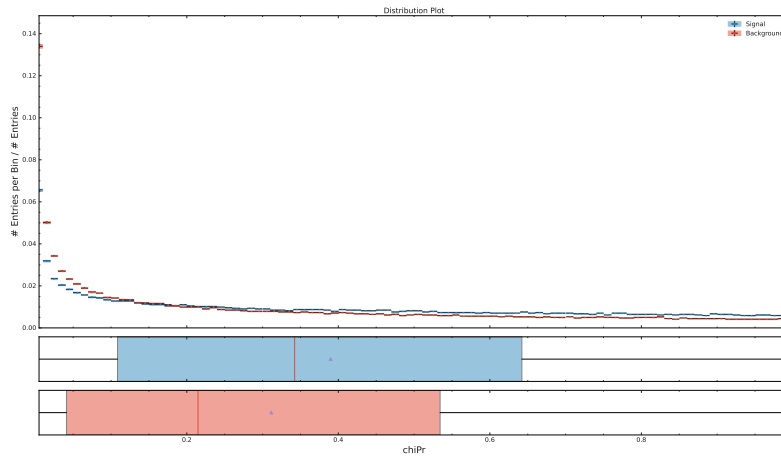


Figure 11: BDT Input variable: chiProb

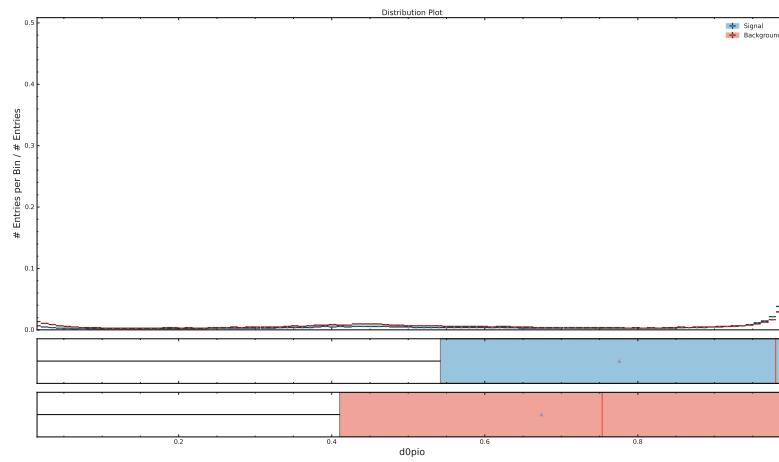


Figure 12: BDT Input variable: pionID

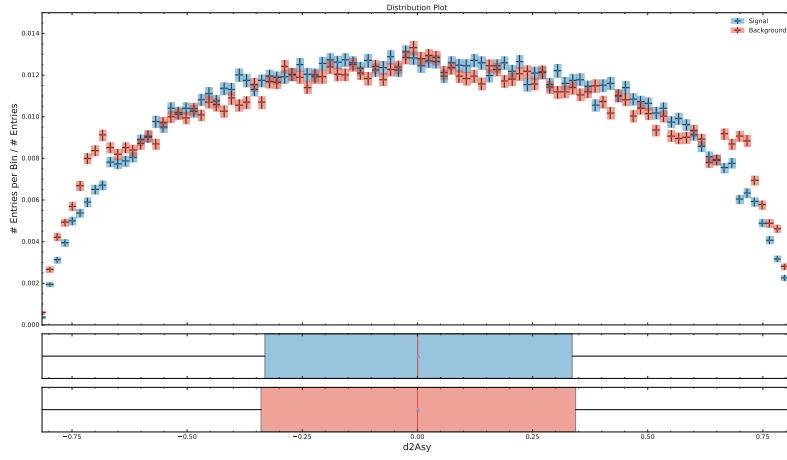


Figure 13: BDT Input variable: Energy Asymmetry

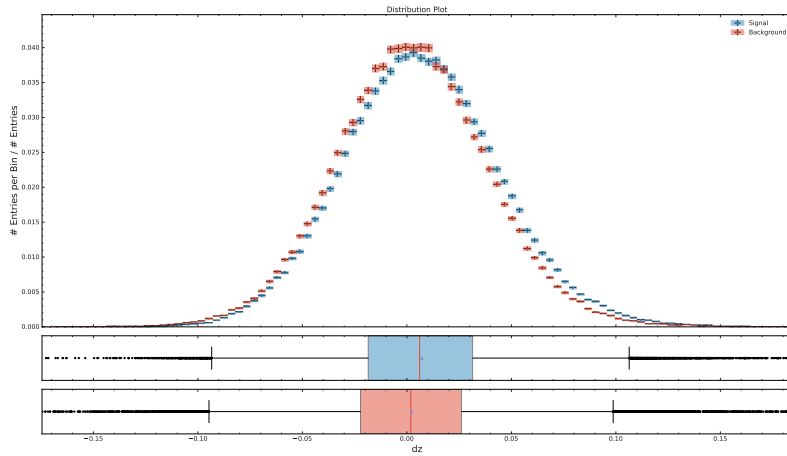


Figure 14: BDT Input variable:  $dz$

### 160 3.4.3 Check correlation on BDT Input variables

161 As first trial, as many variables as possible are used for BDT input variables and then  
 162 with checking correlation and importance of variables some variables are removed in BDT  
 163 input variable lists.

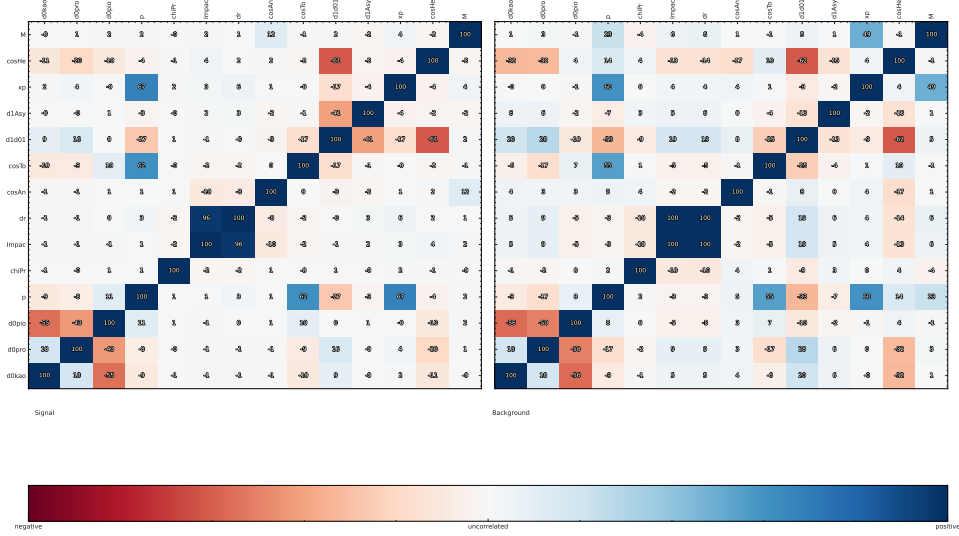


Figure 15: high correlation in old training  $\Lambda_c^+ \rightarrow p^+ K_S^0 \pi^0$

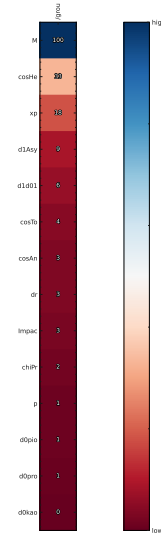


Figure 16: importance of BDT variables of training  $\Lambda_c^+ \rightarrow p^+ K_S^0 \pi^0$

164 All the pionID, kaonID and protonID for each pion, kaon and proton candidate were  
 165 used in training, but it seems not so worthful but just had correlations each variables. so  
 166 the PID variables are reduced by removing redundant kaonID, protonID for pion candidate  
 167 and pionID, protonID for kaon candidate and pionID, kaonID for proton candidate. In  
 168 case of high correlation above 50 commonly in many tag channels, variables with low

169 importance are removed. For example, there were high correlation over Q, E, p, xp  
 170 variables and xp had greater importance so Q, E, p are removed in BDT input variables.

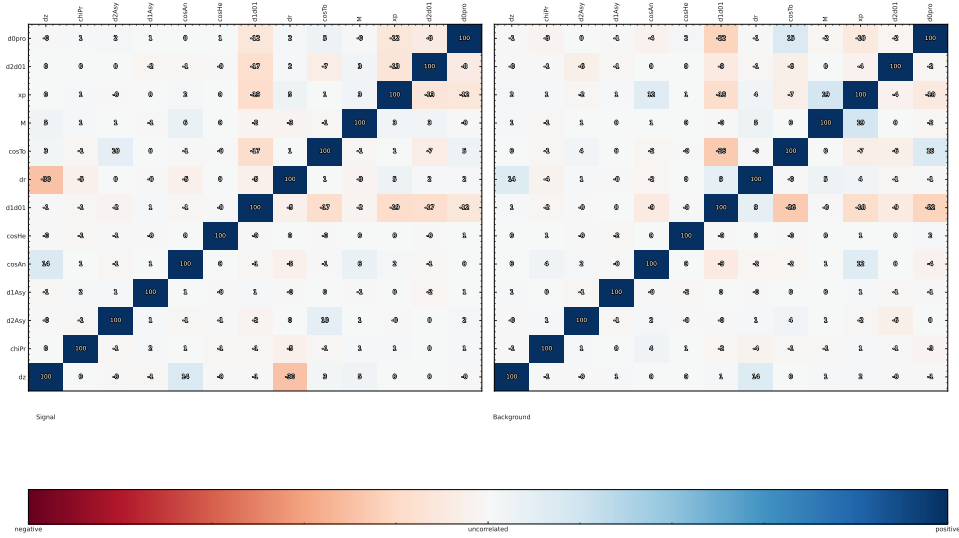


Figure 17: correlation plot in new training  $\Lambda_c^+ \rightarrow p^+ K_S^0 \pi^0$

### 171 3.4.4 Optimizations of FastBDT setup and selection on FastBDT output

172 There are hyper parameters in BDT such as number of trees, cuts and depth. so I also  
 173 optimized those hyper parameter by doing grid search about those parameters for each  
 174 BDTs.

175 Another optimization is about the selection on BDT output. it is by calculating the  
 176 FoM(Figure of Merit). the definition of FoM is  $\frac{S}{\sqrt{S+B}}$ .

177 These optimizations are done on all 56 BDTs.

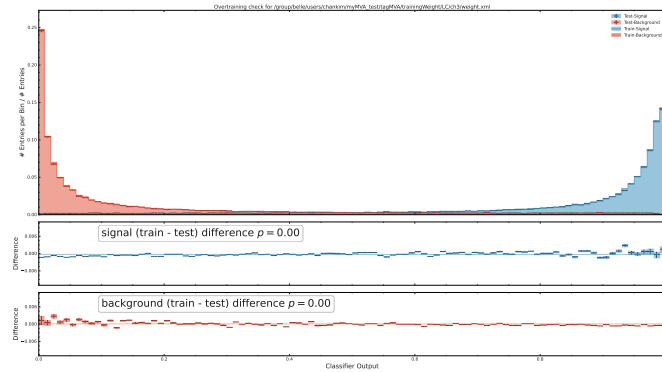


Figure 18: training result  $\Lambda_c^+ \rightarrow p^+ K^- \pi^+ \pi^0$  as example

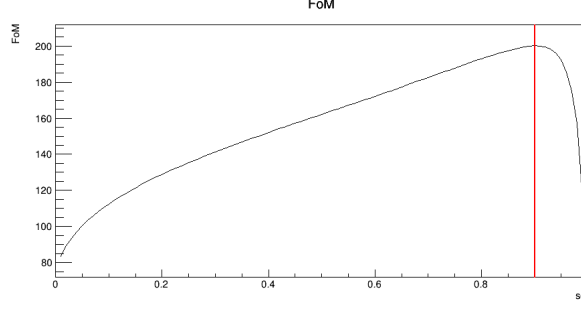


Figure 19: optimization on BDT output for  $\Lambda_c^+ \rightarrow p^+ K^- \pi^+ \pi^0$  as example

### 178 3.5 $X_{frag}$ reconstruction

Table 5: Fragmentation according to tag side

$D^{*+}$ or $D^+$	$D^{*0}$ or $D^0$	$\Lambda_c^+$	$D_s^{*+}$ or $D_s^+$
nothing( $K^+ K^-$ )	$\pi^+(K^+ K^-)$	$\pi^+ \bar{p}$	$K_S^0$
$\pi^0(K^+ K^-)$	$\pi^+ \pi^0(K^+ K^-)$	$\pi^+ \pi^0 \bar{p}$	$\pi^0 K_S^0$
$\pi^+ \pi^- (K^+ K^-)$	$\pi^+ \pi^+ \pi^- (K^+ K^-)$	$\pi^+ \pi^- \pi^+ \bar{p}$	$\pi^+ K^-$
$\pi^+ \pi^- \pi^0 (K^+ K^-)$			$\pi^+ \pi^- \pi^0 K_S^0$
			$\pi^+ K^-$
			$\pi^+ \pi^0 K^-$
			$\pi^+ \pi^- \pi^+ K^-$

179 All fragmentation channels are selected with conserving baryon quantum number and  
 180 strangeness quantum number and charge according to  $D_{tag}^{(*)}$  particle so that the signal side  
 181 can contain  $D^{*\pm}$ . Totally 24 channels are used as fragmentations and listed in table 5.

#### 182 3.5.1 Preselection for $X_{frag}$

- 183 • Selection for fragmentation particles ( $\pi^+$ ,  $K^+$  and  $p^+$  and  $\pi^0$ )
- 184 –  $\pi^+$  : pionID > 0.1 and p > 0.1 GeV
- 185 –  $K^+$  : kaonID > 0.9 and p > 0.1 GeV
- 186 –  $p^+$  : protonID > 0.9 and p > 0.1 GeV
- 187 –  $K_S^0$  : same with  $K_S^0$  in charm tagger with p > 0.1 GeV
- 188 –  $\pi^0$  :
- 189 \* same with preselection of  $\pi^0$  in charm tagger
- 190 \* additionally p > 0.1 GeV
- 191 \* additionally  $\left| \frac{E_{\gamma_1} - E_{\gamma_2}}{E_{\gamma_1} + E_{\gamma_2}} \right| < 0.9$

### 192 3.6 Reconstruction of signal side $D^*$

193 There are many possible combinations in  $X_{frag}$  and it means there can be many  
 194 backgrounds from possible combination of particles in fragmentation. so I applied inverse

195 mass constrained fit on  $M_{miss}(D_{tag}^{(*)}X_{frag})$  to  $m_{D^*}$ , the nominal mass of  $D^{*\pm}$ , and choose  
 196 only one candidate with highest chiProb calculated from this mass constrained fit.

### 197 3.7 Reconstruction of signal side $D^0$ from recoiled $D^{*\pm}$

198 With tagging  $\pi_s^+$ , signal  $D^0$  momentum is calculated by  $p_{miss}(D_{tag}^{(*)}X_{frag}) - p(\pi_s^+)$ .  
 199 one thing to be careful is that there can be also multiple candiates from multiple  $\pi_s^+$   
 200 candidates. so I choosed one recoiled signal side  $D^0$  with largest opening angle between  
 201 momentums of  $D_{tag}^{(*)}$  and signal side  $D^0$  in center of mass frame.

### 202 3.8 Charm tagger execution

- 203 • Procedure of Charm Tagger execution
  - 204 – Preparation of  $\pi^+$ ,  $K^+$ ,  $p^+$ ,  $\pi^0$ ,  $K_S^0$ ,  $\Lambda^0$ ,  $\Sigma^+$
  - 205 – Reconstruction of  $D_{tag}$  with tag side decay channels
  - 206 – Optimized selection with FoM on BDT output about  $D_{tag}$
  - 207 – Reconstruction of  $D_{tag}^*$
  - 208 – Optimized selection with FoM on BDT output about  $D_{tag}^*$
  - 209 – Reconstruction recoiled signal side  $D^{*\pm}$  with  $D_{tag}^{(*)}$  and  $X_{frag}$
  - 210 – inverse mass constrained fit on recoiled signal side  $D^{*\pm}$  mass
  - 211 – Selection of best candidate of recoiled signal side  $D^{*\pm}$  with chiProb
  - 212 – Reconstruction of recoiled signal side  $D^0$  with tagging  $\pi_s^\pm$
  - 213 – Selection of best canadiate of recoiled signal side  $D^0$  with opening angle be-  
 214 tween  $D_{tag}^*$  and recoiled signal  $D^0$  in center of mass frame

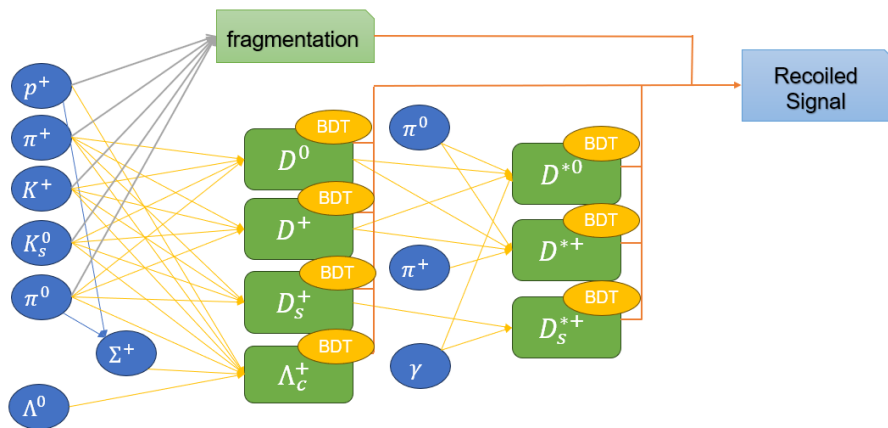


Figure 20: Scheme of Charm tagger execution

215 **3.9  $D^0$  from charm tagger**

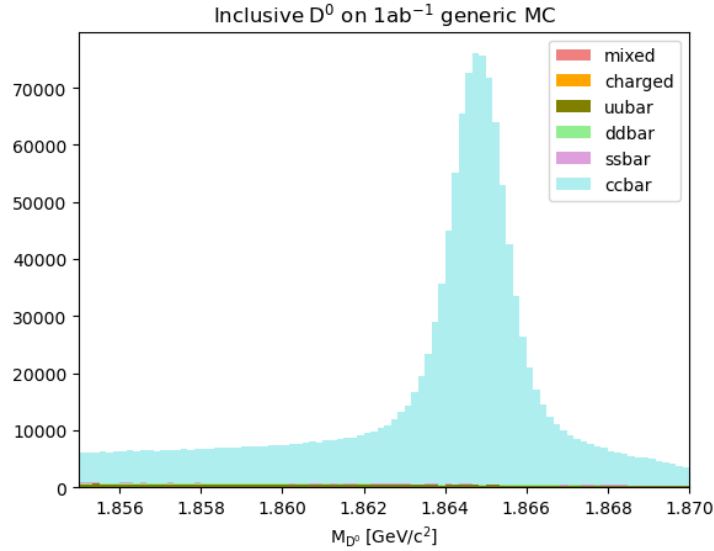


Figure 21: Recoiled signal  $D^0$  from charm tagger on  $1\text{ab}^{-1}$  generic MC

- 216 • Inclusive  $D^0$  :  $D^0$  from charm tagger can have any decays since there are no require-  
 217 ments on signal side. so  $D^0$  from charm tagger is inclusive  $D^0$ .
- 218 – tagging efficiency: 0.18%
- 219 • Exclusive  $D^0$  : exclusive  $D^0$  from charm tagger is subset of inclusive  $D^0$  from charm  
 220 tagger by requiring some selections on the signal side(=rest of event of  $D_{tag}^{(*)}X_{frag}\pi_s^\pm$ ).  
 221 For this, I checked number of tracks,  $\pi^0$ ,  $K_S^0$ ,  $K_L^0$ ,  $\Lambda^0$ , and if possilbe, directly recon-  
 222 structed signal  $D^0$ .
- 223 – track selection :  $dr < 1.0$  and  $|dz| < 3.0$
- 224 –  $K_S^0$  selection : same with tag preselection
- 225 –  $\Lambda^0$  selection : same with tag preselection
- 226 –  $\pi^0$  selection :
- 227 \*  $0.115 \text{ GeV}/c^2 < M < 0.155 \text{ GeV}/c^2$
- 228 \*  $|\text{clusterTiming}| < 200 \text{ ns}$  and  $\left| \frac{\text{clusterTiming}}{\text{clusterErrorTiming}} \right| < 2.0$  for daughter  $\gamma$
- 229 \*  $\text{beamBackgroundSuppression} > 0.5$  and  $\text{fakePhotonSuppression} > 0.1$  for  
 230 daughter  $\gamma$
- 231 –  $K_L^0$  selection :
- 232 \*  $0.468 \text{ GeV}/c^2 < M < 0.508 \text{ GeV}/c^2$  and  $0.5 \text{ GeV} < E < 10.0 \text{ GeV}$
- 233 \*  $\text{klmClusterKIID} > 0.2$  and  $\text{nKLMClusterTrackMatches} == 0$

## 234 4 Skimming

235 Skim is needed for efficient data use since the data size is very huge. so, there are  
 236 some skim selections such as cuts on PID and mass and momentum variables that are  
 237 covering the selections in step of reconstruction.

- 238 • Selection for charged tracks on skim
  - 239 –  $dr < 1.0$  and  $|dz| < 3.0$  and  $\text{thetaInCDCAcceptance}$
- 240 • PID selection for Charged hadrons ( $\pi^+$ ,  $K^+$  and  $p^+$ )
  - 241 –  $\pi^+$  : 15 candidates with highest pionID after pionID  $> 0.01$
  - 242 –  $K^+$  : 10 candidates with highest kaonID after kaonID  $> 0.1$
  - 243 –  $p^+$  : 10 candidates with highest protonID after protonID  $> 0.1$
- 244 • selection for  $\pi^0$ ,  $K_S^0$ ,  $\Sigma^+$  and  $\Lambda^0$  on skim
  - 245 –  $K_S^0$  :  $0.468 \text{ GeV}/c^2 < M < 0.506 \text{ GeV}/c^2$  and  $\text{goodBelleKshort} == 1$
  - 246 –  $\Sigma^+$  :  $1.08 \text{ GeV}/c^2 < M < 1.28 \text{ GeV}/c^2$
  - 247 –  $\pi^0$  :
    - 248 \*  $0.115 \text{ GeV}/c^2 < M < 0.160 \text{ GeV}/c^2$
    - 249 \*  $E > 0.05 \text{ GeV}$  for daughter  $\gamma$
  - 250 –  $\Lambda^0$  :
    - 251 \*  $1.111 \text{ GeV}/c^2 < M < 1.121 \text{ GeV}/c^2$
    - 252 \*  $dr > 0.1$  and  $\chi^2 < 100$
    - 253 \*  $\text{cosAngleBetweenMomentumAndVertexVectorInXYPlane} > 0.99$
- 254 • Skim selection for tag side  $D^0$ :  $1.72 \text{ GeV}/c^2 < M < 2.02 \text{ GeV}/c^2$  and  $p^* > 2.0 \text{ GeV}$
- 255 • Skim selection for tag side  $D^+$ :  $1.72 \text{ GeV}/c^2 < M < 2.02 \text{ GeV}/c^2$  and  $p^* > 2.0 \text{ GeV}$
- 256 • Skim selection for tag side  $D_s^+$ :  $1.82 \text{ GeV}/c^2 < M < 2.12 \text{ GeV}/c^2$  and  $p^* > 2.0 \text{ GeV}$
- 257 • Skim selection for tag side  $\Lambda_c^+$ :  $2.18 \text{ GeV}/c^2 < M < 2.38 \text{ GeV}/c^2$  and  $p^* > 2.0 \text{ GeV}$
- 258 • Skim selection for  $D^{*+} \rightarrow D^0\pi^+$ :  $0.135 \text{ GeV}/c^2 < \Delta M < 0.155 \text{ GeV}/c^2$
- 259 • Skim selection for  $D^{*+} \rightarrow D^+\pi^0$ :  $0.130 \text{ GeV}/c^2 < \Delta M < 0.160 \text{ GeV}/c^2$
- 260 • Skim selection for  $D^{*0} \rightarrow D^0\pi^0$ :  $0.130 \text{ GeV}/c^2 < \Delta M < 0.160 \text{ GeV}/c^2$
- 261 • Skim selection for  $D^{*0} \rightarrow D^0\gamma$ :  $0.120 \text{ GeV}/c^2 < \Delta M < 0.165 \text{ GeV}/c^2$
- 262 • Skim selection for  $D_s^{*+} \rightarrow D_s^+\gamma$ :  $0.120 \text{ GeV}/c^2 < \Delta M < 0.165 \text{ GeV}/c^2$
- 263 • Skim selection for signal side  $D^{*\pm}$  and  $D^0$ 
  - 264 –  $1.81 \text{ GeV}/c^2 < M_{D^*} < 2.21 \text{ GeV}/c^2$  for signal side  $D^{*\pm}$
  - 265 –  $0.08 \text{ GeV}/c^2 < M_{D^*} - M_{D^0} < 0.27 \text{ GeV}/c^2$  and  $p^* > 2.0 \text{ GeV}$  for signal  $D^0$



## 5 Signal extraction

For signal extraction, I used recoil mass( $M_{D^0}$ ) for extraction of signal yield of inclusive  $D^0$  and also used both of recoil mass( $M_{D^0}$ ) and extra remained energy in ECL ( $E_{ECL}$ ) for extraction of signal yield of exclusive  $D^0$ .

About  $E_{ECL}$  calculation, some constraints are applied to remove cluster energy from beam background.

- $|\text{clusterTiming}| < 200$  ns and  $\left| \frac{\text{clusterTiming}}{\text{clusterErrorTiming}} \right| < 2.0$  for  $\gamma$
- $\text{beamBackgroundSuppression} > 0.5$  and  $\text{fakePhotonSuppression} > 0.1$  for  $\gamma$
- $\text{dr} < 1.0$  and  $|\text{dz}| < 3.0$  for  $e^-$
- $\text{electronID} > 0.6$  for  $e^-$
- $\text{isFromECL} > 0$  and  $\text{isRestOfEvent} == 1$  for  $\gamma$  and  $e^-$

### 5.1 Fit strategy

1D fit on  $M_{D^0}$  and 2D fit on  $(M_{D^0}, E_{ECL})$  were performed for signal extraction of inclusive or exclusive  $D^0$ . the fit region is  $1.855 \text{ GeV}/c^2 < M_{D^0} < 1.870 \text{ GeV}/c^2$  for inclusive  $D^0$  fit and  $1.855 \text{ GeV}/c^2 < M_{D^0} < 1.870 \text{ GeV}/c^2$  and  $E_{ECL} < 2.1 \text{ GeV}$  for exclusive  $D^0$  fit.

- each component PDF about  $M_{D^0}$  for inclusive  $D^0$ :
  - signal PDF on  $M_{D^0}$ : combination of 2 gaussians and 1 bifurcated gaussian with same mean  
Note : The signal PDF shape is fixed on fit result about  $D^0 \rightarrow \nu\bar{\nu}$  signal MC
  - background PDF on  $M_{D^0}$ : combination of argus and linear function
- each component PDF about  $M_{D^0}$  for exclusive  $D^0$ :
  - signal PDF on  $M_{D^0}$ : signal PDF from Inclusive  $D^0$  fit
  - flat background PDF on  $M_{D^0}$ : combination of argus and linear function
  - peak background PDF on  $M_{D^0}$ : 3 gaussians with same mean
- each component PDF about  $E_{ECL}$  for exclusive  $D^0$ :
  - signal PDF: histogram PDF from exclusive  $D^0$  on signal MC
  - flat background PDF: histogram PDF from sideband on background only MC  
sideband:  $1.855 \text{ GeV}/c^2 < M_{D^0} < 1.870 \text{ GeV}/c^2$
  - peak background PDF:
    - \* (a) : histogram PDF in peak region  
peak region:  $1.860 \text{ GeV}/c^2 < M_{D^0} < 1.870 \text{ GeV}/c^2$
    - \* (b) : histogram PDF in sideband
    - \* peak background histogram PDF =  $(1 + w) \cdot (a) - w \cdot (b)$   
(w: floating variable between 0 and 1)

### 301 5.1.1 Inclusive $D^0$

For signal extraction of inclusive  $D^0$ , one-dimensional extended binned maximum likelihood fit is performed on the recoil mass ( $M_{D^0} \equiv M_{miss}(D_{tag}^{(*)} X_{frag} \pi_s^\pm)$ ). Fit is performed with maximizing this likelihood function defined as

$$L = \frac{e^{-\sum_j N_j}}{N!} \prod_{i=1}^N (\sum_j N_j P_j(M_{D^0}^i)),$$

302 The  $N$  is total number of candidates,  $N_j$  is the number of events in component  $j$ ,  
 303  $M_{D^0}^i$  is  $M_{D^0}$  value of  $i$ -th candidate and  $P_j$  is one-dimensional probability density function  
 304 corresponding to the  $j$  component.

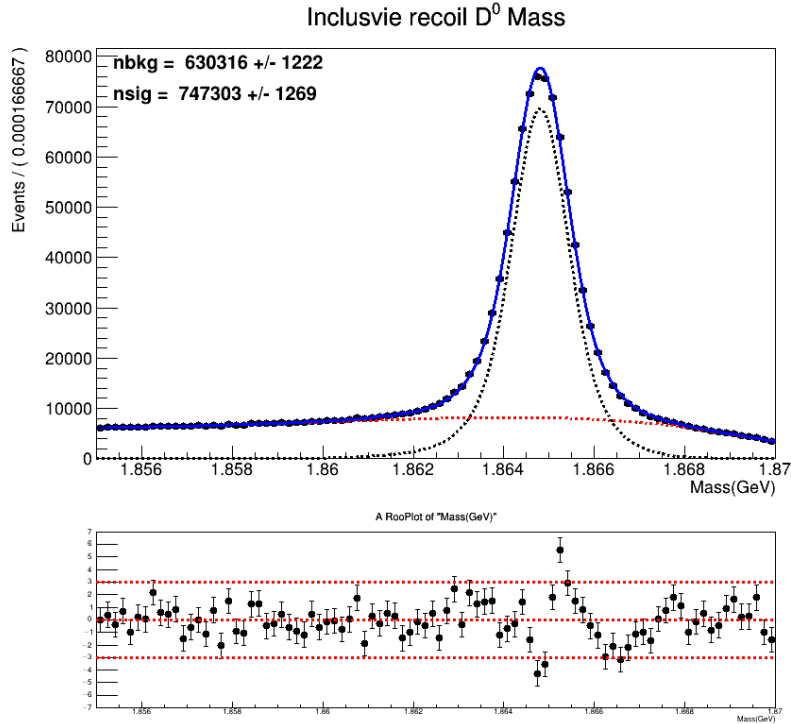


Figure 22: fit result of inclusive  $D^0$  on  $1\text{ab}^{-1}$  generic MC

### 305 5.1.2 Exclusive $D^0$

306 For signal extraction of exclusive  $D^0$ , two-dimensional extended binned maximum  
 307 likelihood fit is performed on  $(M_{D^0}, E_{ECL})$ . two dimensional likelihood function is defined  
 308 as

$$L = \frac{e^{-\sum_j N_j}}{N!} \prod_{i=1}^N (\sum_j N_j P_j(M_{D^0}, E_{ECL}))$$

309  $N$  is total number of events in this fit,  $i$  means  $i$ -th candidate and  $P_j$  is probability  
 310 density function of  $j$  component such as signal or flat background or peak background.

311 **6**  $D^0 \rightarrow \nu\bar{\nu}$

312 **6.1** Selection criterion for  $D^0 \rightarrow \nu\bar{\nu}$

- 313 • Exclusive selection for  $D^0 \rightarrow \nu\bar{\nu}$  signal MC:  
 314 no remaining tracks,  $\pi^0, K_S^0, K_L^0, \Lambda^0$  in signal side

315 **6.2** Signal MC study: signal efficiency

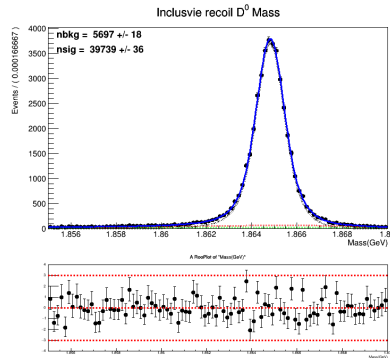


Figure 23: inclusive  $D^0$  on signal MC

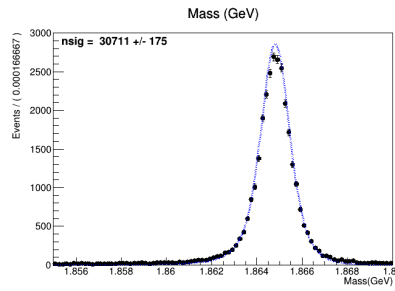


Figure 24:  $M_{D^0}$  of exclusive  $D^0$  on signal MC

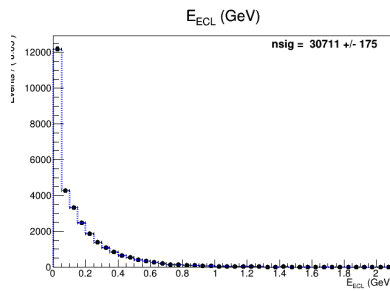


Figure 25:  $E_{ECL}$  of exclusive  $D^0$  on signal MC

- 316 • signal efficiency :  $0.77282 \pm 0.00446$

317 **6.3 2D fit result about  $D^0 \rightarrow \nu\bar{\nu}$**

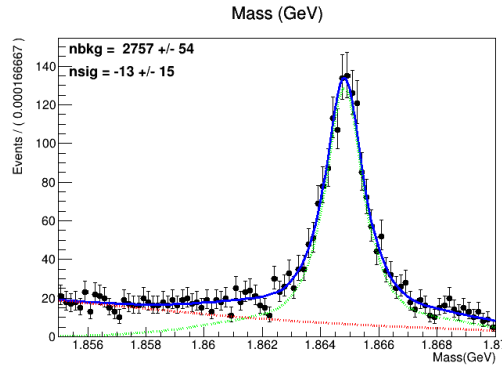


Figure 26:  $M_{D^0}$  of exclusive  $D^0$  on generic MC

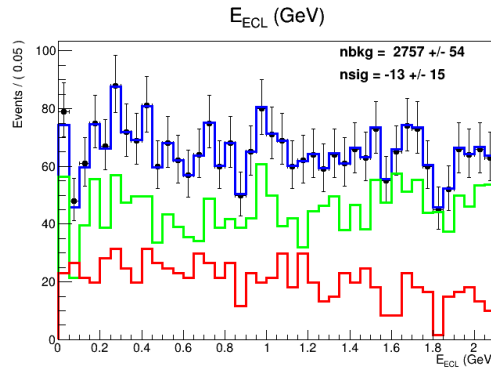


Figure 27:  $E_{ECL}$  of exclusive  $D^0$  on generic MC

318 **7 Control Sample study : validation of charm tagger**

319 Following this analysis procedure, it is also possible to measure branching fraction of  
 320 other decay. so, I tried to measure  $\text{Br}(D^0 \rightarrow K^- \pi^+)$  with this charm tagger on purpose  
 321 of validation of charm tagger.

322 **7.1 Selection criterion for  $D^0 \rightarrow K^- \pi^+$**

- 323
- 2 remaining tracks and no  $\pi^0, K_S^0, K_L^0, \Lambda^0$  in signal side
  - 324 • 1 directly reconstructed  $D^0 \rightarrow K^- \pi^+$  in signal side
    - 325 – selection for  $K^+$ : kaonID > 0.6 and electronID < 0.95 and muonID < 0.95
    - 326 – selection for  $\pi^+$ : pionID > 0.4 and electronID < 0.95 and muonID < 0.95
    - 327 –  $1.80 \text{ GeV}/c^2 < M < 1.92 \text{ GeV}/c^2$

328 – vertex fit applied and choose one candidate with highest chiProb

329 •  $|\Delta E( = \text{recoiled } E_{D^0} - E_{K\pi})| < 0.1 \text{ GeV}$

330 **7.2 Signal efficiency**

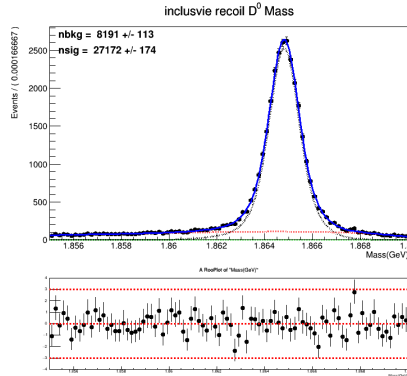


Figure 28: inclusive  $D^0$  on  $D^0 \rightarrow K^- \pi^+$  signal MC

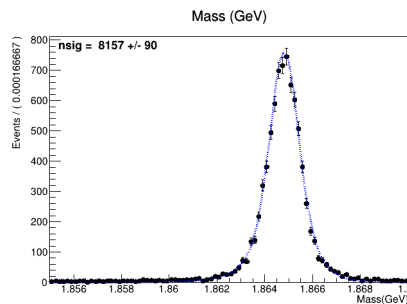


Figure 29:  $M_{D^0}$  of exclusive  $D^0$  on  $D^0 \rightarrow K^- \pi^+$  signal MC

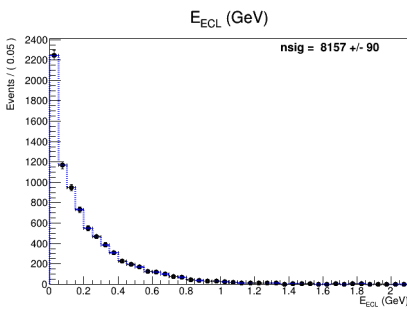


Figure 30:  $E_{ECL}$  of exclusive  $D^0$  on  $D^0 \rightarrow K^- \pi^+$  signal MC

331 • signal efficiency :  $0.30020 \pm 0.00383$

### 332 7.3 Measurement of $\text{Br}(D^0 \rightarrow K^- \pi^+)$

333 The branching fraction calculation is based on this formula:

$$334 \quad \text{Br}(D^0 \rightarrow K^- \pi^+) = \frac{N_{sig}^{excl}}{N_{sig}^{incl} \times \epsilon_{sig}}$$

335  $N_{sig}^{incl}$  is signal yield of inclusive  $D^0$  on generic MC and  $N_{sig}^{excl}$  is signal yield of exclusive  
 336  $D^0 \rightarrow K^- \pi^+$  on generic MC and  $\epsilon_{sig}$  is signal efficiency calculated on  $D^0 \rightarrow K^- \pi^+$  signal  
 337 MC study.

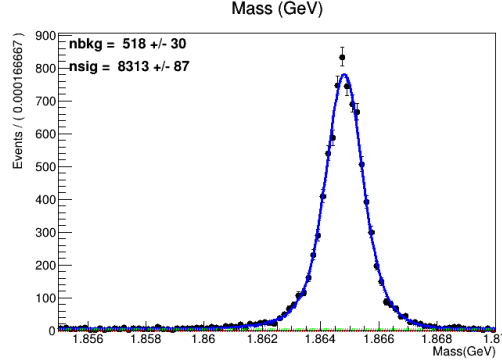


Figure 31:  $M_{D^0}$  of exclusive  $D^0 \rightarrow K^- \pi^+$  on generic MC

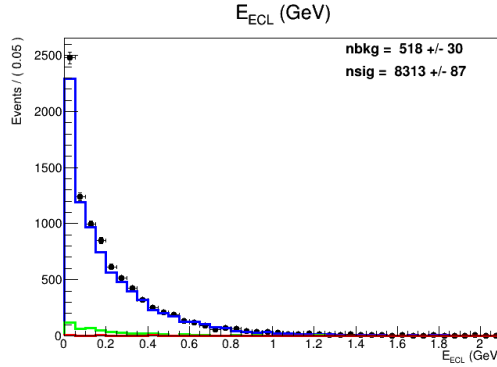


Figure 32:  $E_{ECL}$  of exclusive  $D^0 \rightarrow K^- \pi^+$  on generic MC

338 based on these fit results, the measured  $\text{Br}(D^0 \rightarrow K^- \pi^+)$  is  $0.03706 \pm 0.00061$ .

## 339 8 ToyMC study : check the stability of fitter

340 Checking the fit result with pull distribution, almost fit results seems okay, but the  
 341 inclusive  $D^0$  fit result on generic MC shows a few points are out of  $3\sigma$  range. so, Toy  
 342 ensemble test and Linearity test are performed on this fit result. the bias from these tests  
 343 will be considered as systematic uncertainty in future.

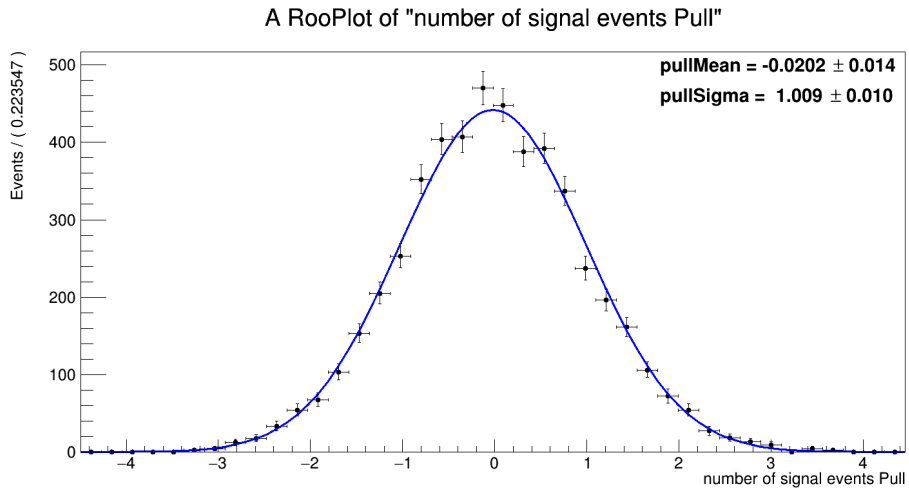


Figure 33: Toy ensemble test about  $N_{sig}$  on inclusive  $D^0$  fit result on generic MC

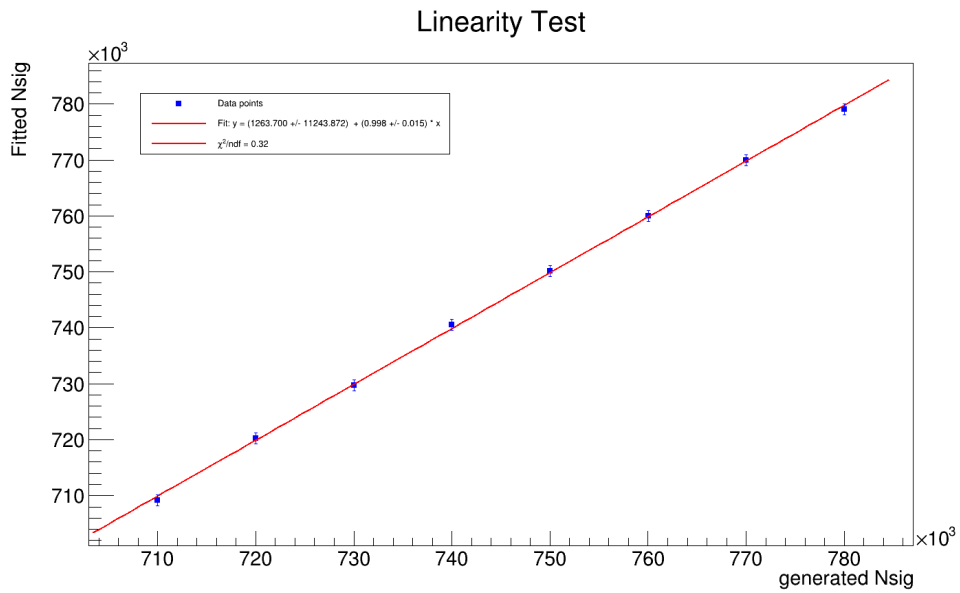


Figure 34: Linearity test about  $N_{sig}$  on inclusive  $D^0$  fit result on generic MC

## 344 **9 Systematic uncertainties**

- 345 • Charm tagger: tagging efficiency
- 346 • Number of Inclusive  $D^0$
- 347 • Fit bias
- 348 • Signal PDF
- 349 • Flat background PDF
- 350 • Peak background PDF
- 351 • Reconstruction efficiency uncertainty
- 352 • Veto on remaining detector information (tracks,  $\pi^0$ ,  $K_S^0$ ,  $K_L^0$ ,  $\Lambda^0$ )
- 353 • Study veto efficiency dependence on  $D_{tag}^{(*)}/X_{frag}$



## 10 Upper Limit estimation

Since  $D^0 \rightarrow \nu\bar{\nu}$  does not exist in generic MC, the signal yield should be zero. As shown in the figure 12 and figure 13, the signal yield from fit is consistent with the zero yield within  $1\sigma$ . so, estimation of 90% Upper limit of Branching fraction was tried with 2 methods. one is bayesian approach and the other is frequentist approach.

Bayesian approach is based integrating the likelihood function shown below:

$$\int_0^N \mathcal{L}(n) dn = 0.9 \int_0^\infty \mathcal{L}(n) dn,$$

where  $\mathcal{L}(n)$  is likelihood of fit result with condition that the number of signal yield is fixed at n. the systematic uncertainty is not considered yet. it will be included in future.

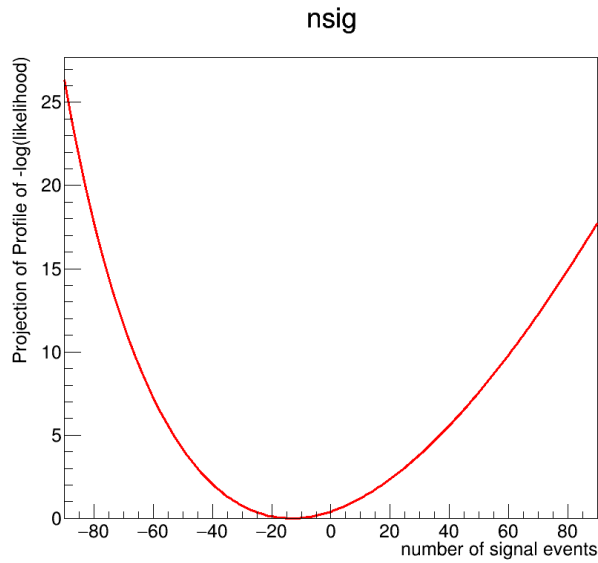


Figure 35: Negative log likelihood function

the estimated upper limit from bayesian approach is  $2.0 \times 10^{-5}$ .

Another method is frequentist approach which is called as CLs method. this calculation is by RooStat Profile likelihood calculator based on frequentist statistics. The plot shows p-value of background only hypothesis( $CL_b$ ) as function of signal yield( $N_{sig}$ ) and signal+background( $CL_{s+b}$ ) and  $CL_s(\equiv CL_{s+b}/CL_b)$  model. the colored area shows one and two  $\sigma$  expected  $CL_s$  value around the median value(black dashed line), assuming data corresponds to the background only hypothesis.

the estimated upper limit from this frequentist approach is  $3.8 \times 10^{-5}$ .

## HypoTest Scan Result

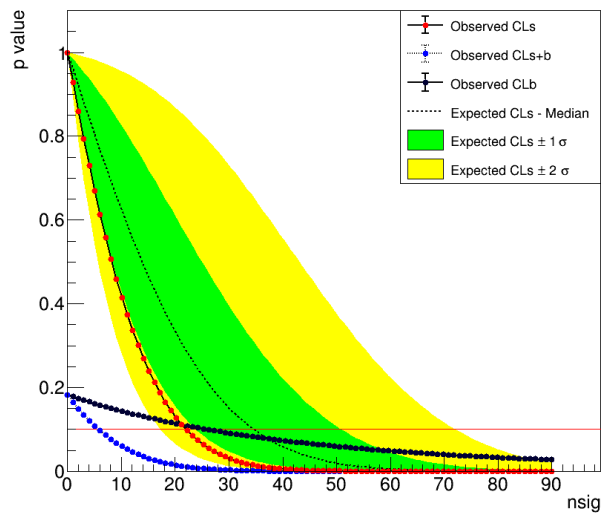


Figure 36: p-Value vs  $N_{sig}$  for CLs method

## 375 Appendices

### 376 A.1 BDT of Charm Tagger result

377 This section contains the BDT result.

#### 378 A.1.1 $D^0 \rightarrow K^- \pi^+$

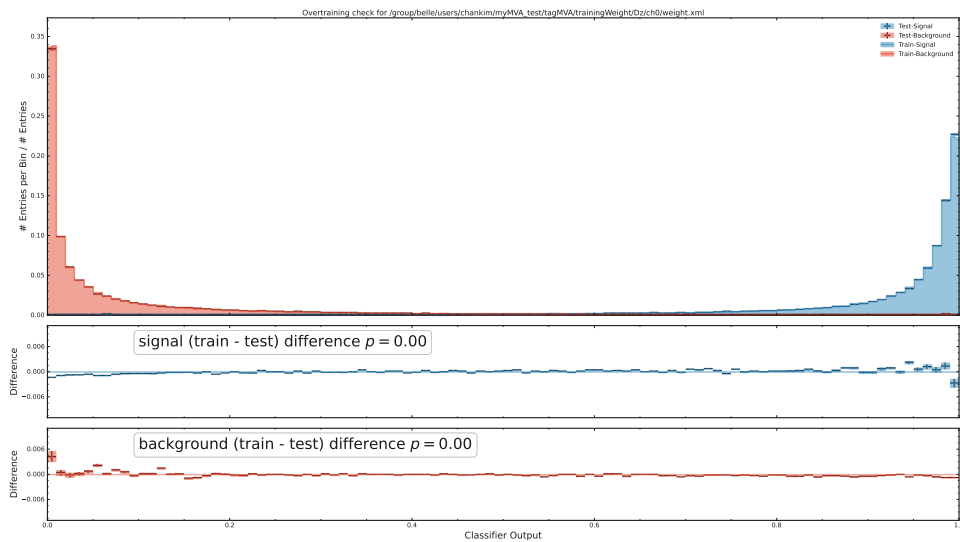


Figure 37: BDT output

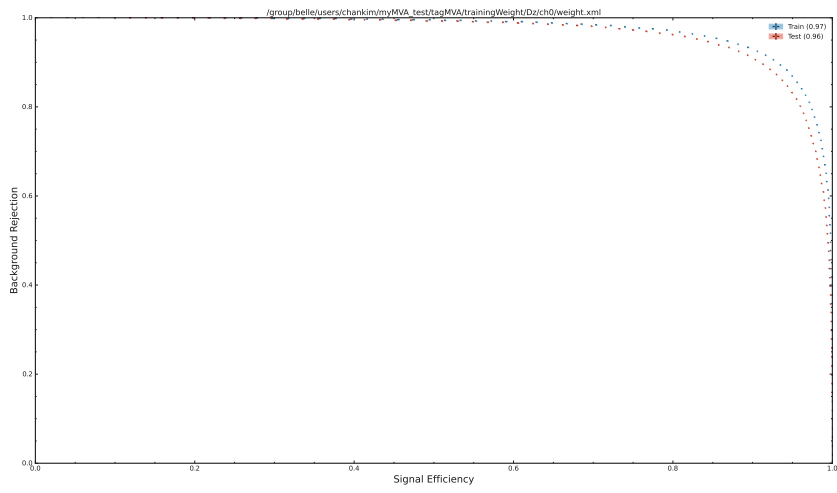


Figure 38: ROC Curve

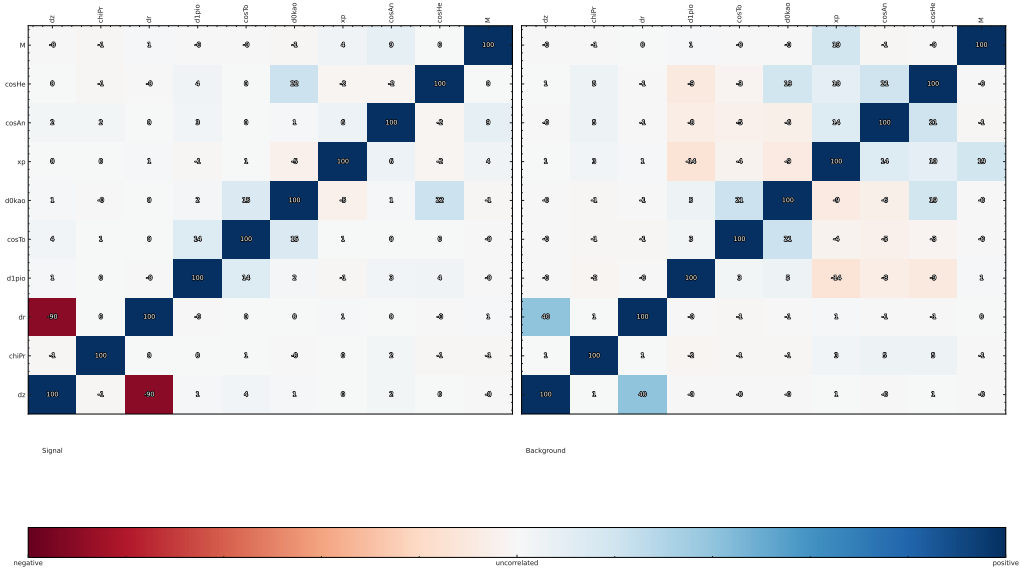


Figure 39: Correlation plot

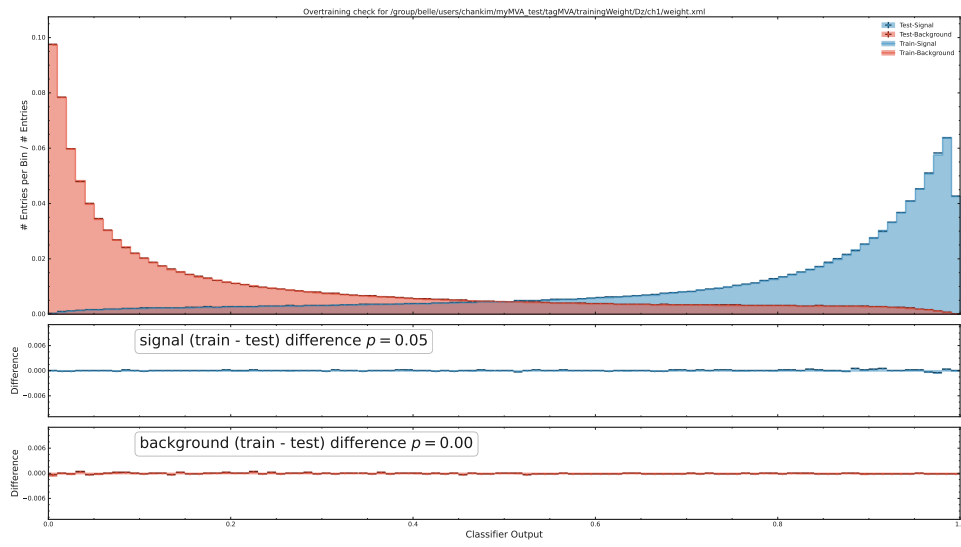


Figure 40: BDT output

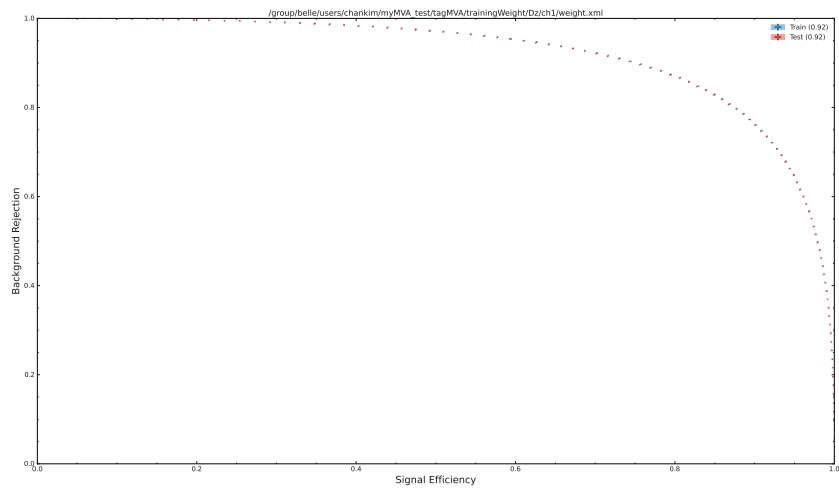


Figure 41: ROC Curve

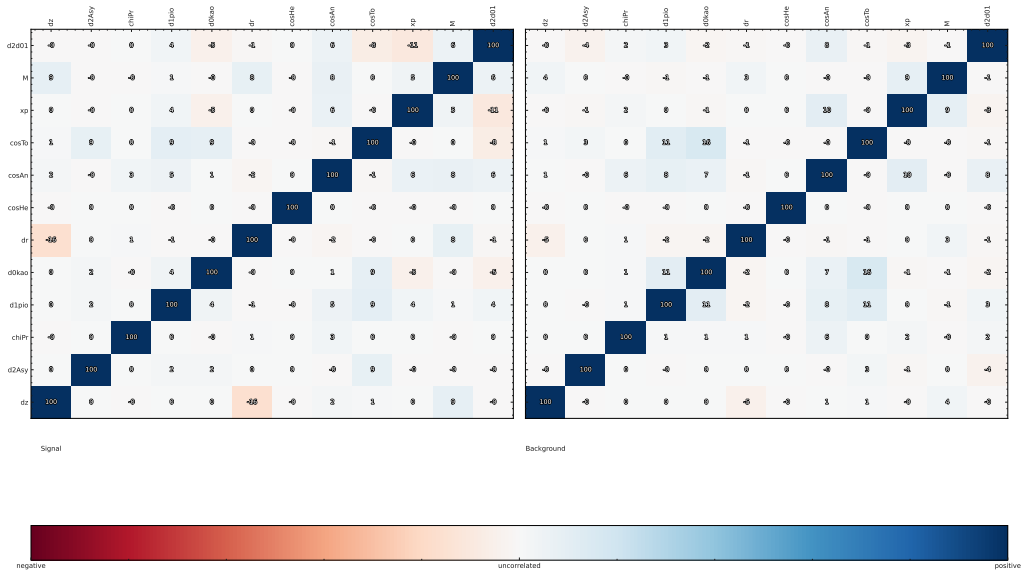


Figure 42: Correlation plot

380 **A.1.3**  $D^0 \rightarrow K^- \pi^+ \pi^0 \pi^0$

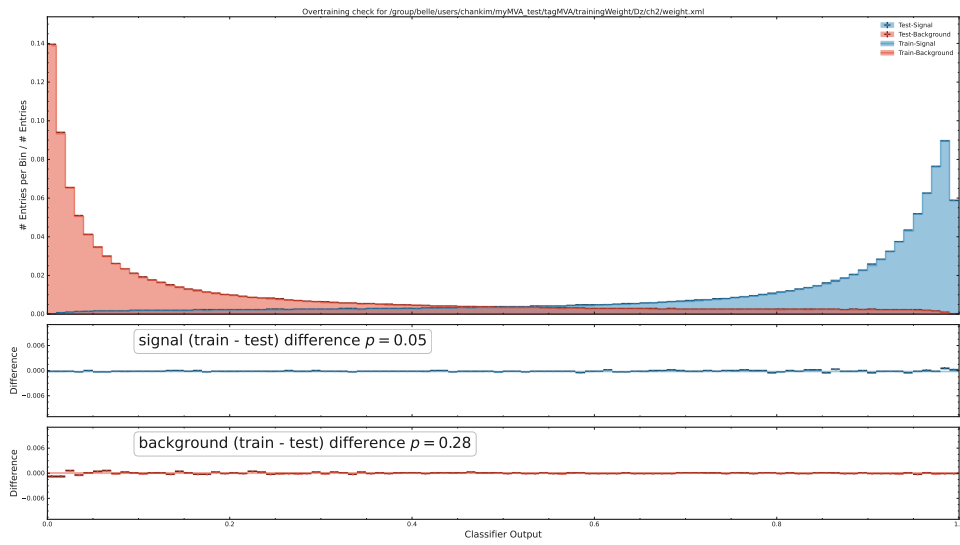


Figure 43: BDT output

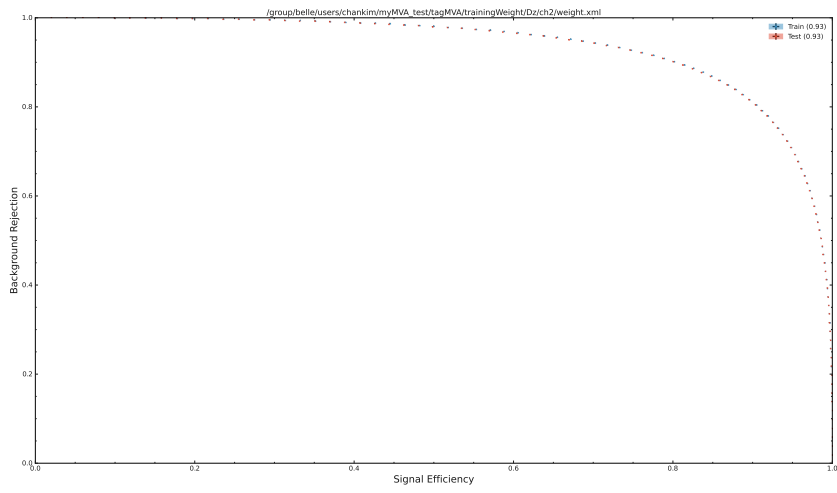


Figure 44: ROC Curve

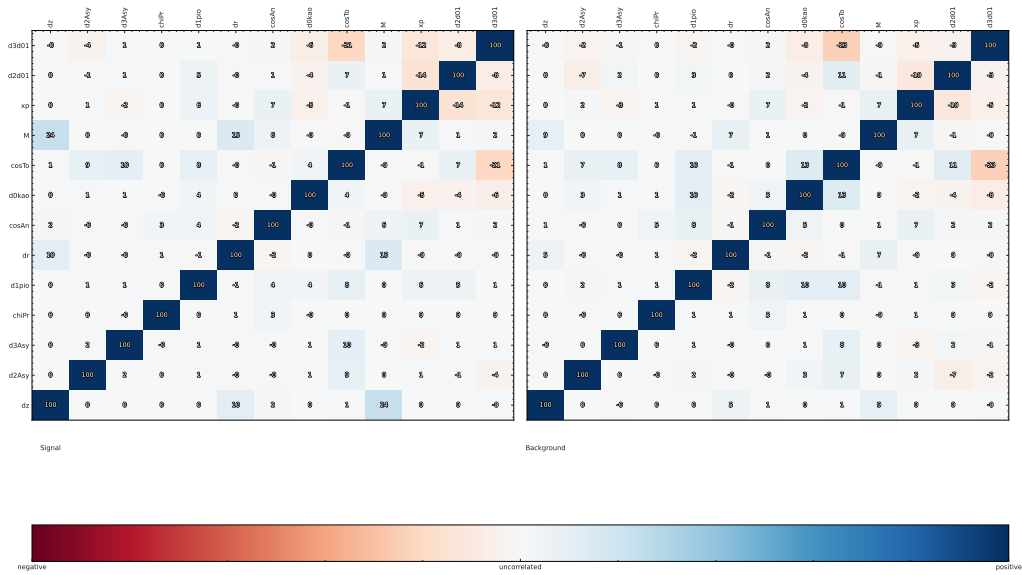


Figure 45: Correlation plot

381 A.1.4  $D^0 \rightarrow K^- \pi^+ \pi^- \pi^+$

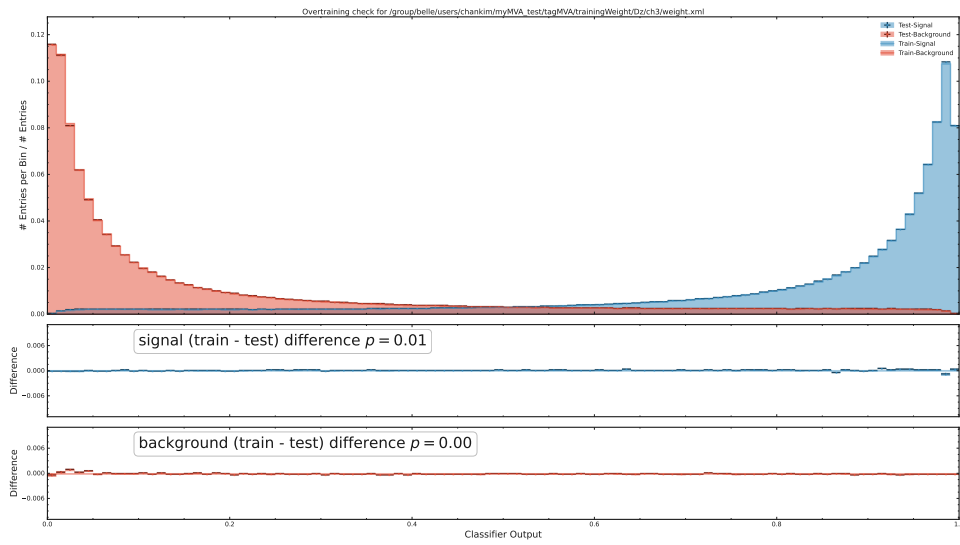


Figure 46: BDT output

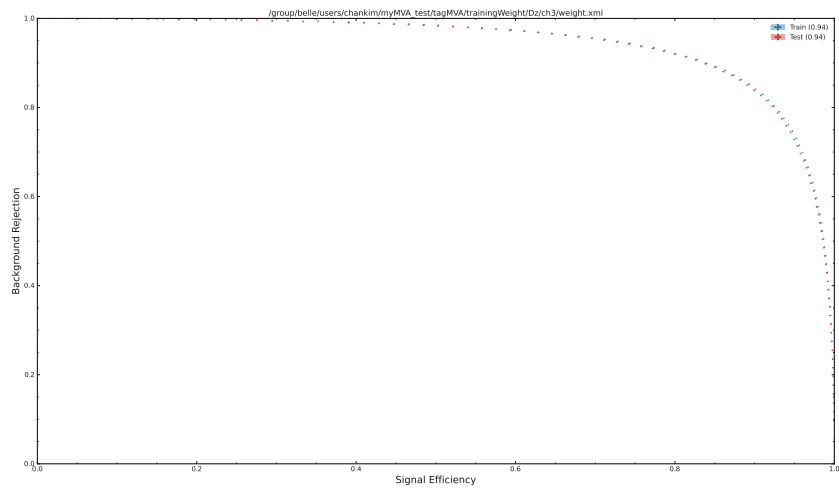


Figure 47: ROC Curve

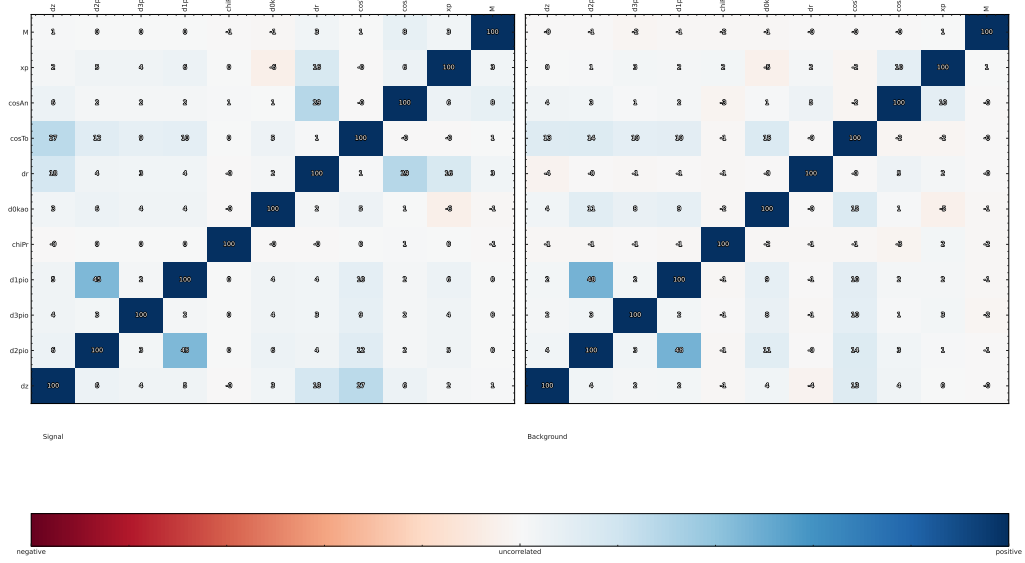


Figure 48: Correlation plot

382 **A.1.5**  $D^0 \rightarrow K^- \pi^+ \pi^- \pi^+ \pi^0$

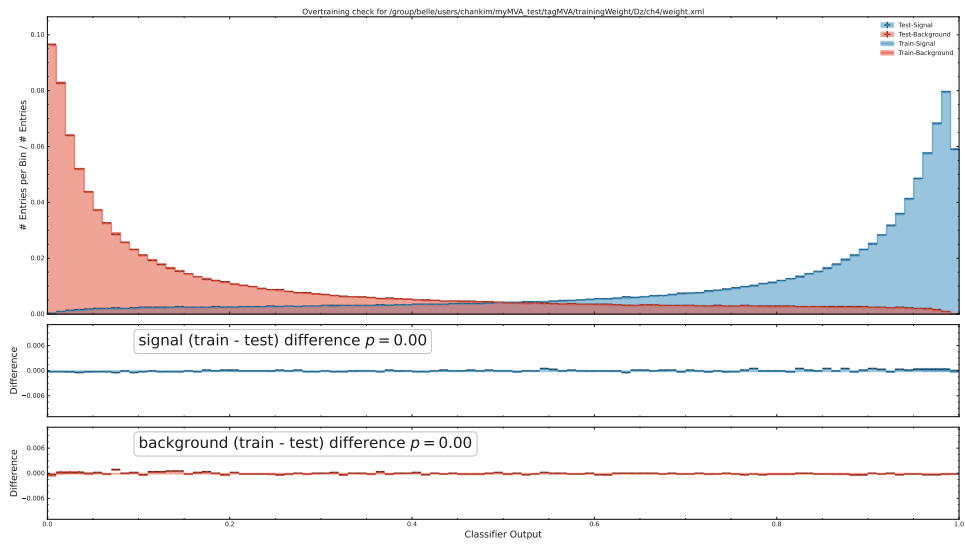


Figure 49: BDT output



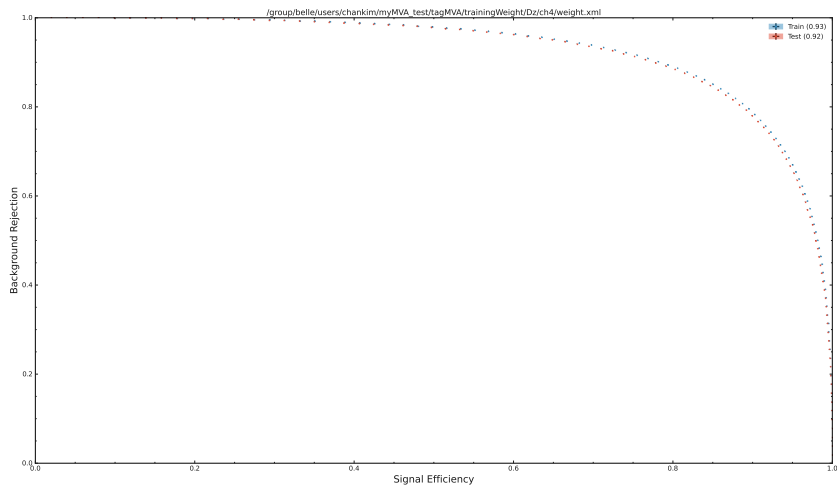


Figure 50: ROC Curve

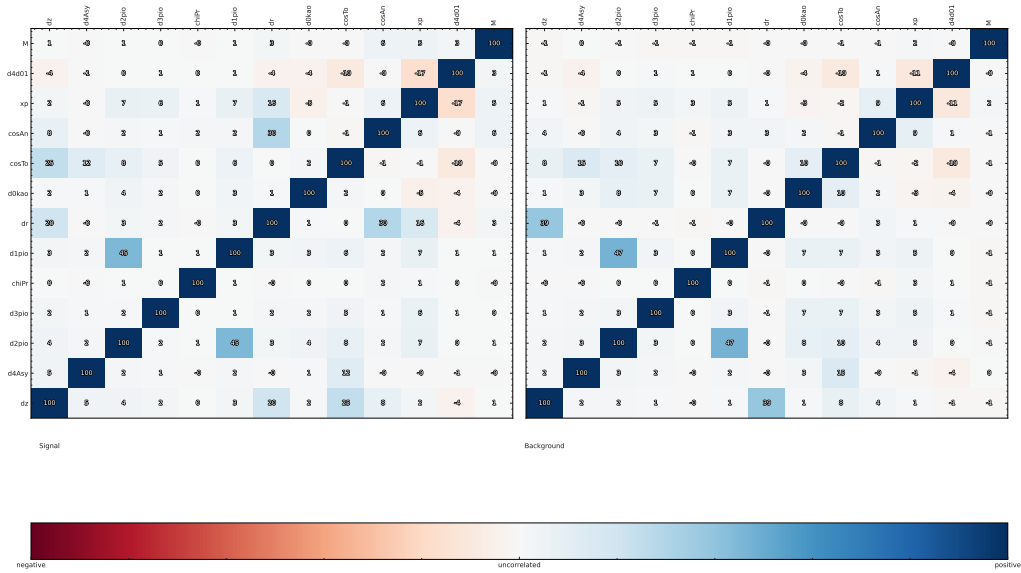


Figure 51: Correlation plot

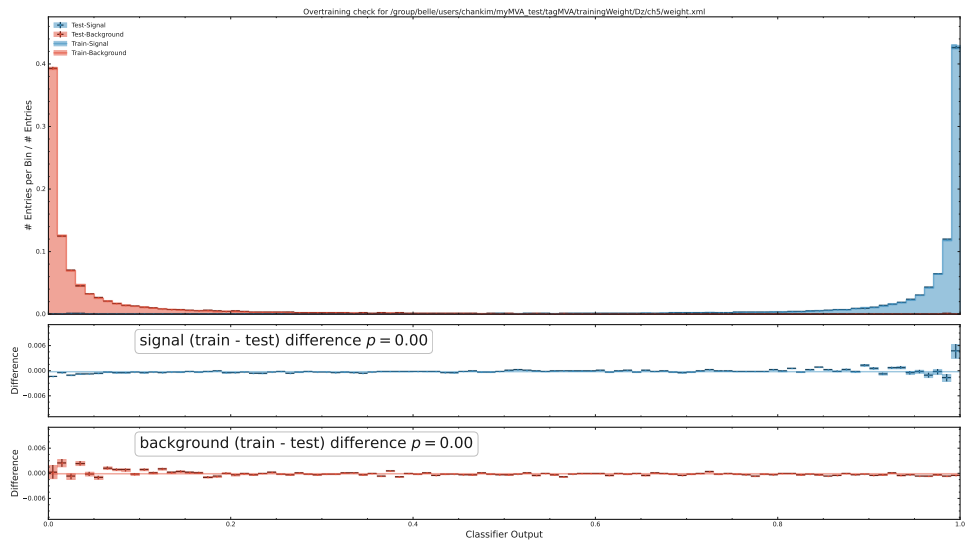


Figure 52: BDT output

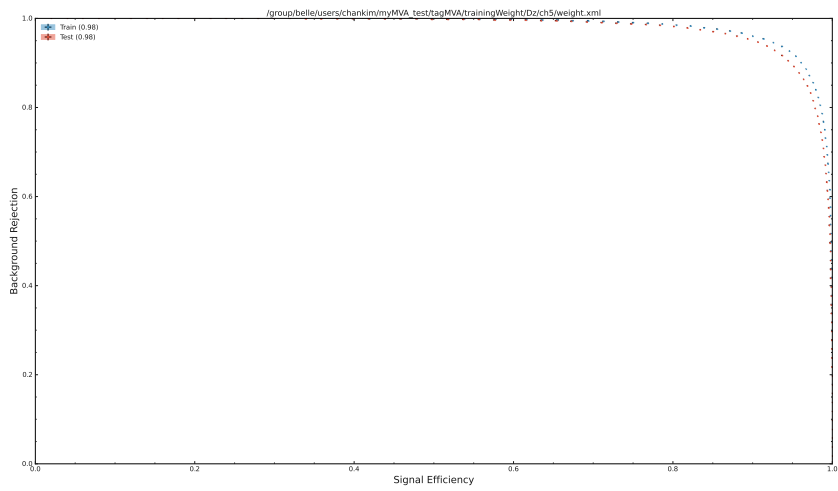


Figure 53: ROC Curve

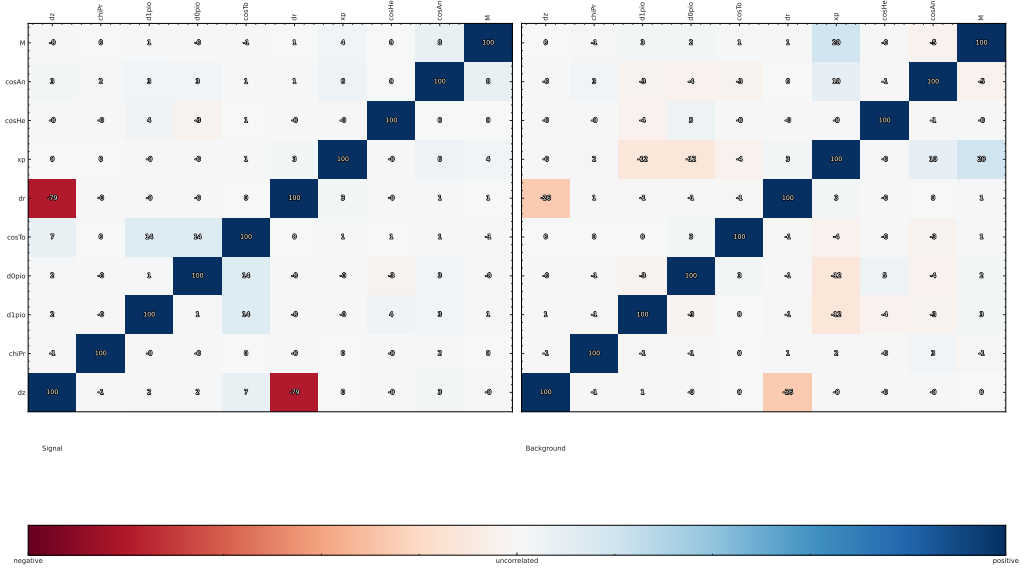


Figure 54: Correlation plot

384 A.1.7  $D^0 \rightarrow \pi^+ \pi^- \pi^+ \pi^-$

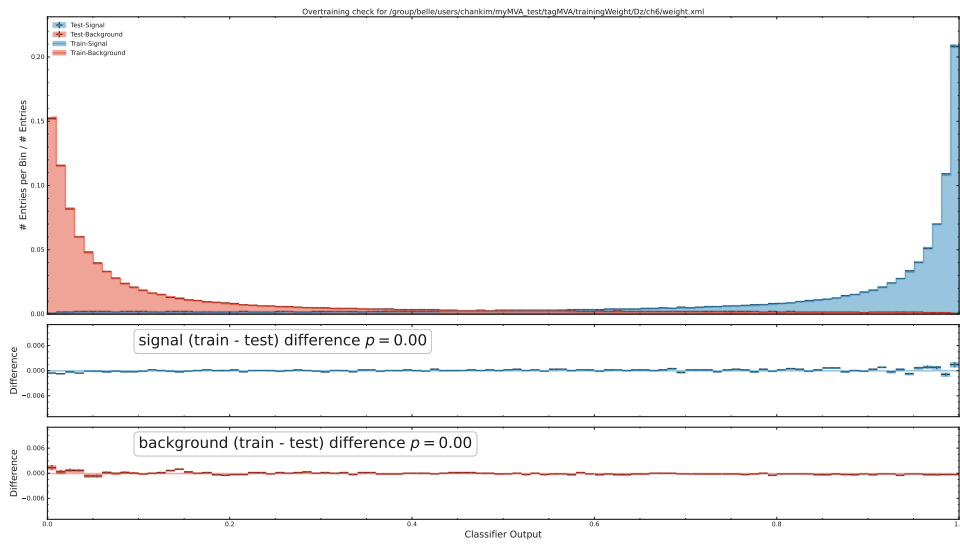


Figure 55: BDT output

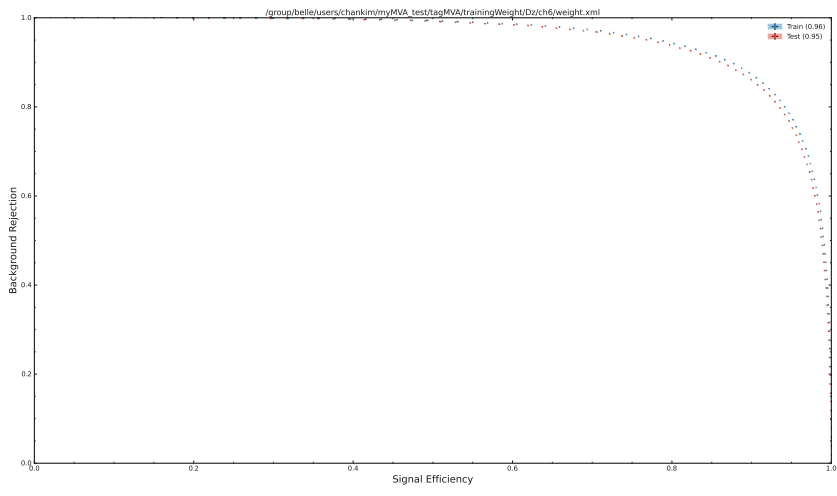


Figure 56: ROC Curve

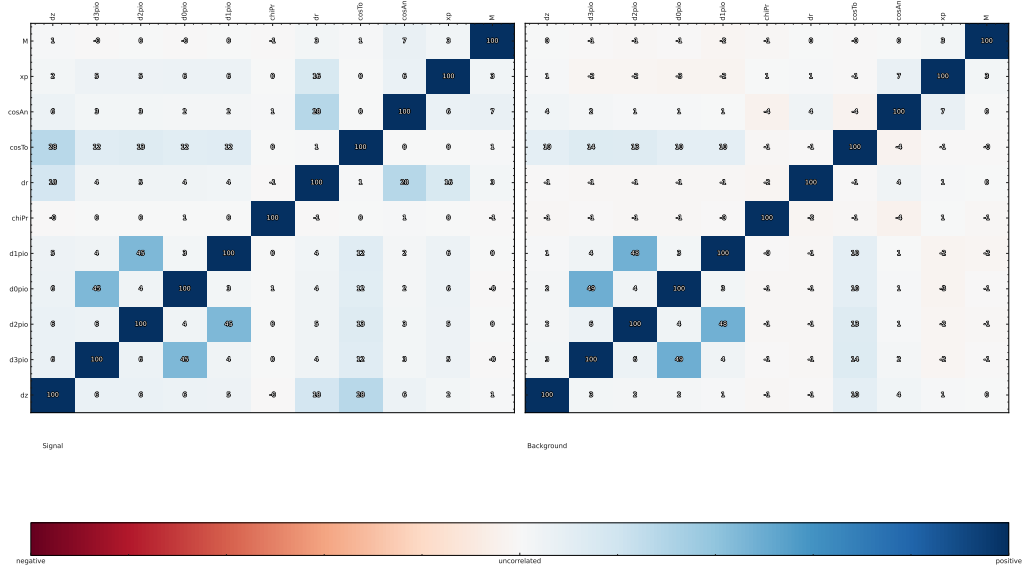


Figure 57: Correlation plot

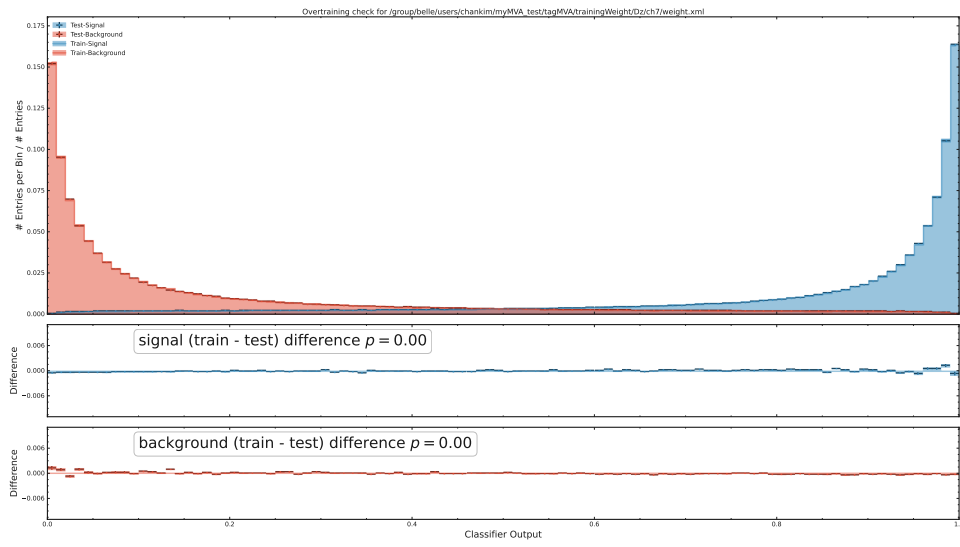


Figure 58: BDT output

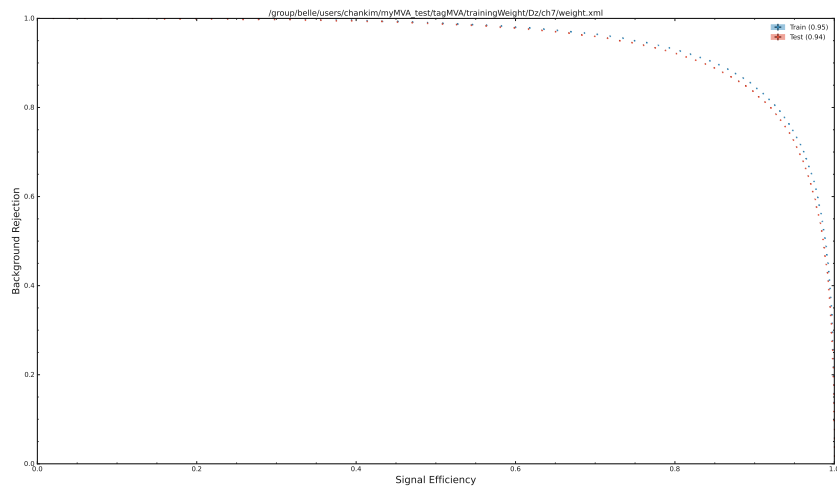


Figure 59: ROC Curve

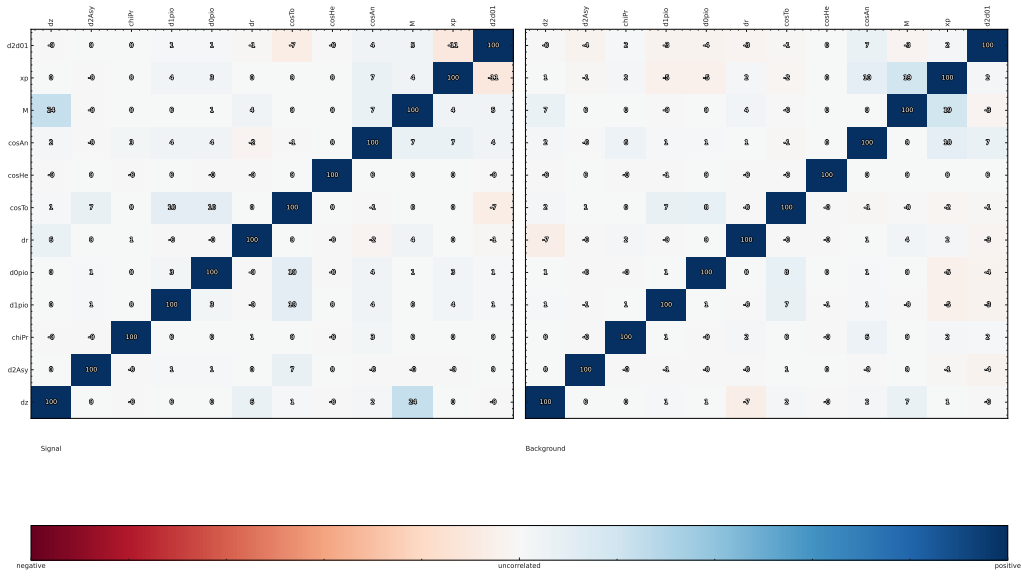


Figure 60: Correlation plot

386 **A.1.9**  $D^0 \rightarrow \pi^+ \pi^- \pi^0 \pi^0$

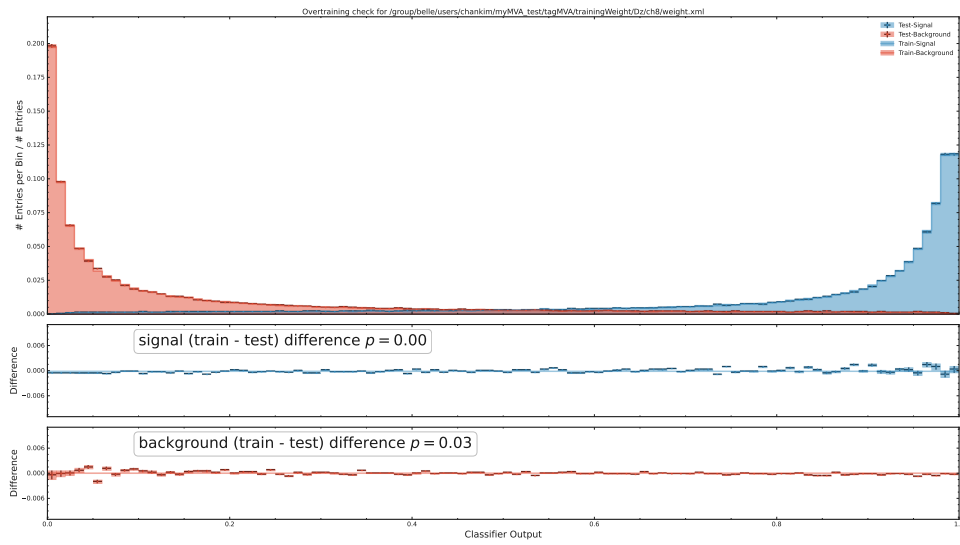


Figure 61: BDT output

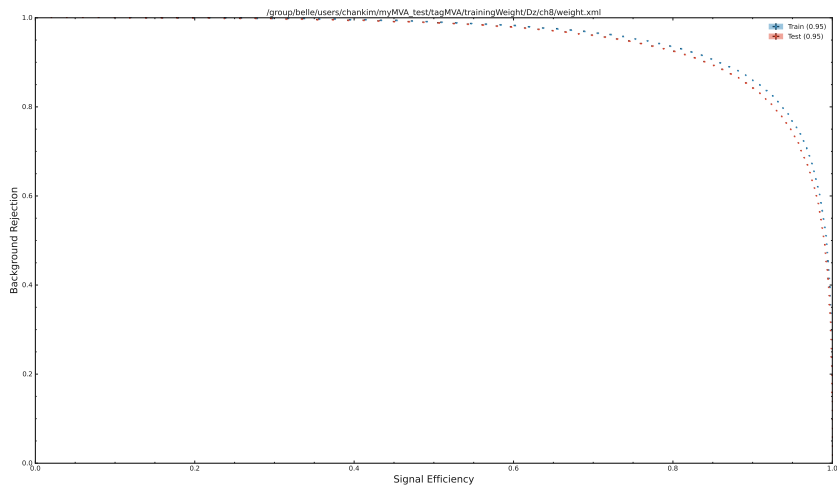


Figure 62: ROC Curve

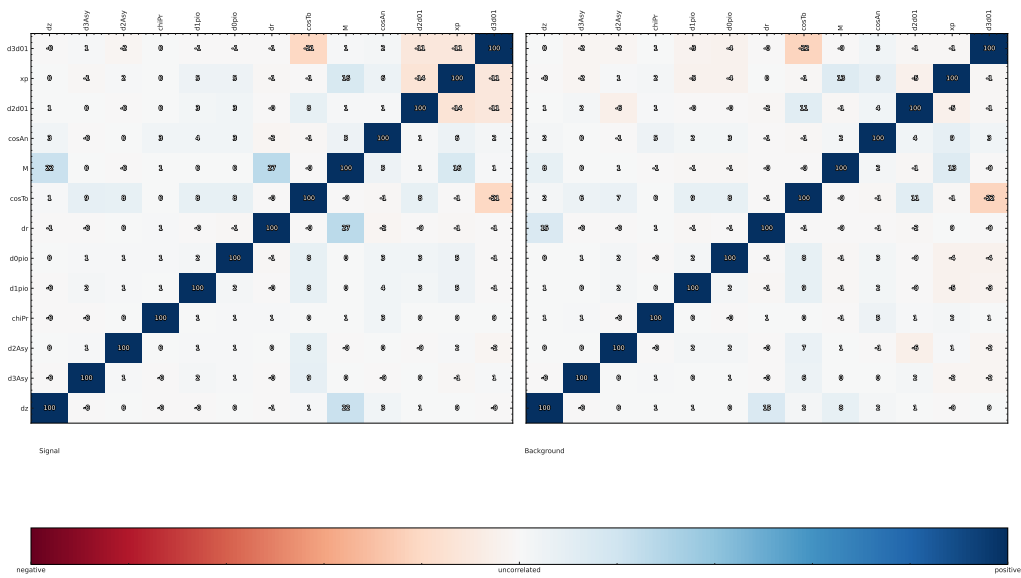


Figure 63: Correlation plot

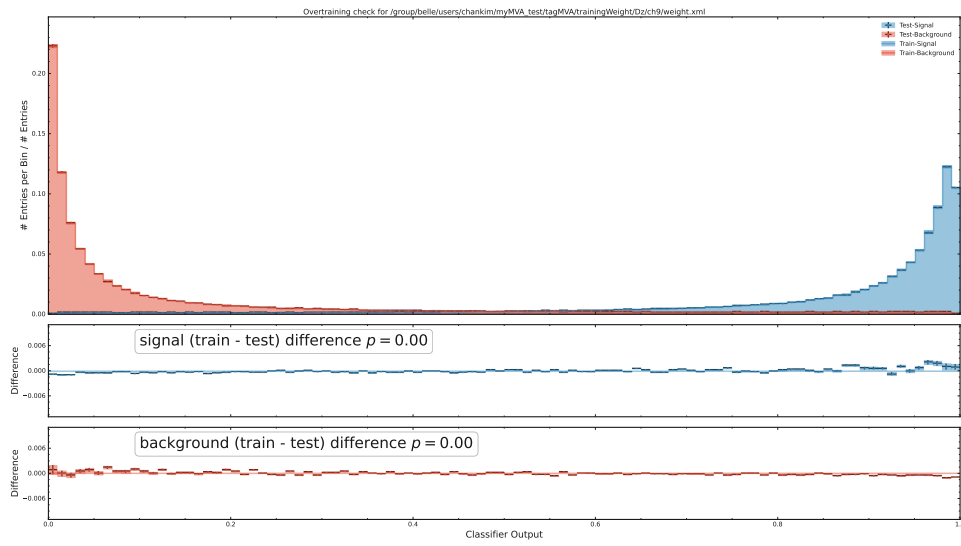


Figure 64: BDT output

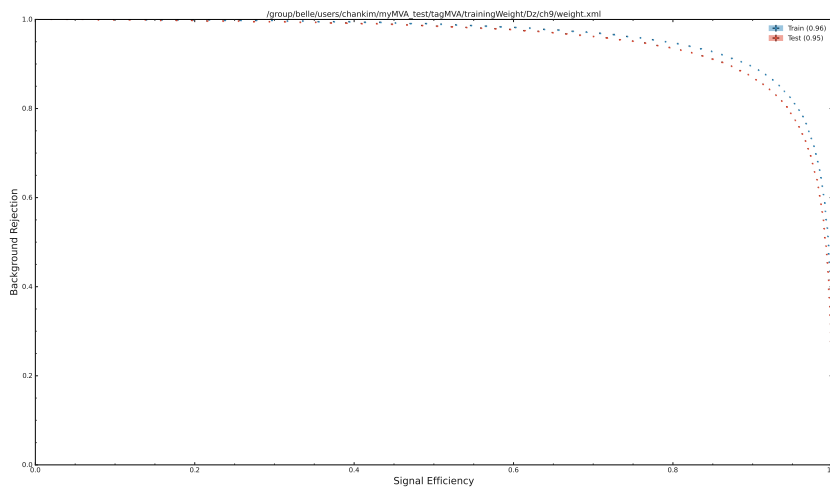


Figure 65: ROC Curve



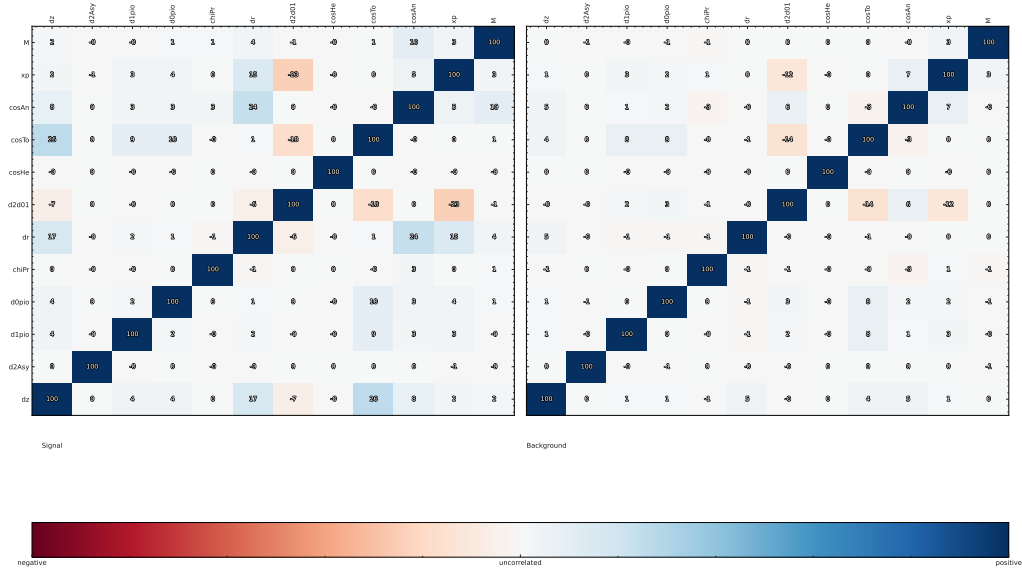


Figure 66: Correlation plot

388 A.1.11  $D^0 \rightarrow \pi^+ \pi^- \pi^0 K_S^0$

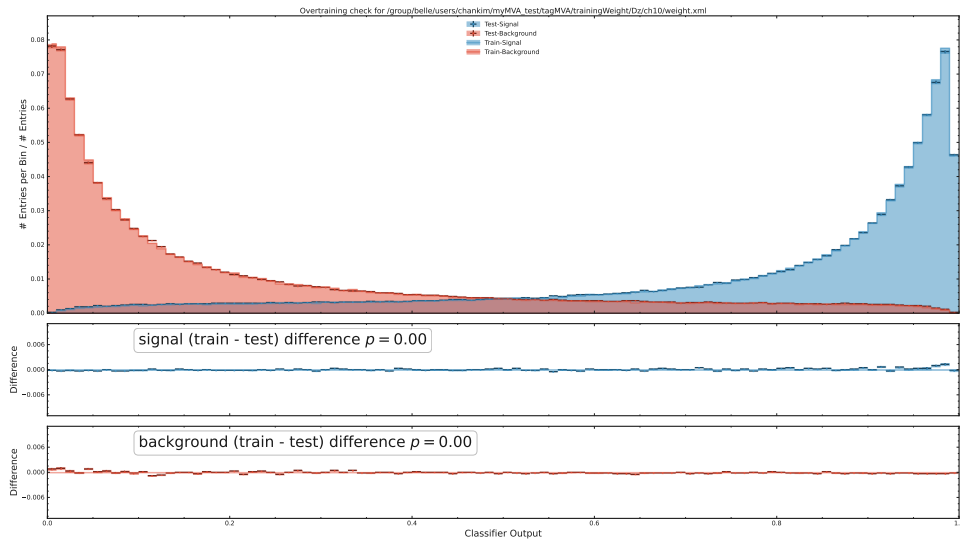


Figure 67: BDT output

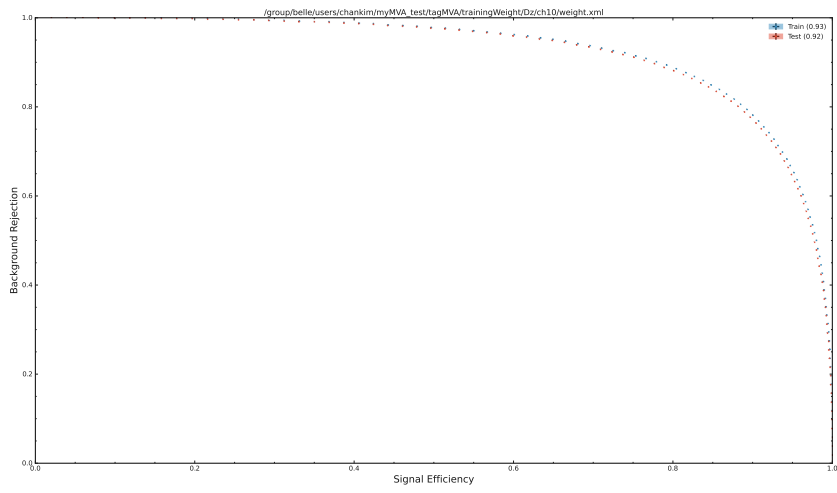


Figure 68: ROC Curve

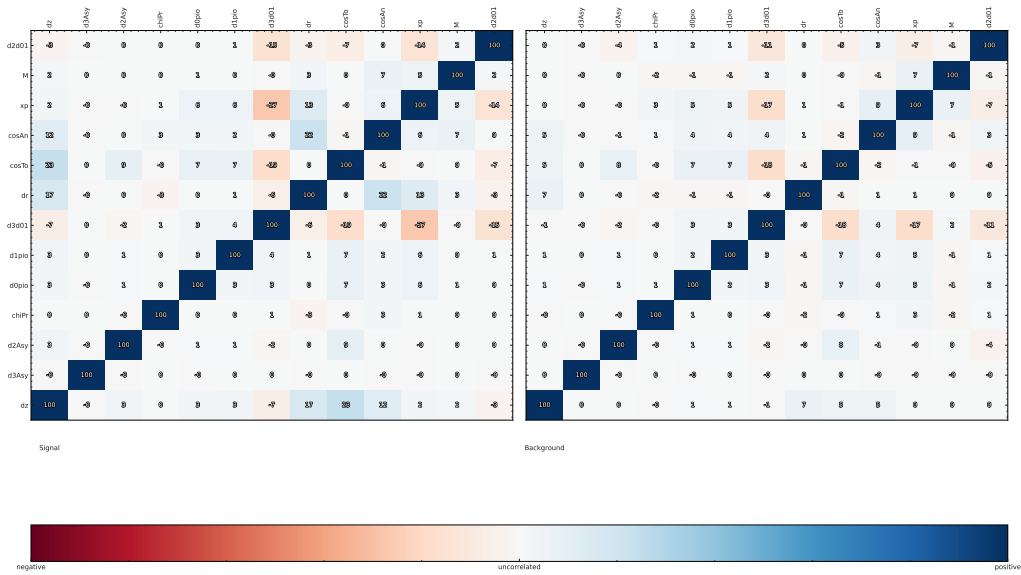


Figure 69: Correlation plot

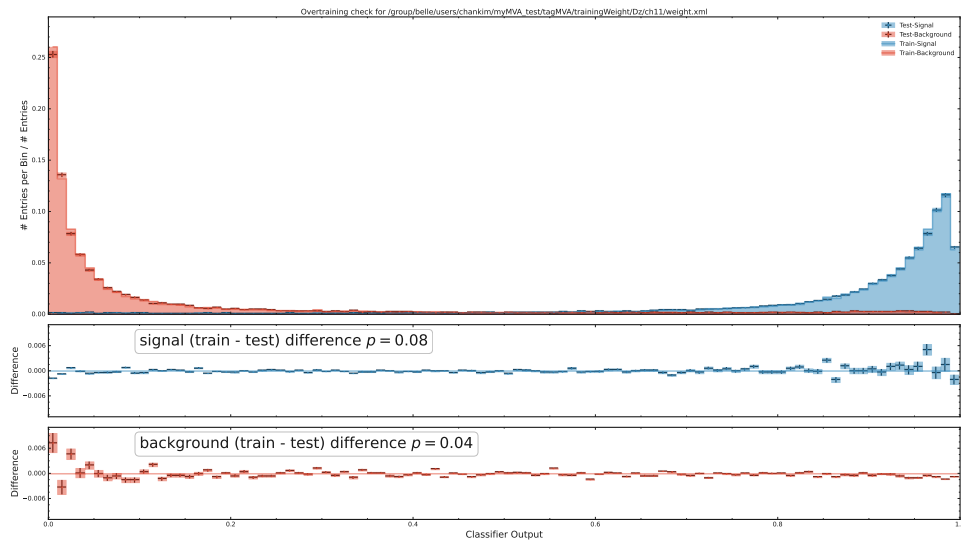


Figure 70: BDT output

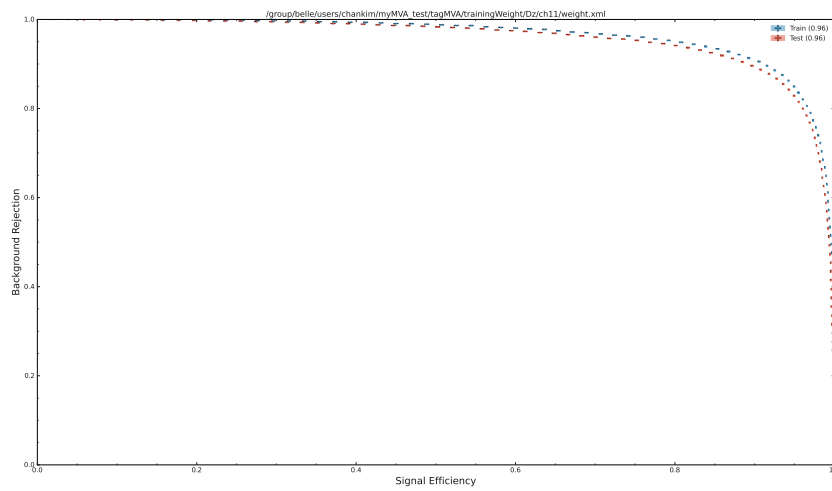


Figure 71: ROC Curve

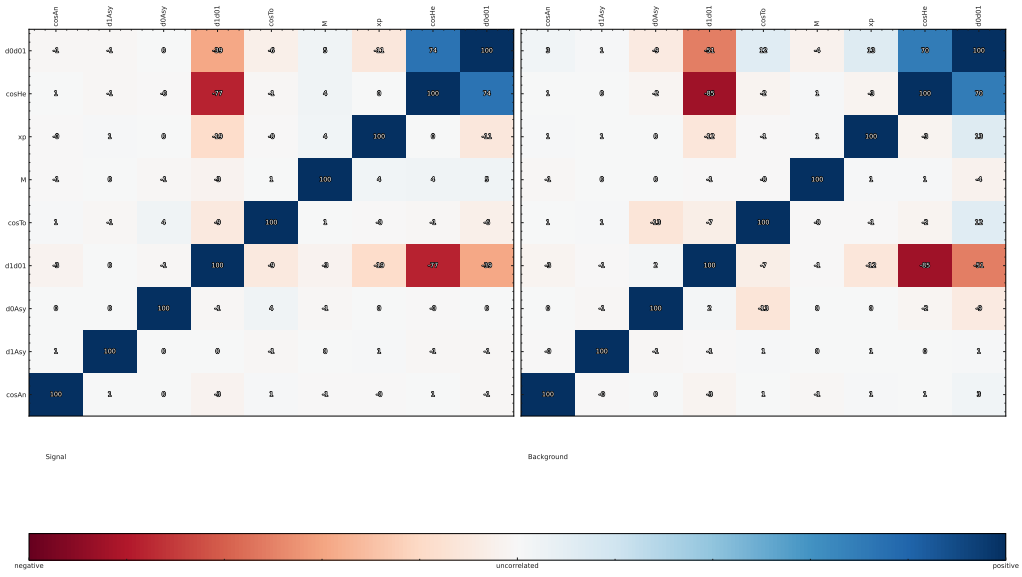


Figure 72: Correlation plot

390 **A.1.13**  $D^0 \rightarrow K^+K^-$

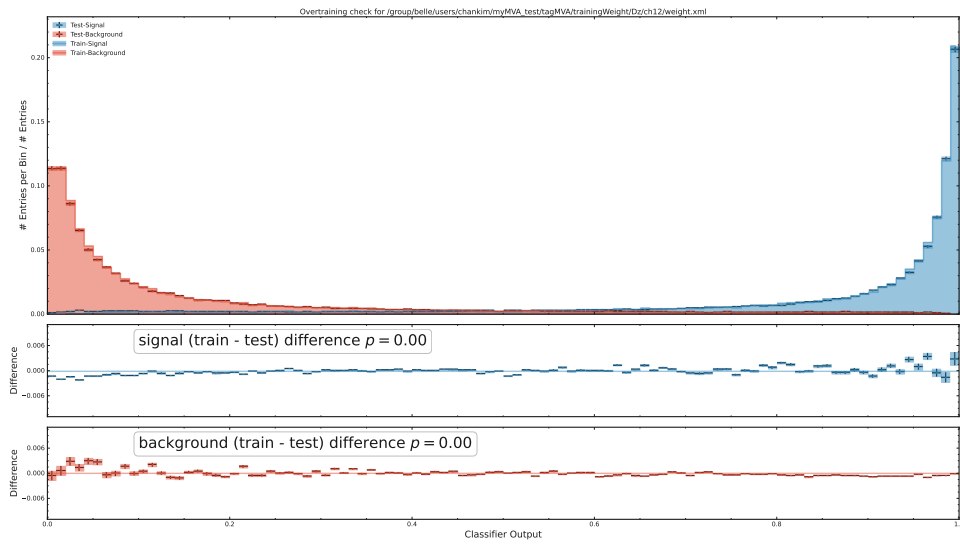


Figure 73: BDT output

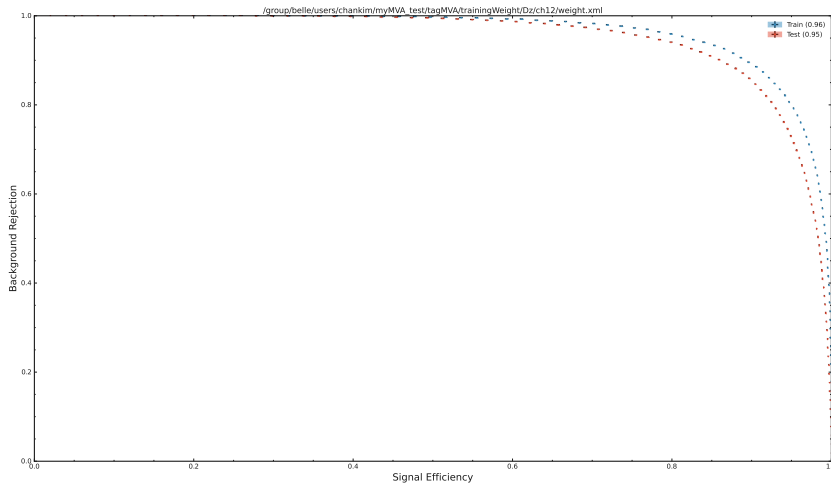


Figure 74: ROC Curve

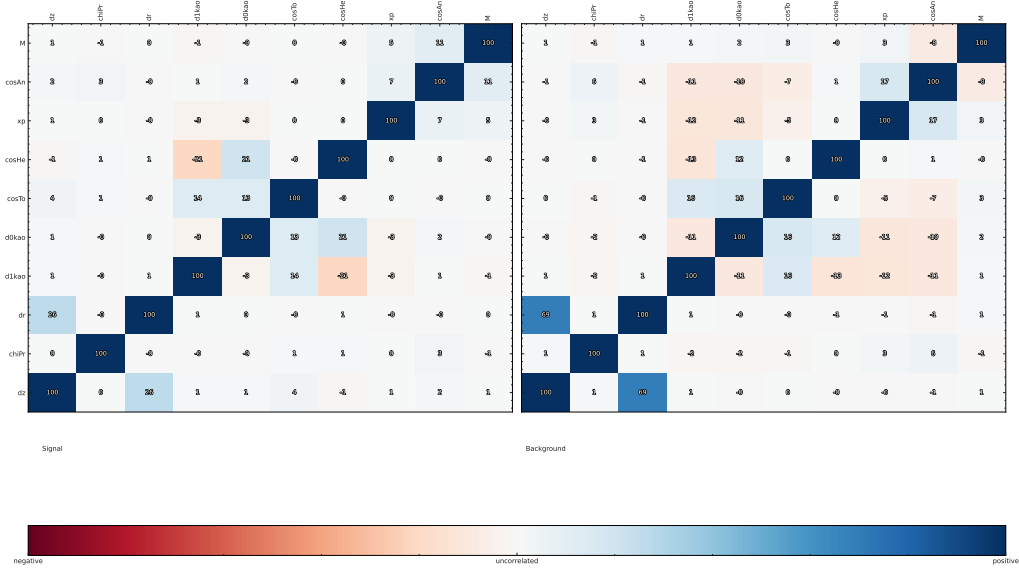


Figure 75: Correlation plot

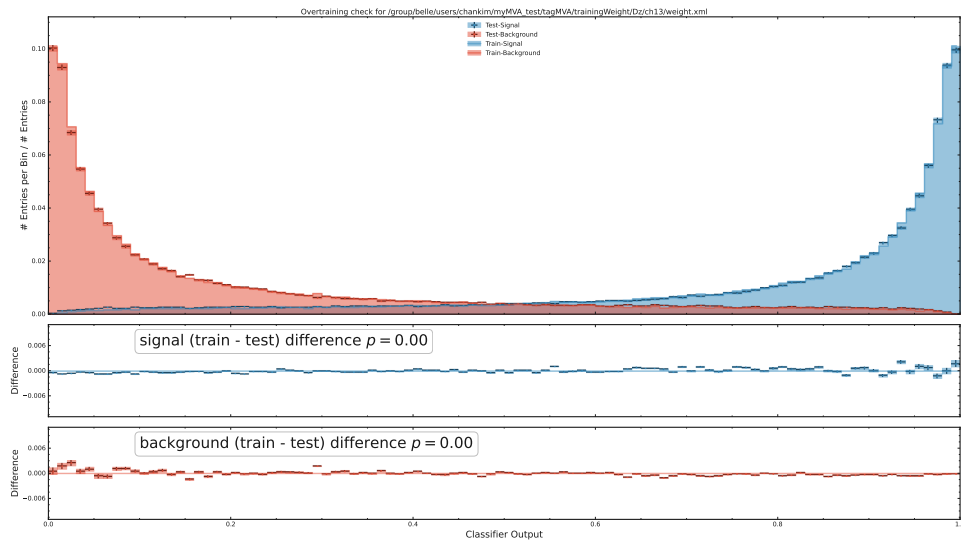


Figure 76: BDT output

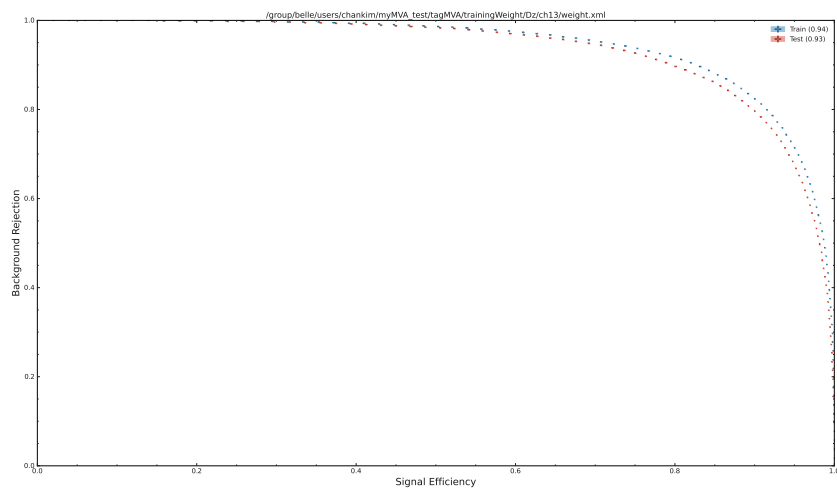


Figure 77: ROC Curve

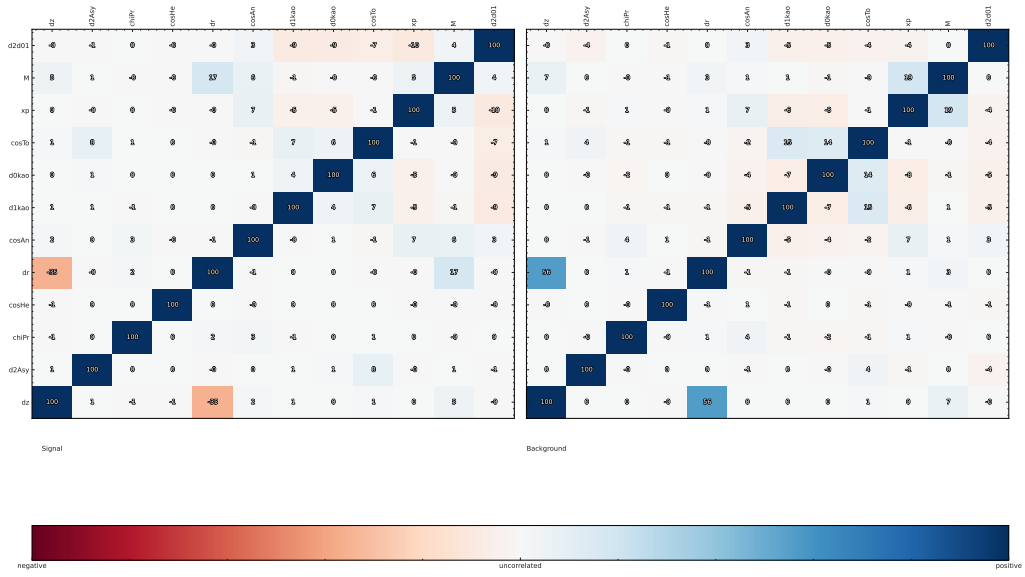


Figure 78: Correlation plot

392 **A.1.15**  $D^0 \rightarrow K^+K^-K_S^0$

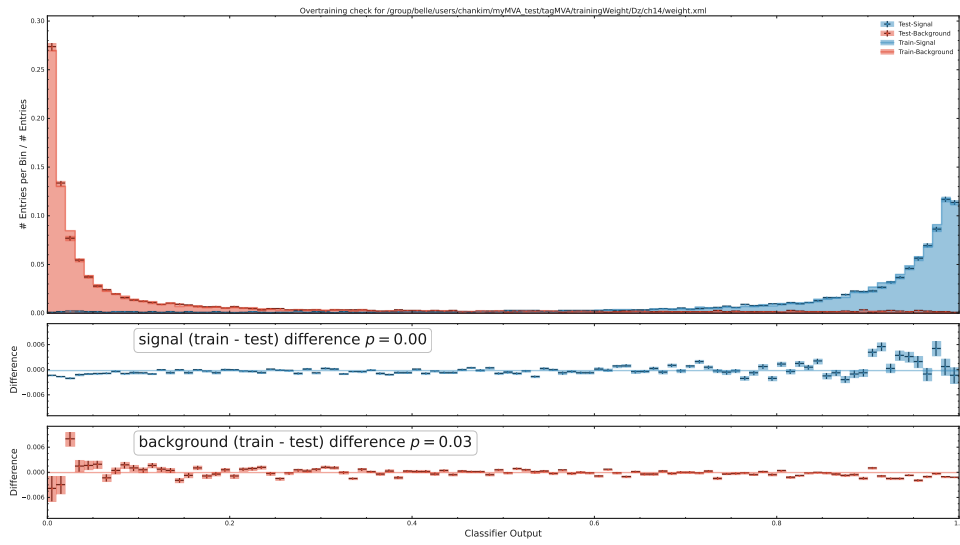


Figure 79: BDT output

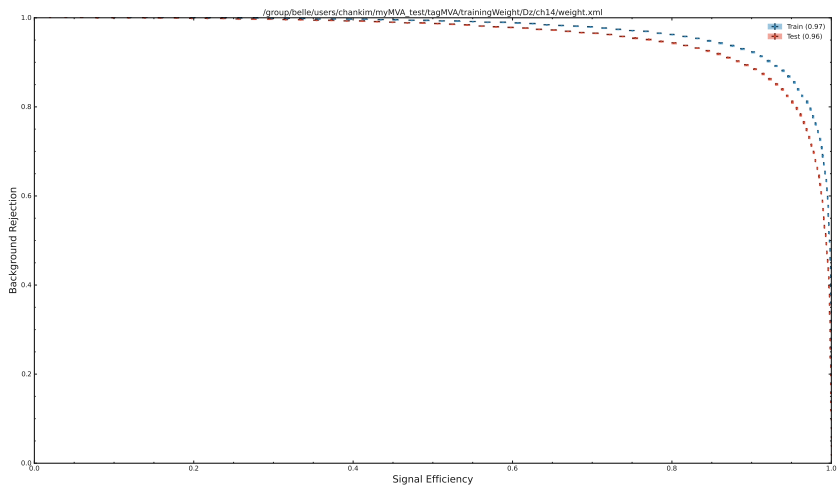


Figure 80: ROC Curve

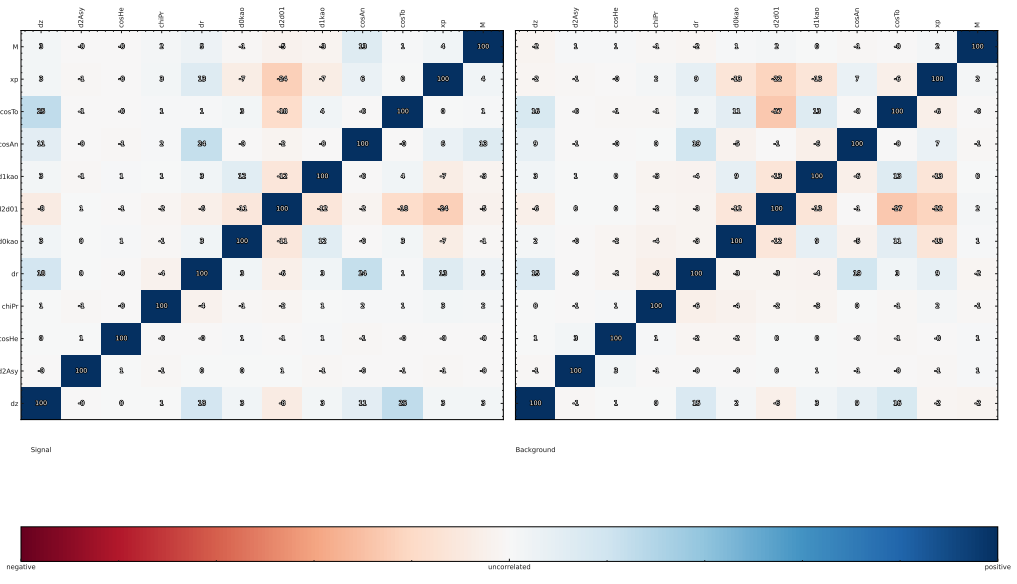


Figure 81: Correlation plot



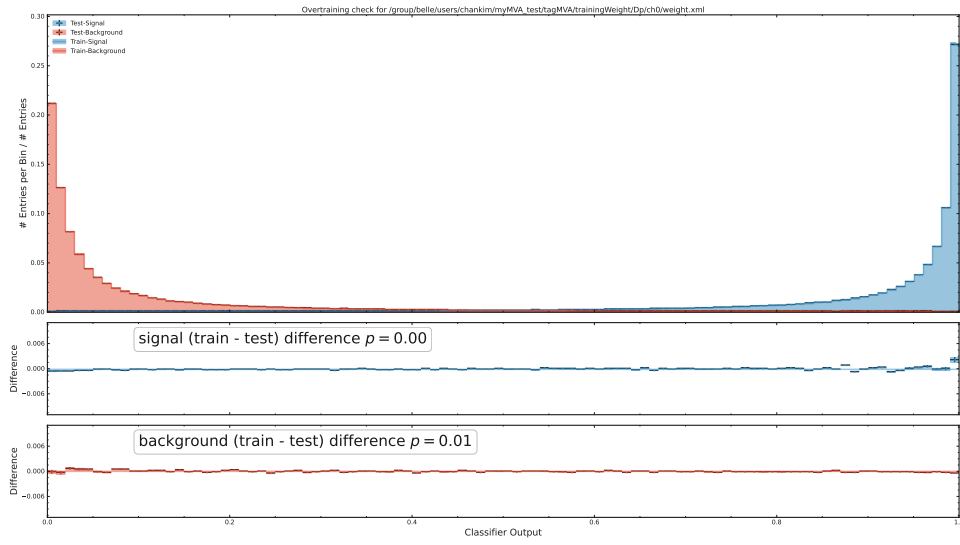


Figure 82: BDT output

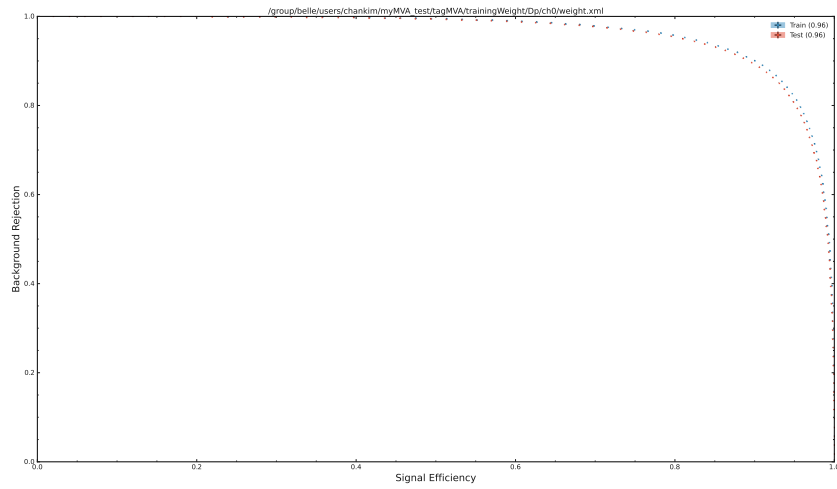


Figure 83: ROC Curve

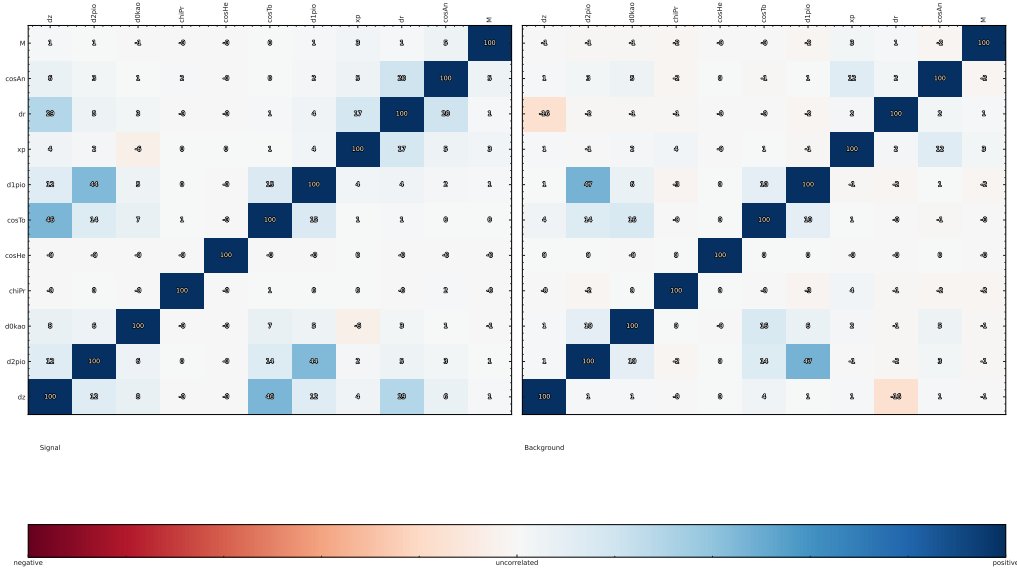


Figure 84: Correlation plot

394 **A.1.17**  $D^+ \rightarrow K^- \pi^+ \pi^+ \pi^0$

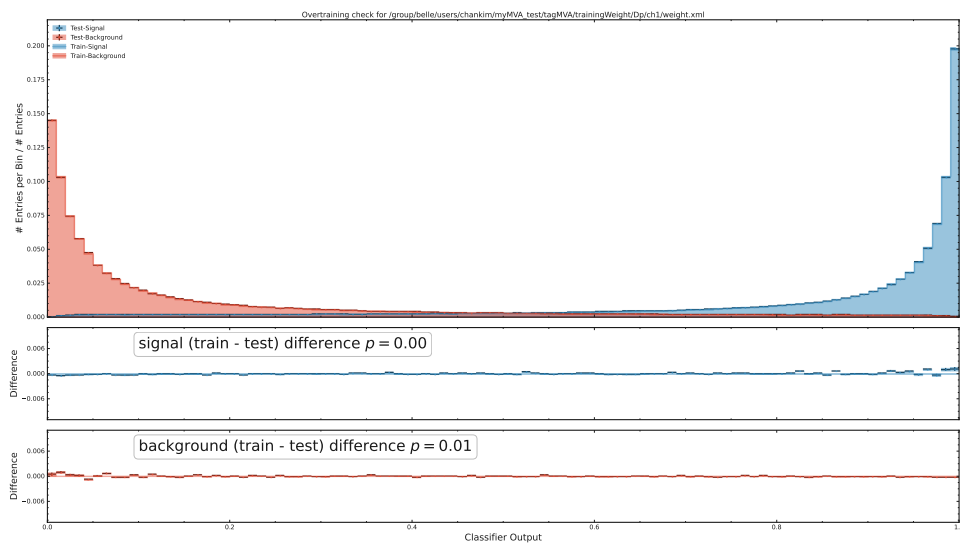


Figure 85: BDT output

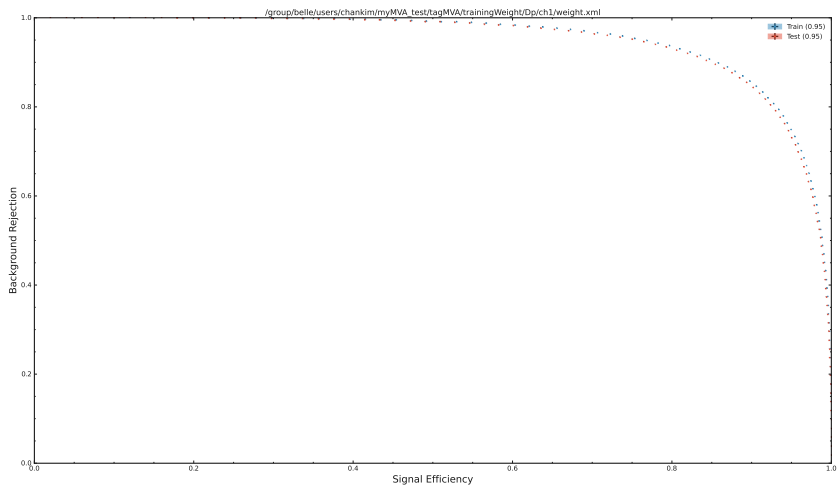


Figure 86: ROC Curve

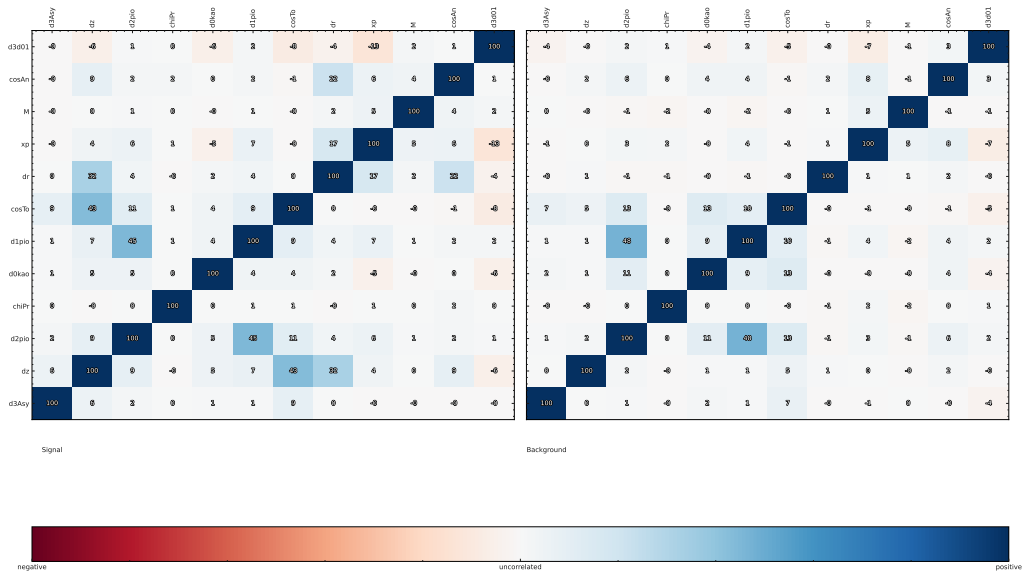


Figure 87: Correlation plot

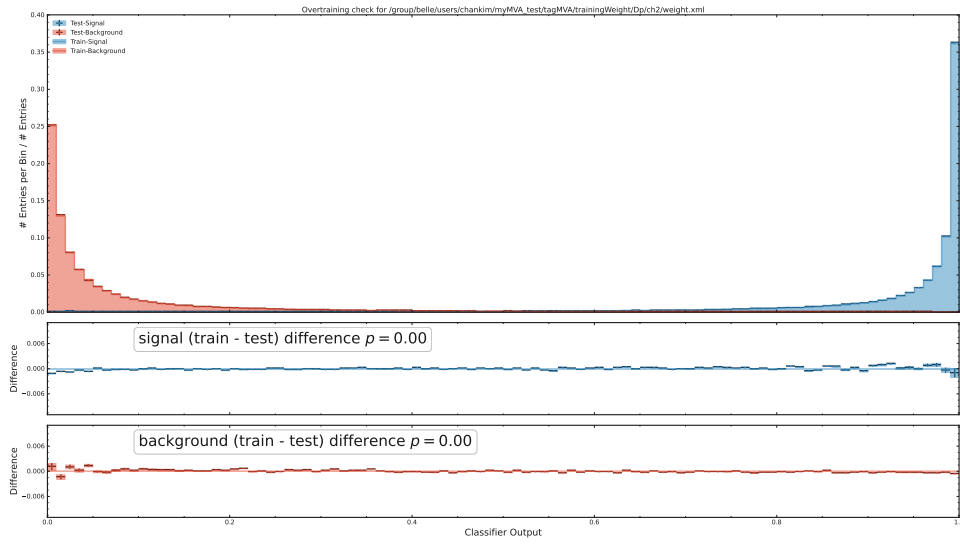


Figure 88: BDT output

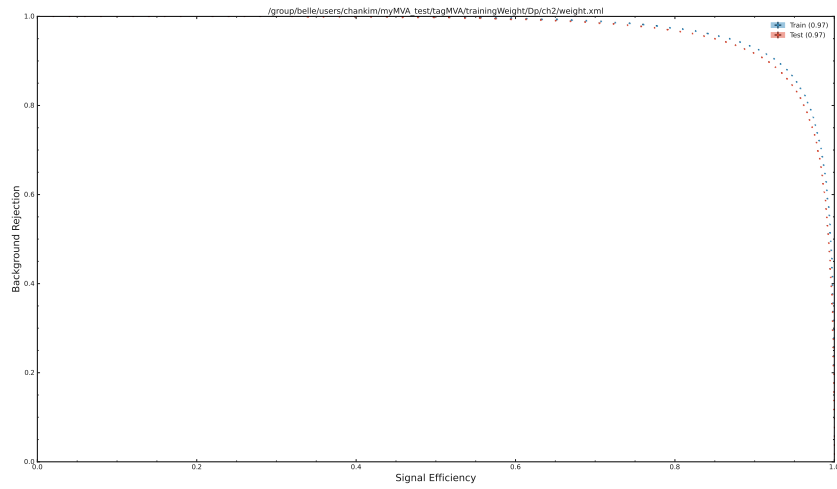


Figure 89: ROC Curve

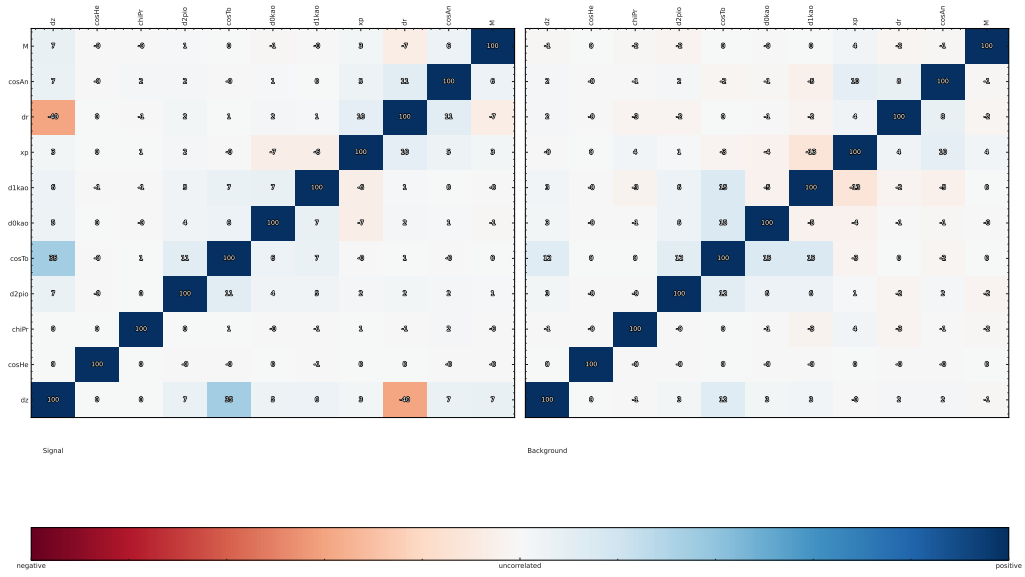


Figure 90: Correlation plot

396 A.1.19  $D^+ \rightarrow K^- K^+ \pi^+ \pi^0$

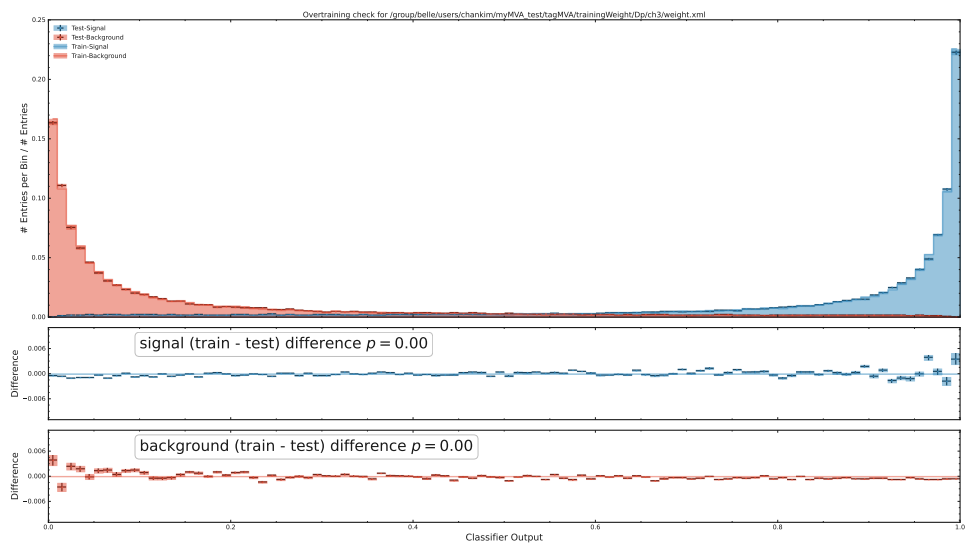


Figure 91: BDT output

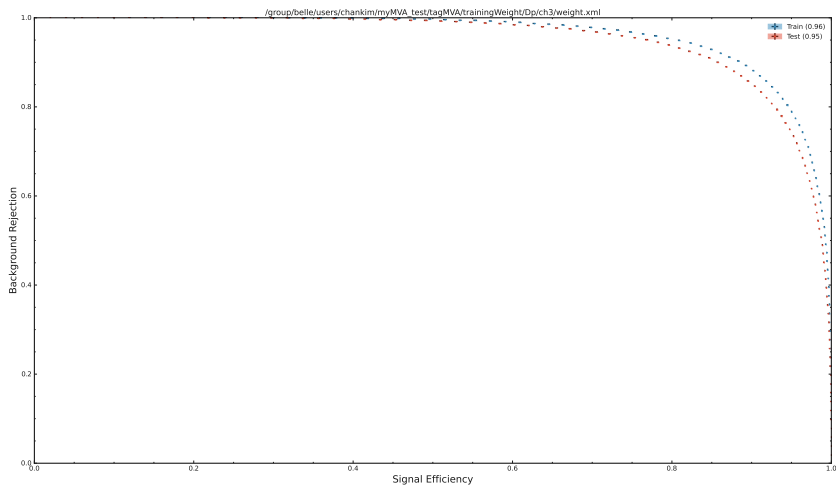


Figure 92: ROC Curve

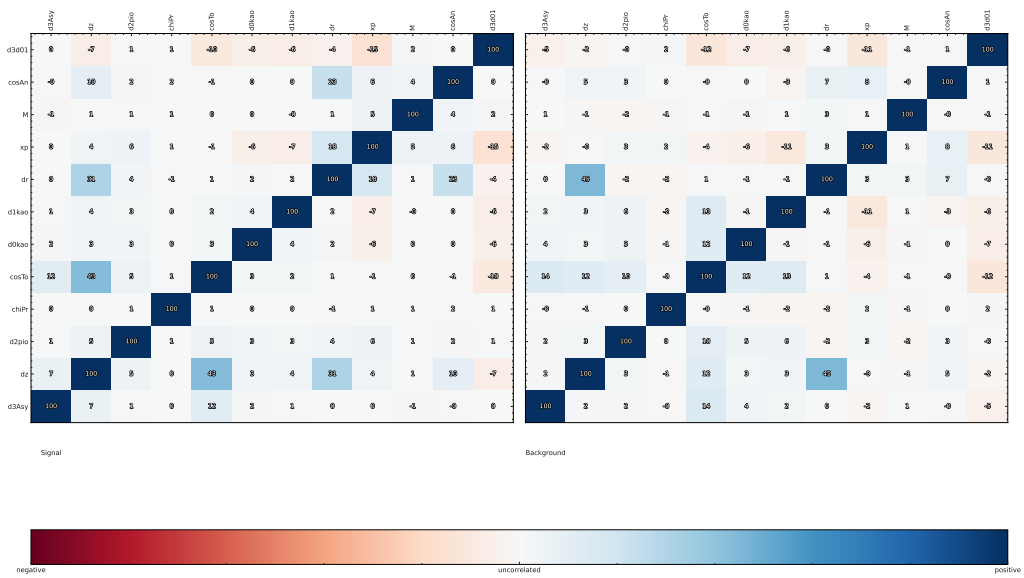


Figure 93: Correlation plot

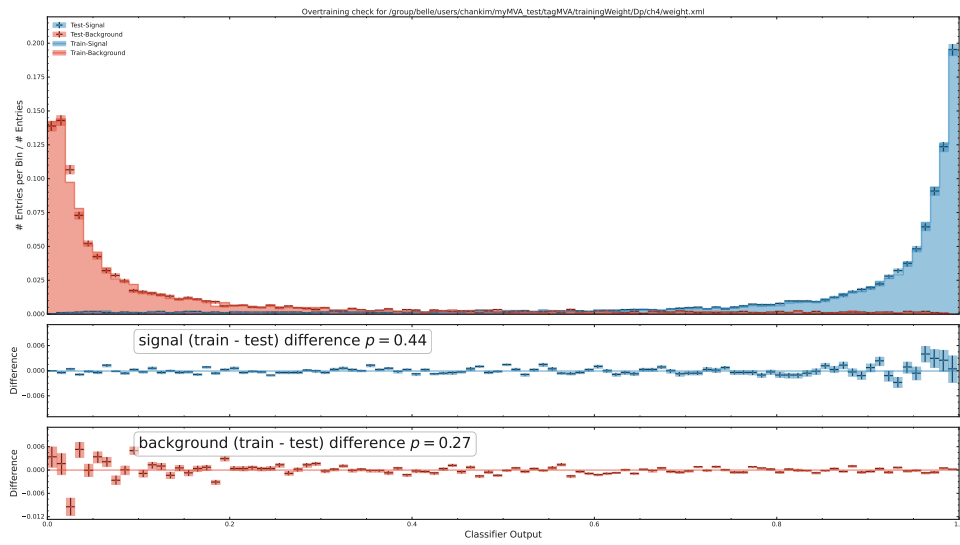


Figure 94: BDT output

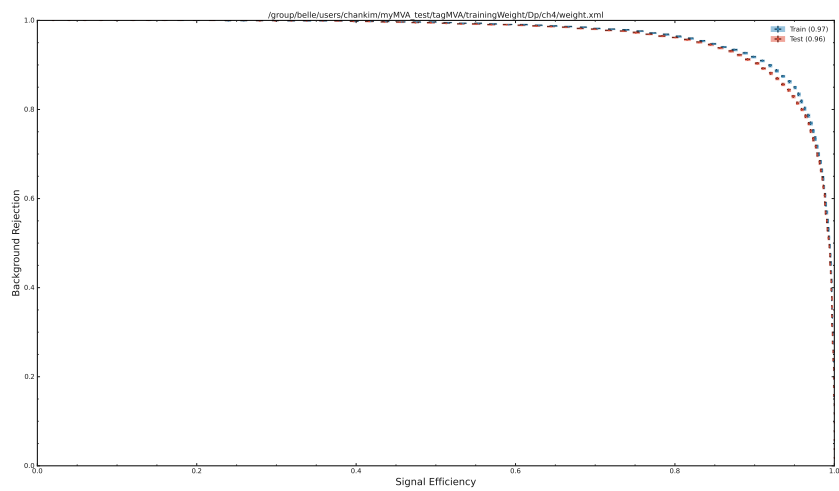


Figure 95: ROC Curve

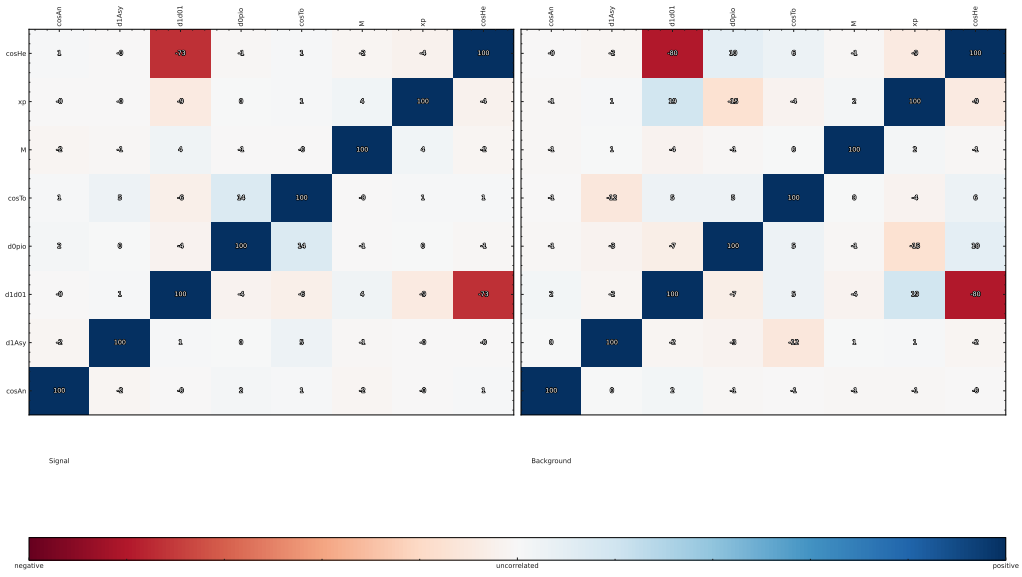


Figure 96: Correlation plot

398 **A.1.21**  $D^+ \rightarrow \pi^+ \pi^- \pi^+$

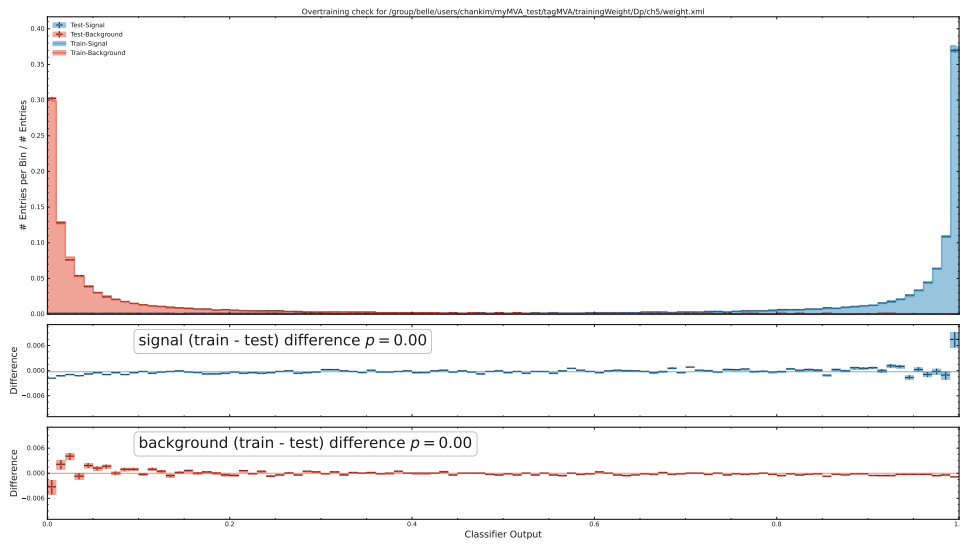


Figure 97: BDT output



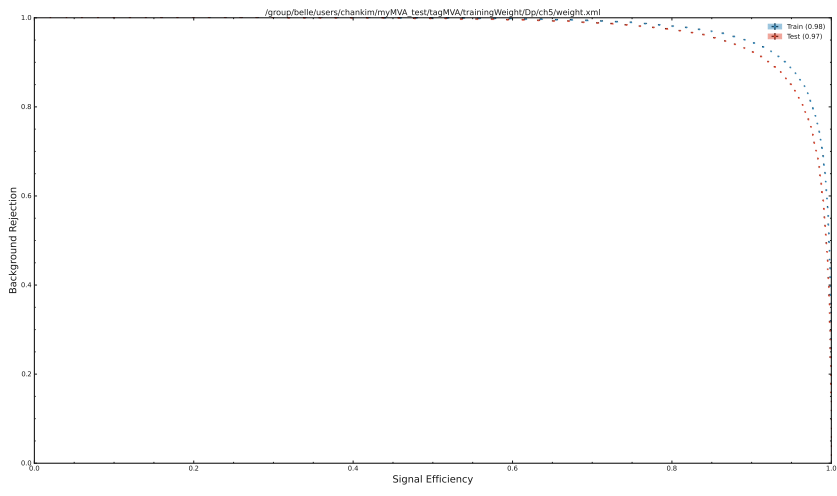


Figure 98: ROC Curve

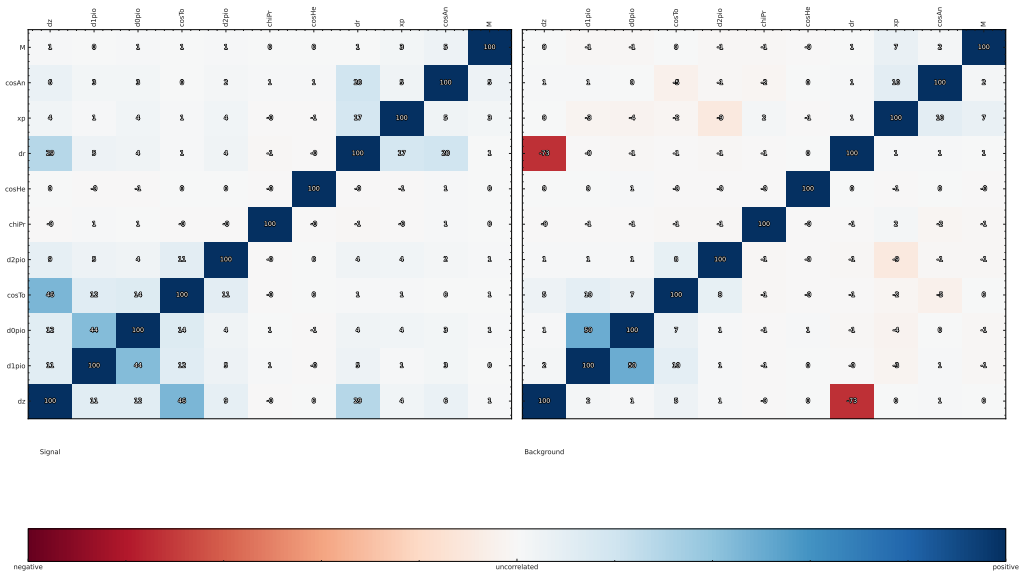


Figure 99: Correlation plot

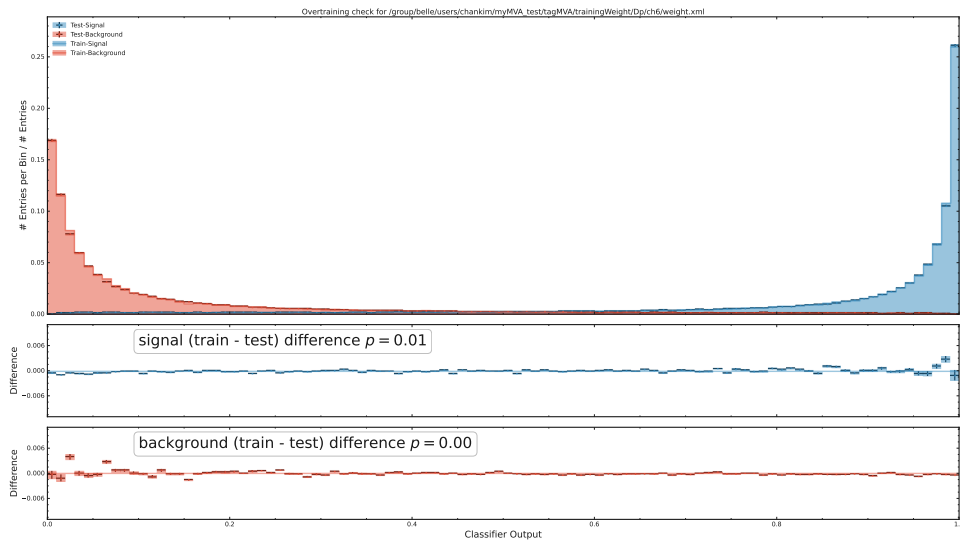


Figure 100: BDT output

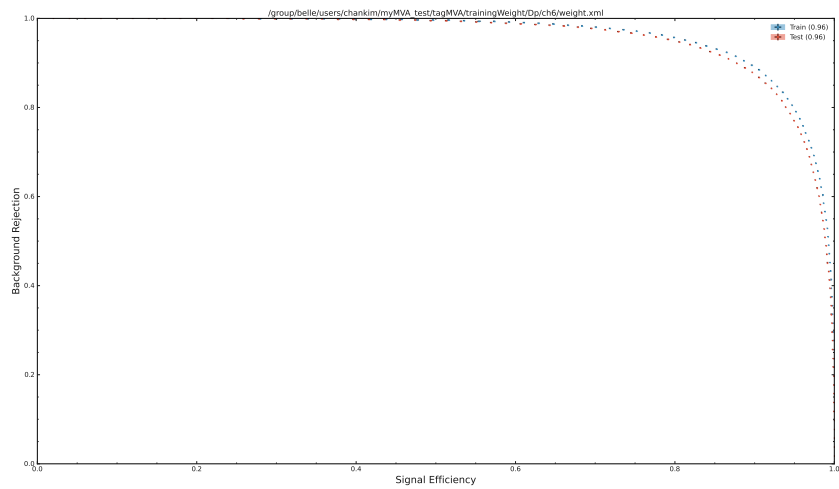


Figure 101: ROC Curve

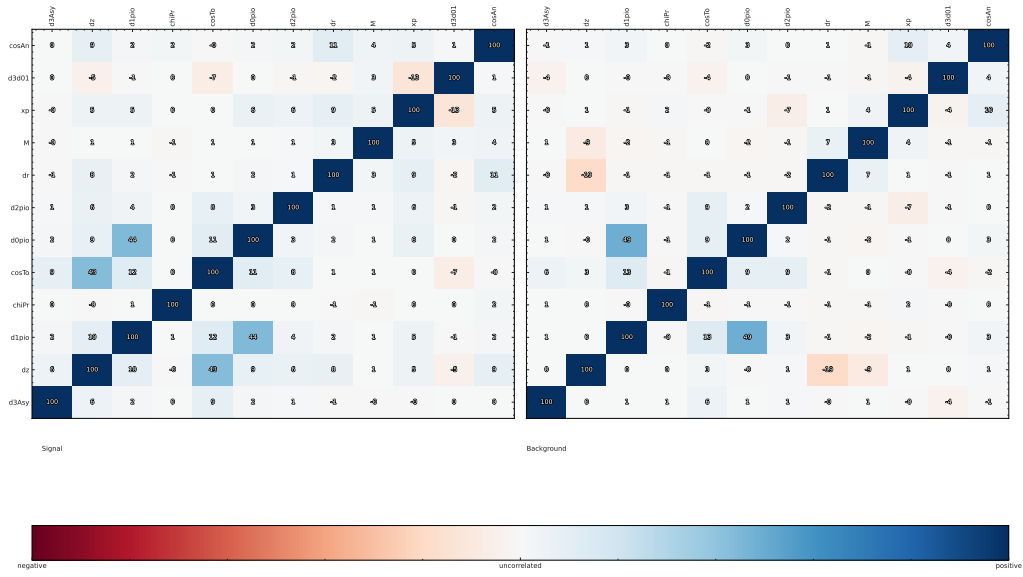


Figure 102: Correlation plot

400 **A.1.23**  $D^+ \rightarrow \pi^+ K_S^0$

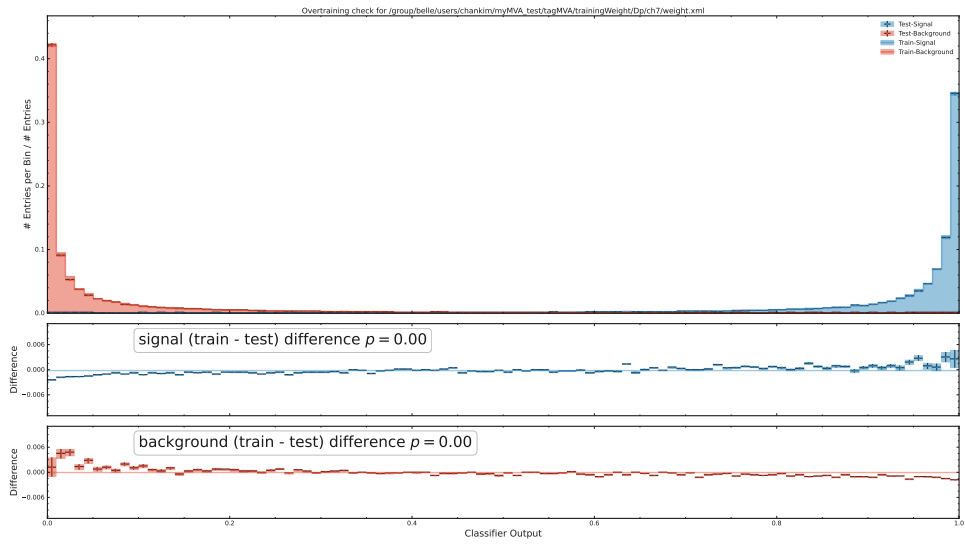


Figure 103: BDT output

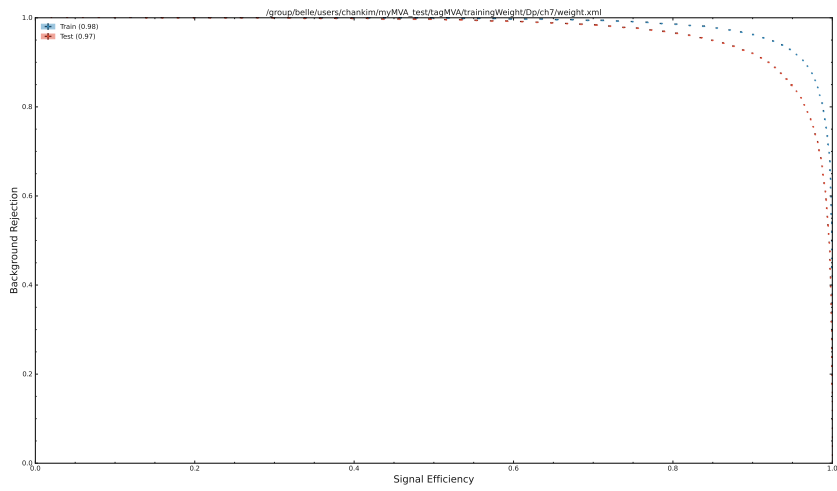


Figure 104: ROC Curve

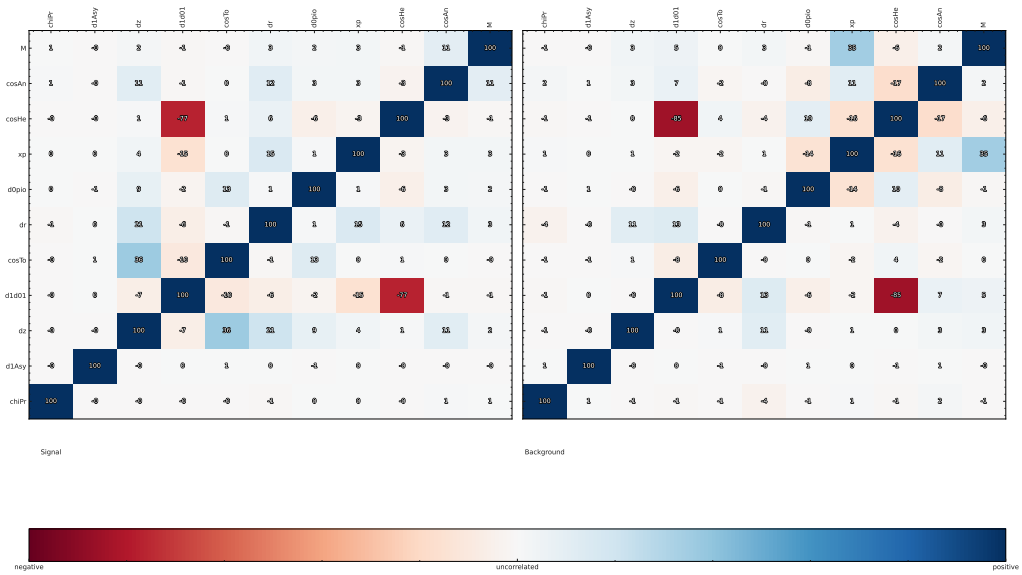


Figure 105: Correlation plot

401 A.1.24  $D^+ \rightarrow \pi^+ \pi^0 K_S^0$

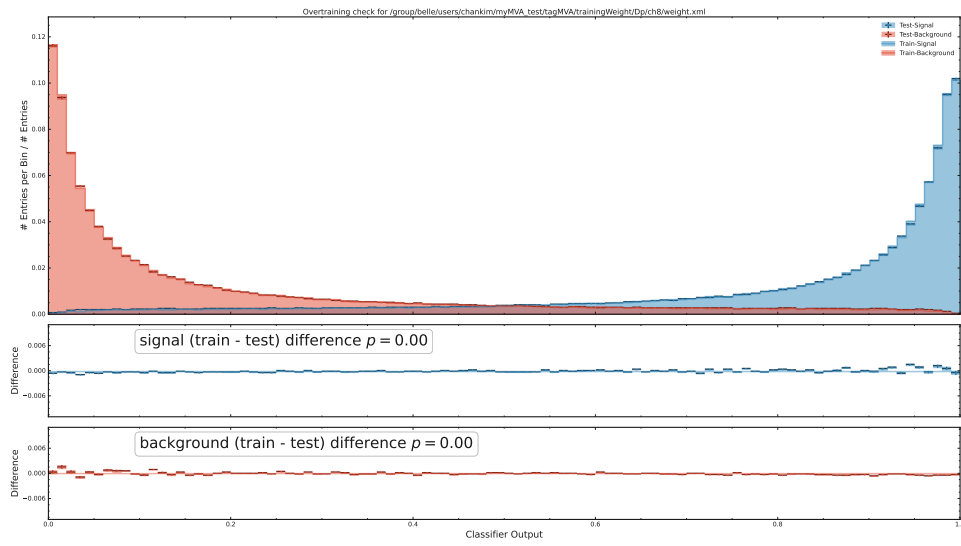


Figure 106: BDT output

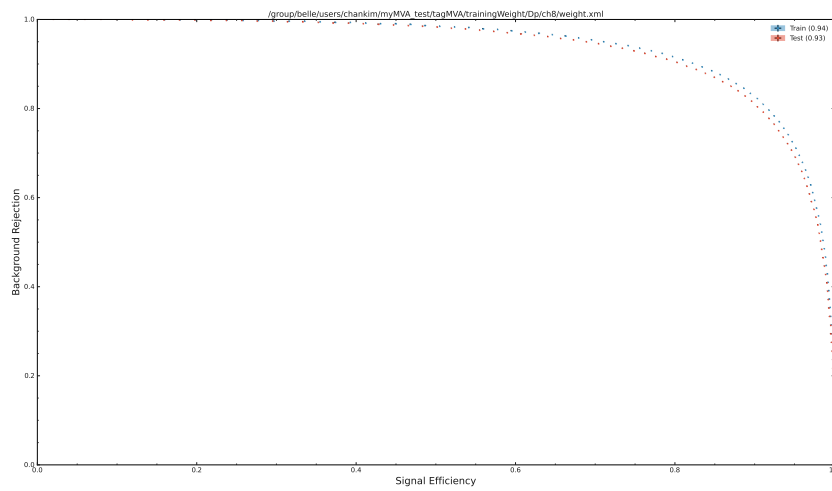


Figure 107: ROC Curve

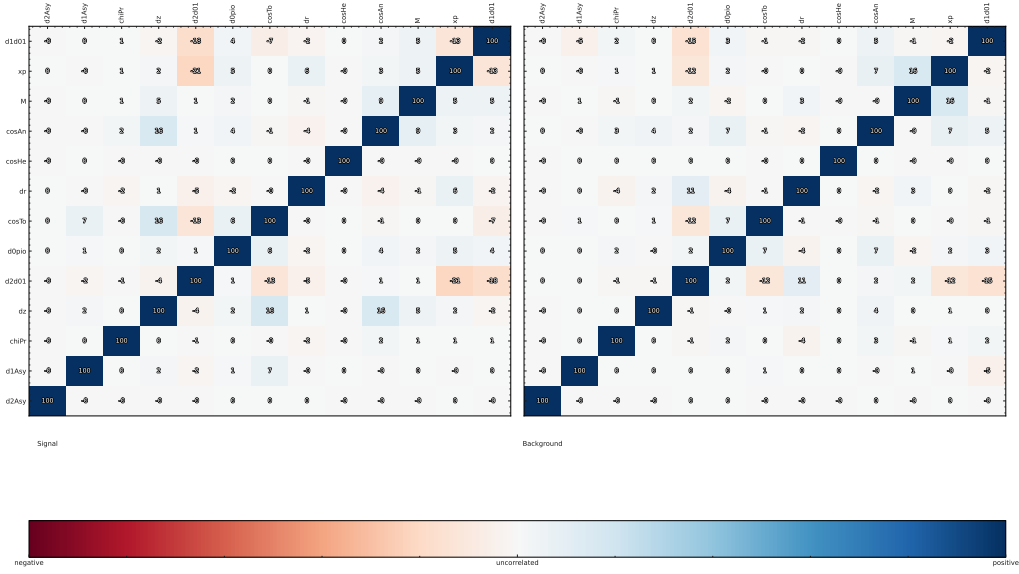


Figure 108: Correlation plot

402 **A.1.25**  $D^+ \rightarrow \pi^+ \pi^- \pi^+ K_S^0$

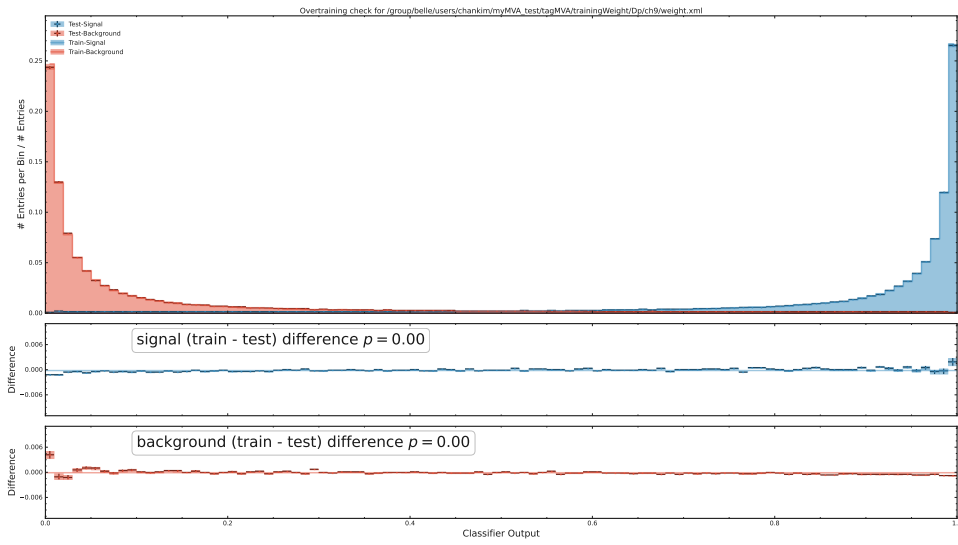


Figure 109: BDT output

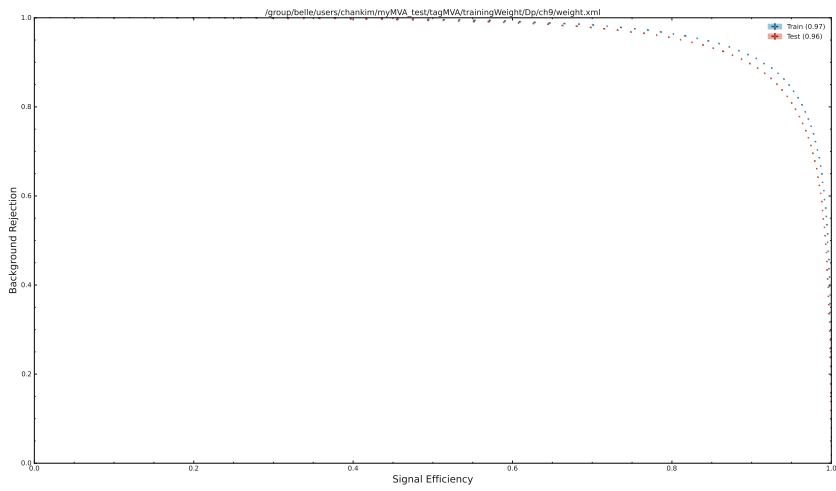


Figure 110: ROC Curve

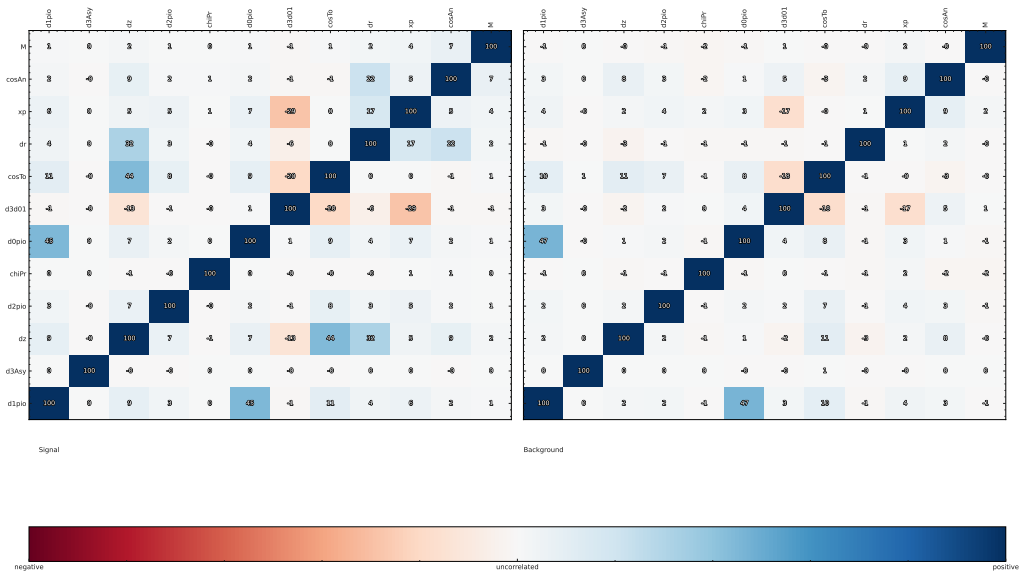


Figure 111: Correlation plot

403 A.1.26  $D^+ \rightarrow K^+ K_S^0 K_S^0$

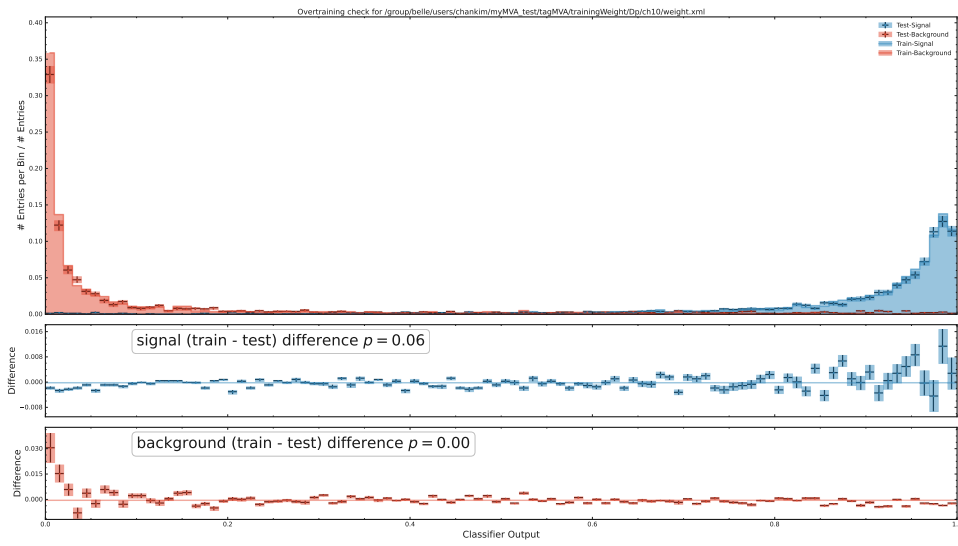


Figure 112: BDT output

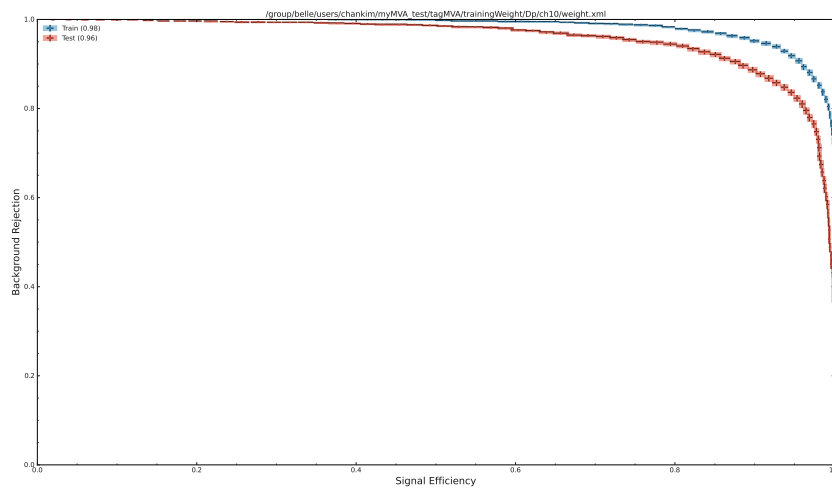


Figure 113: ROC Curve



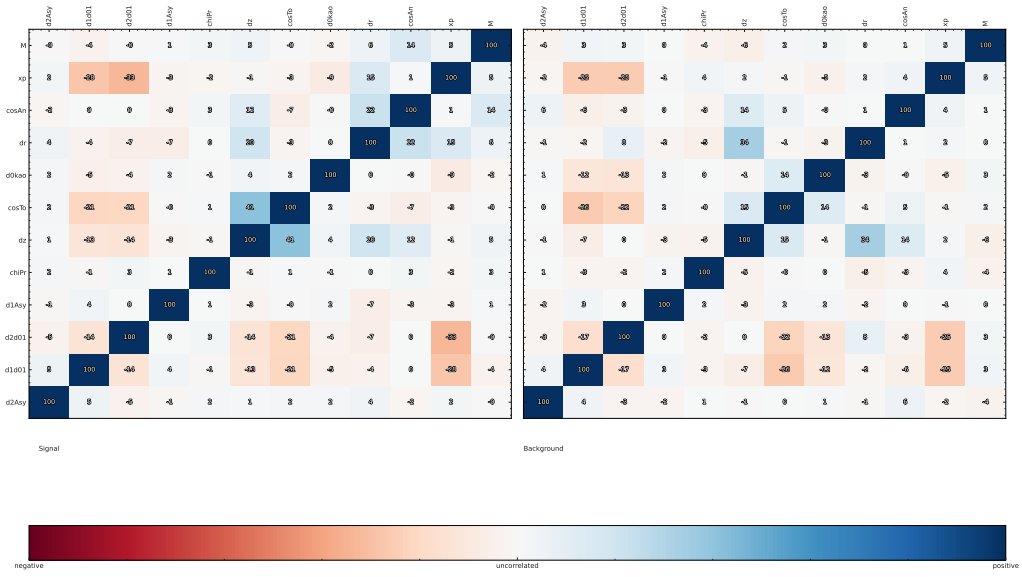


Figure 114: Correlation plot

404 **A.1.27**  $D_s^+ \rightarrow K^+ K^- \pi^+$

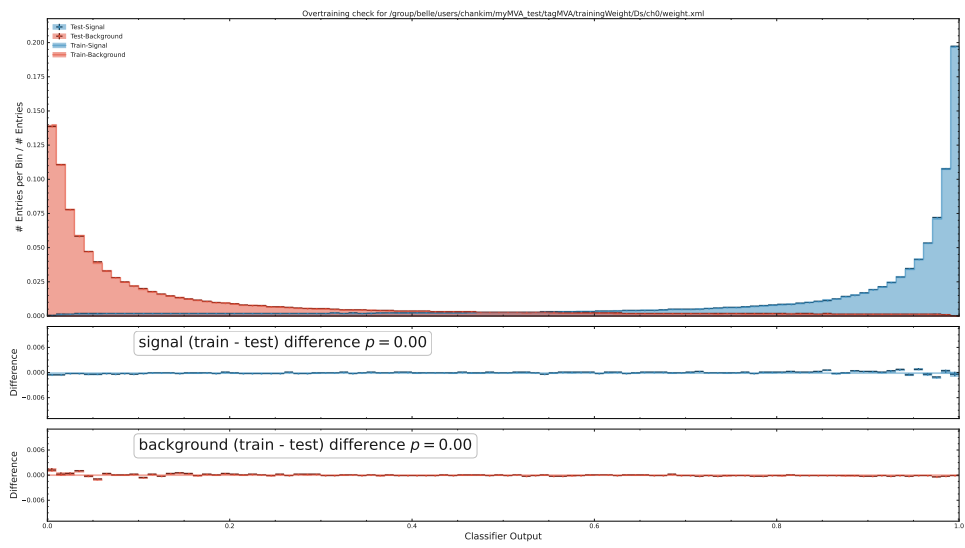


Figure 115: BDT output

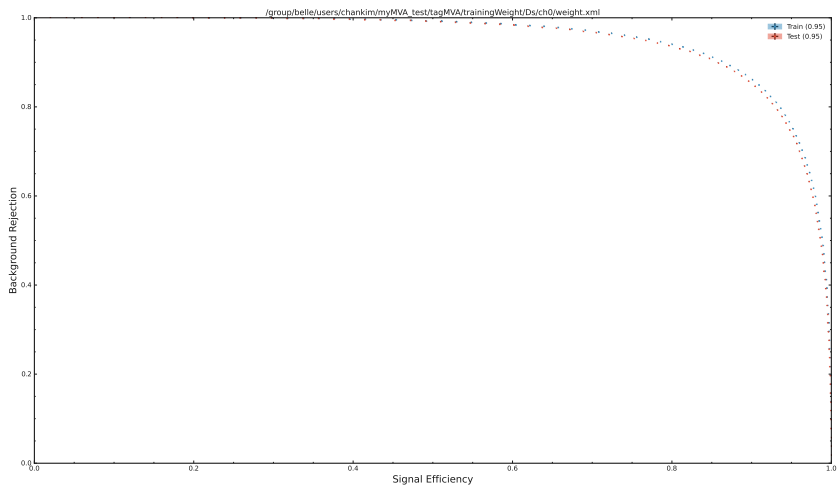


Figure 116: ROC Curve

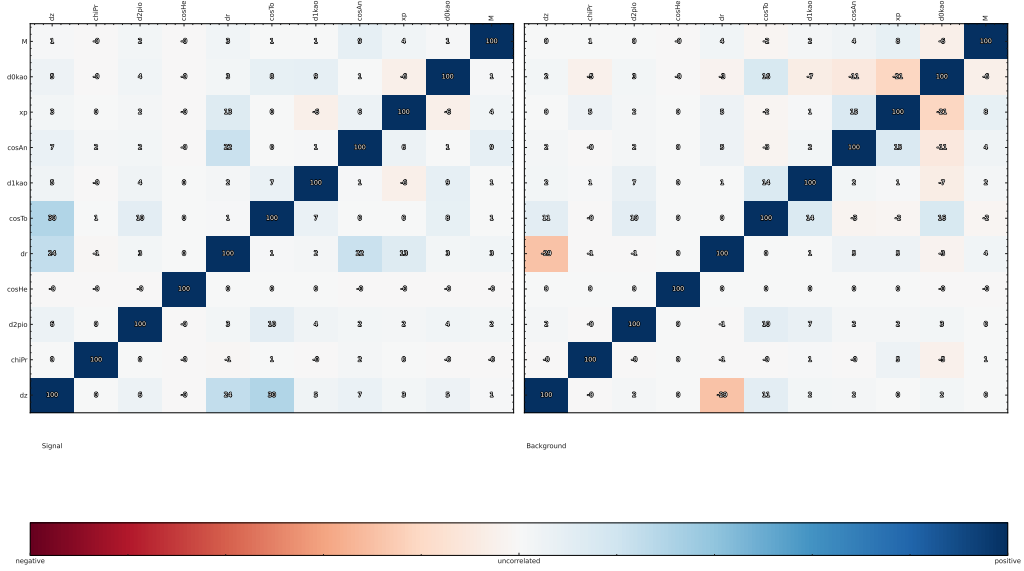


Figure 117: Correlation plot

405 A.1.28  $D_s^+ \rightarrow K^+ K_S^0$

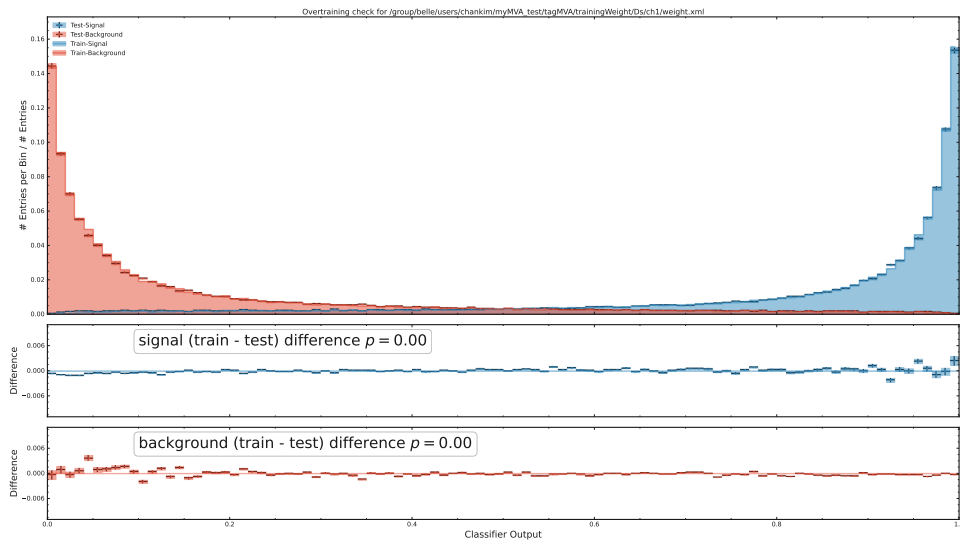


Figure 118: BDT output

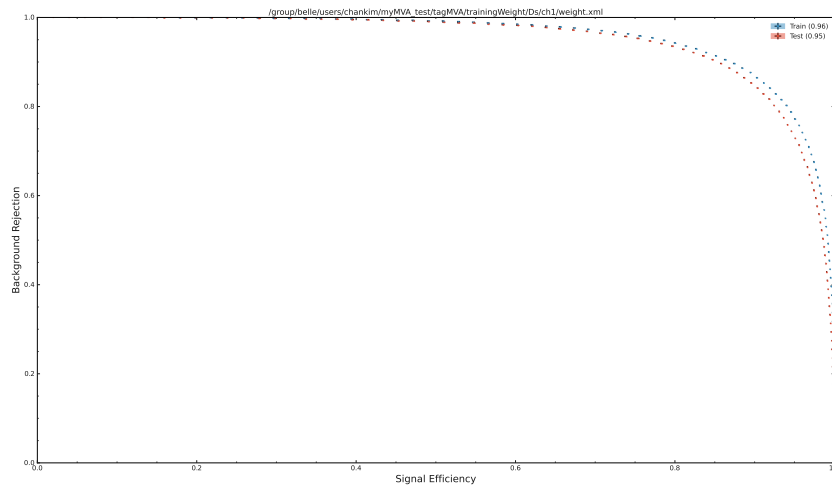


Figure 119: ROC Curve

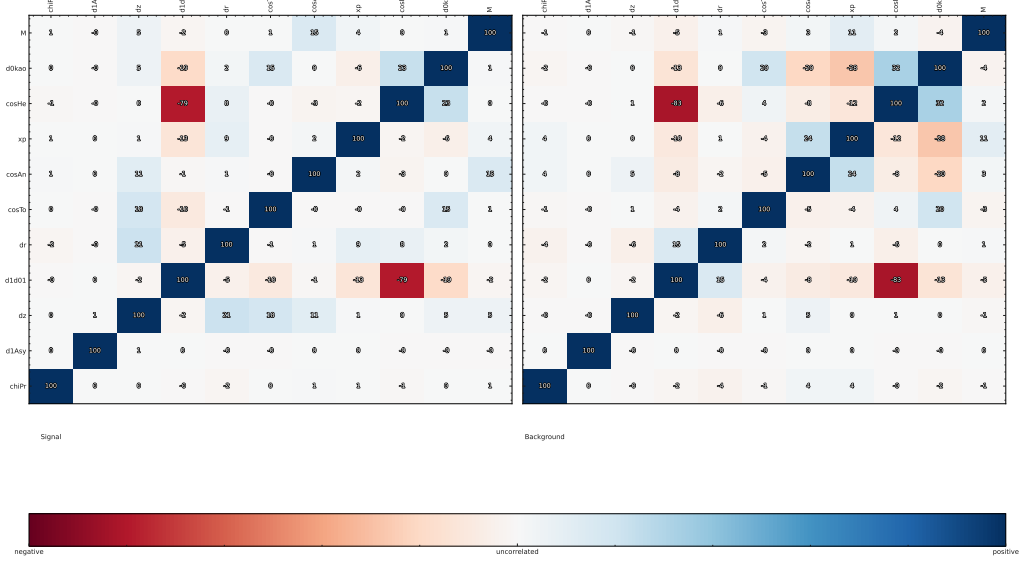


Figure 120: Correlation plot

406 **A.1.29**  $D_s^+ \rightarrow \pi^+ K_S^0 K_S^0$

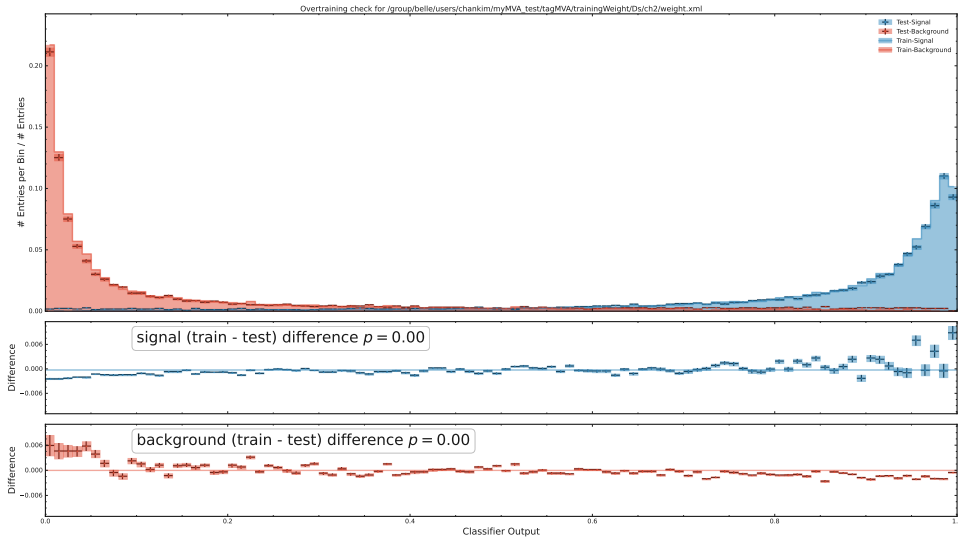


Figure 121: BDT output

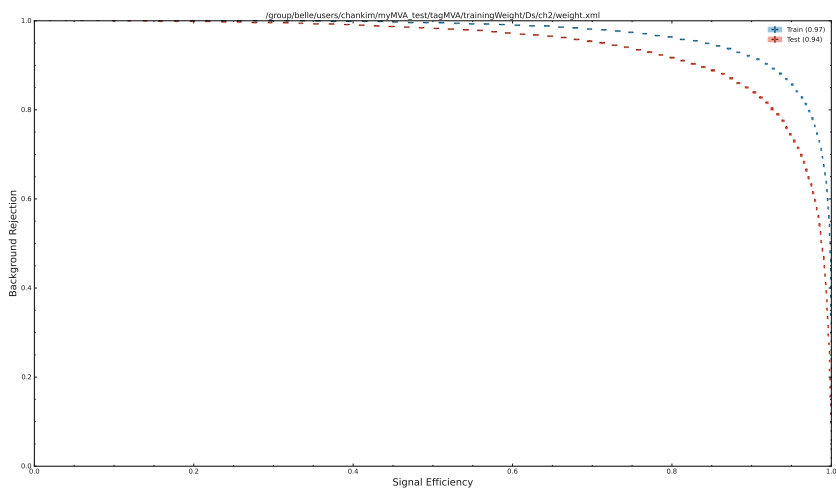


Figure 122: ROC Curve

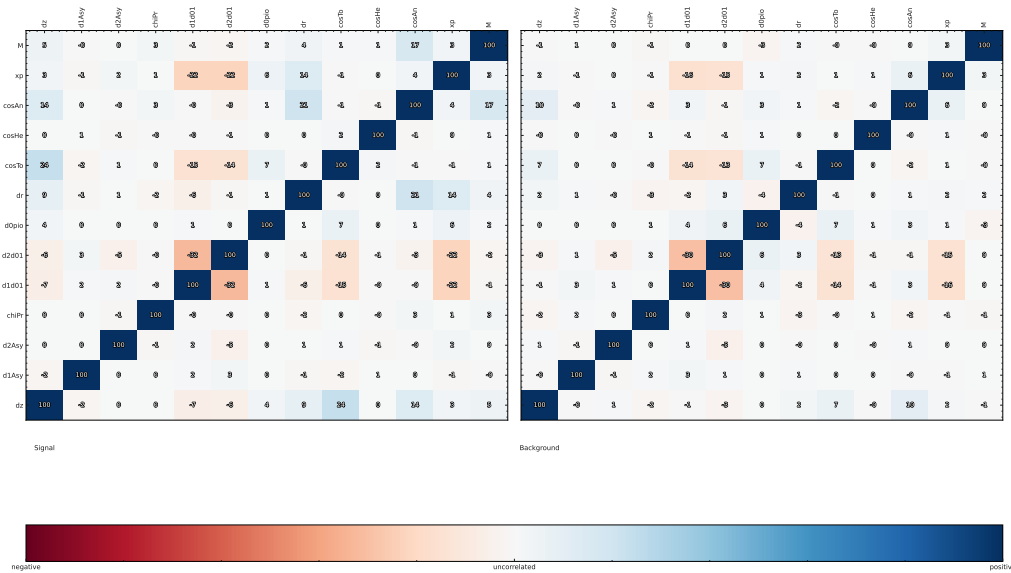


Figure 123: Correlation plot

407 **A.1.30**  $D_s^+ \rightarrow K^+ K^- \pi^+ \pi^0$

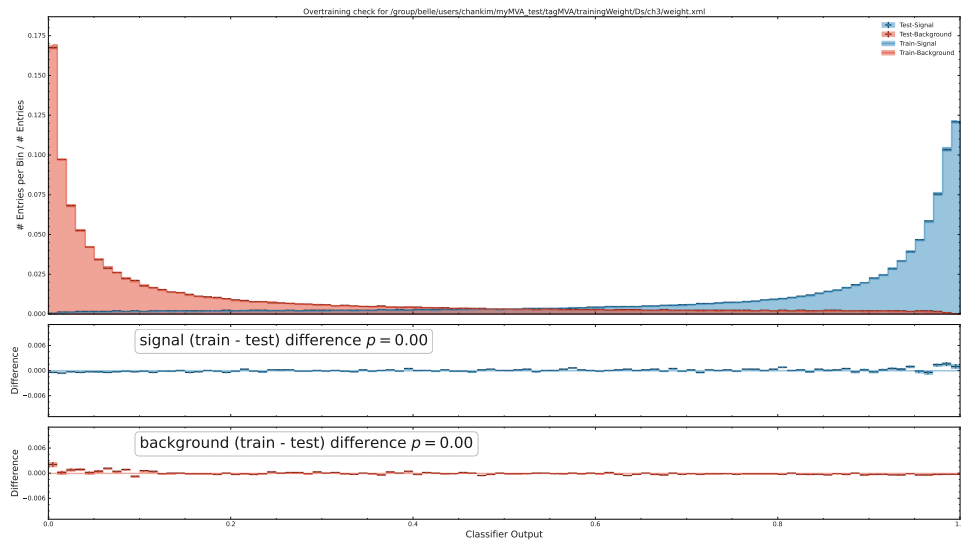


Figure 124: BDT output

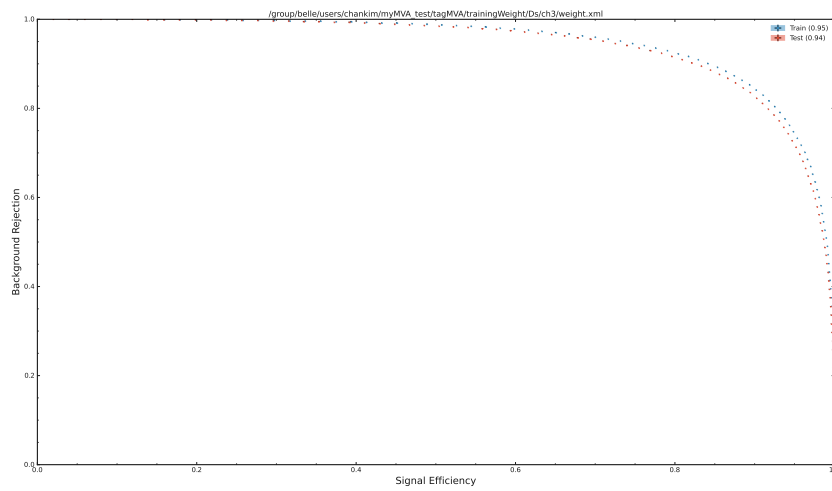


Figure 125: ROC Curve

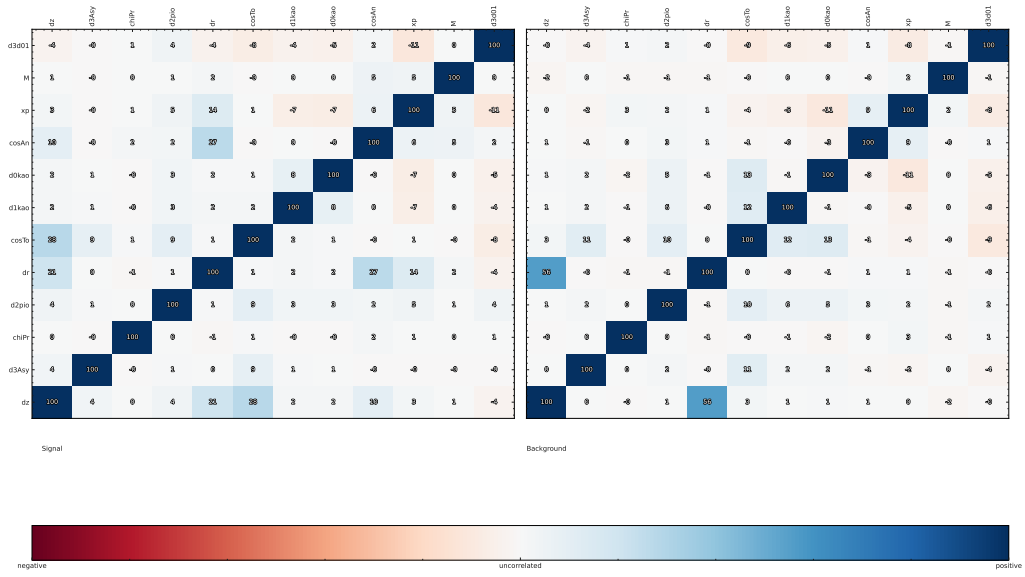


Figure 126: Correlation plot

408 **A.1.31**  $D_s^+ \rightarrow K^- \pi^+ \pi^+ K_S^0$

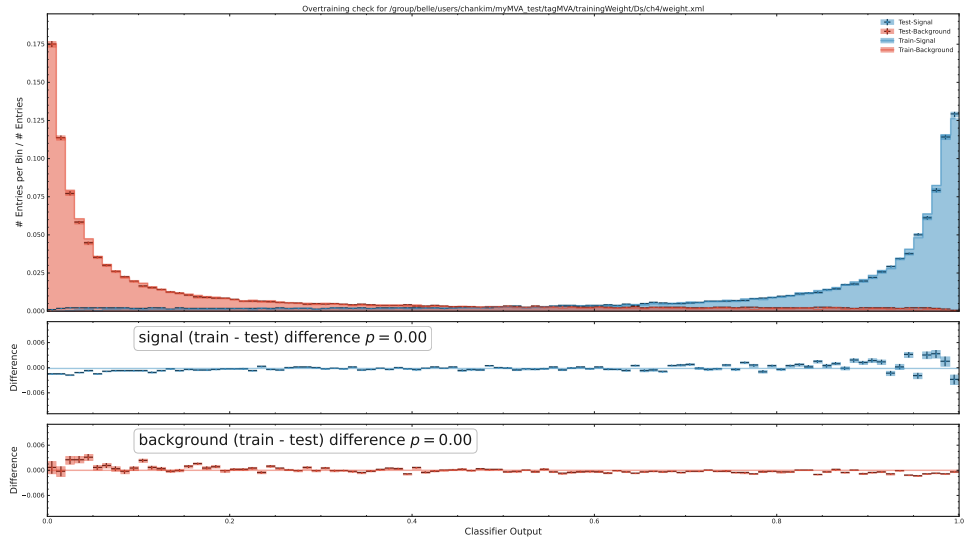


Figure 127: BDT output

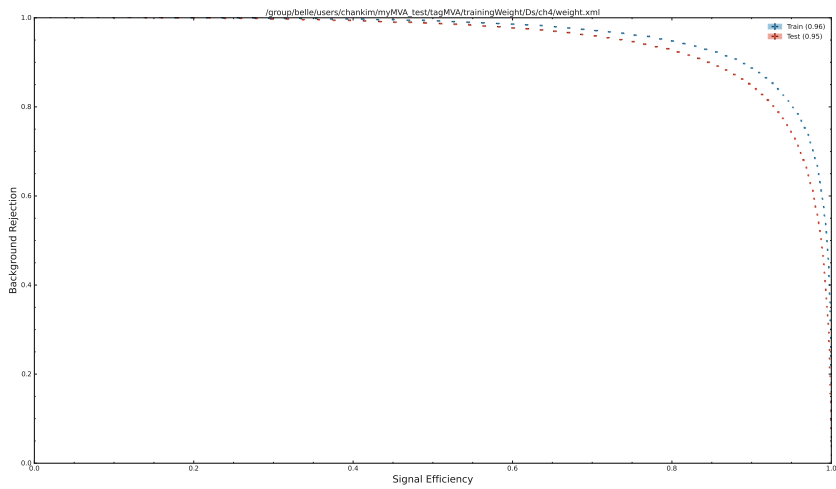


Figure 128: ROC Curve

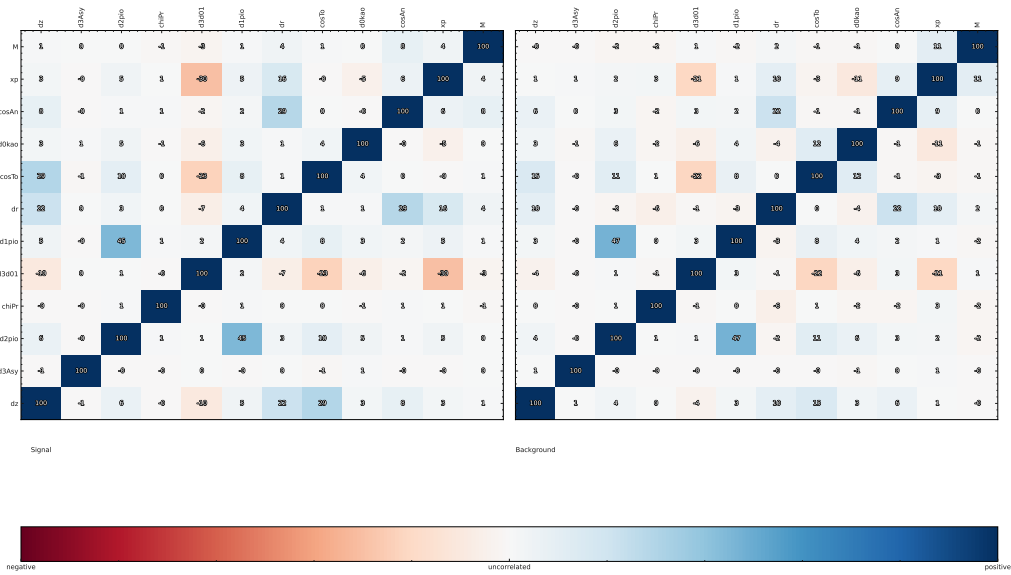


Figure 129: Correlation plot



409 **A.1.32**  $D_s^+ \rightarrow K^+ \pi^+ \pi^- K_S^0$

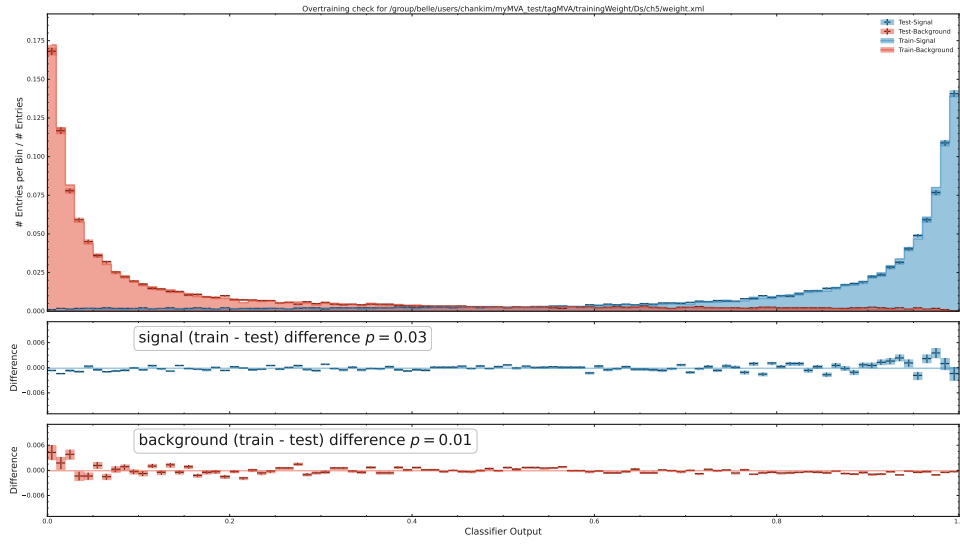


Figure 130: BDT output

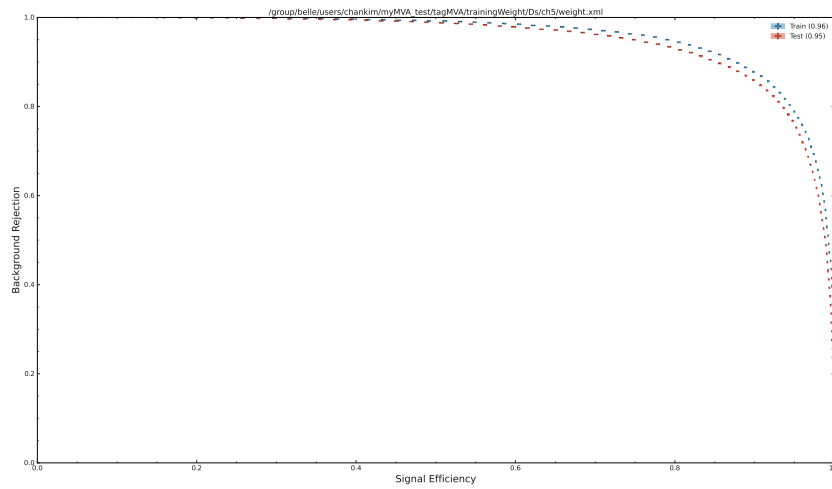


Figure 131: ROC Curve

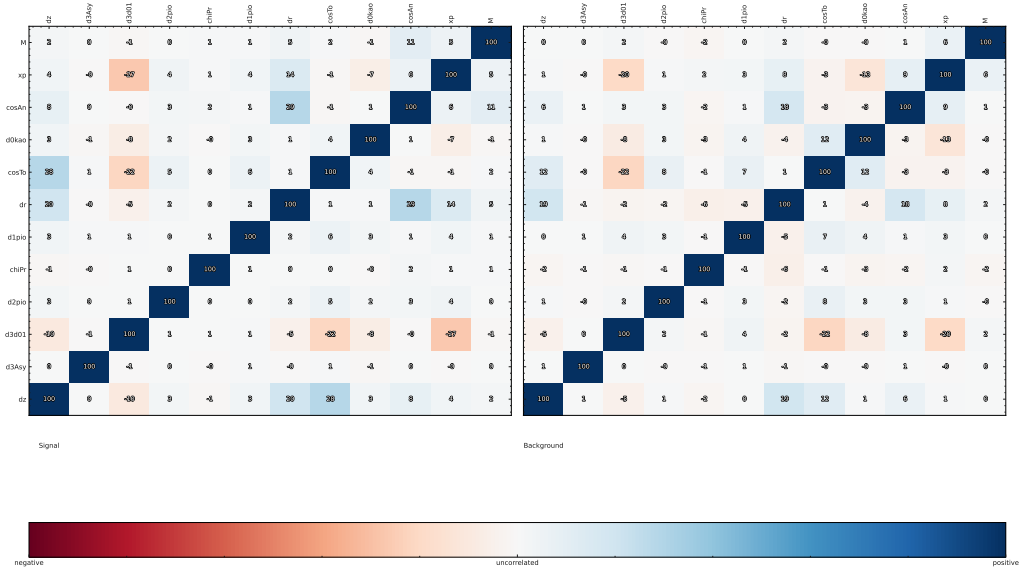


Figure 132: Correlation plot

410 **A.1.33**  $D_s^+ \rightarrow \pi^+ \pi^- \pi^+$

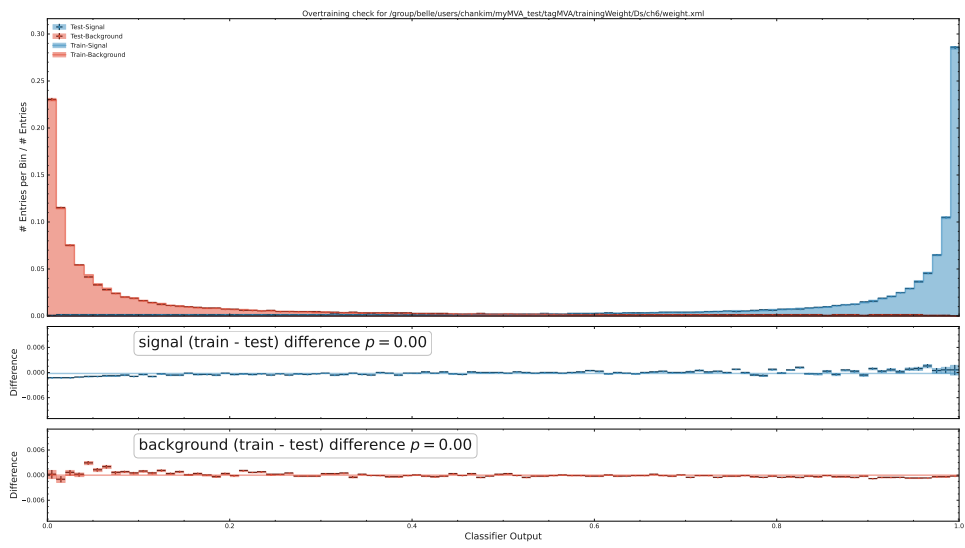


Figure 133: BDT output

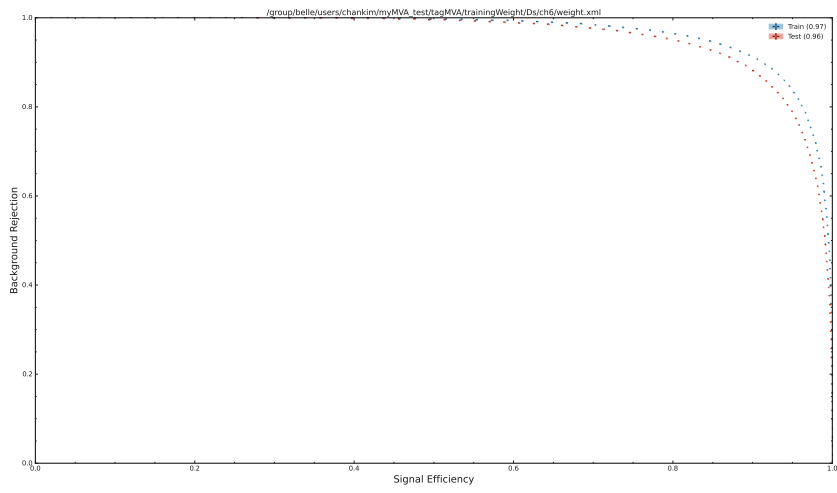


Figure 134: ROC Curve

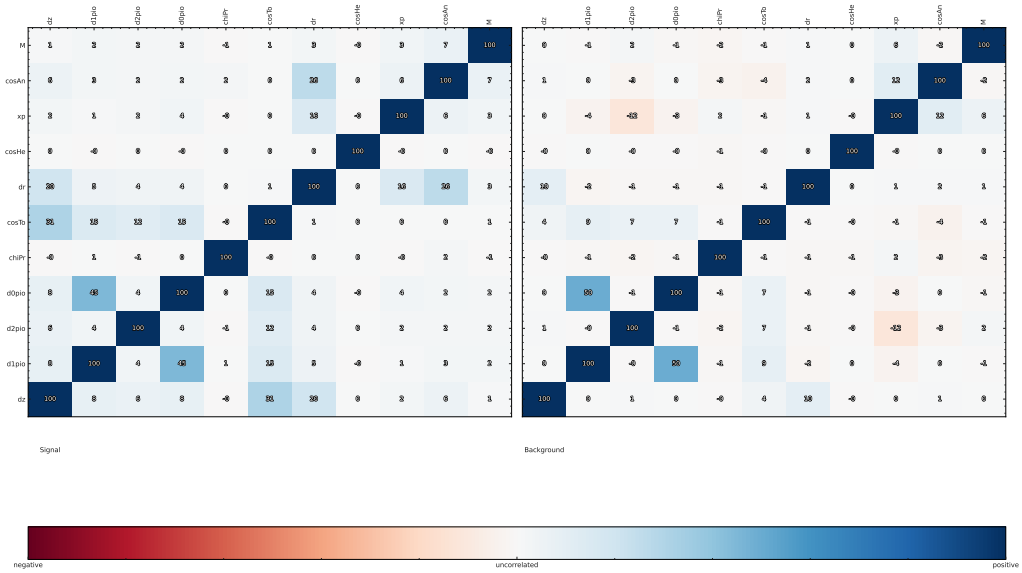


Figure 135: Correlation plot

411 **A.1.34**  $D_s^+ \rightarrow \pi^+ K_S^0$

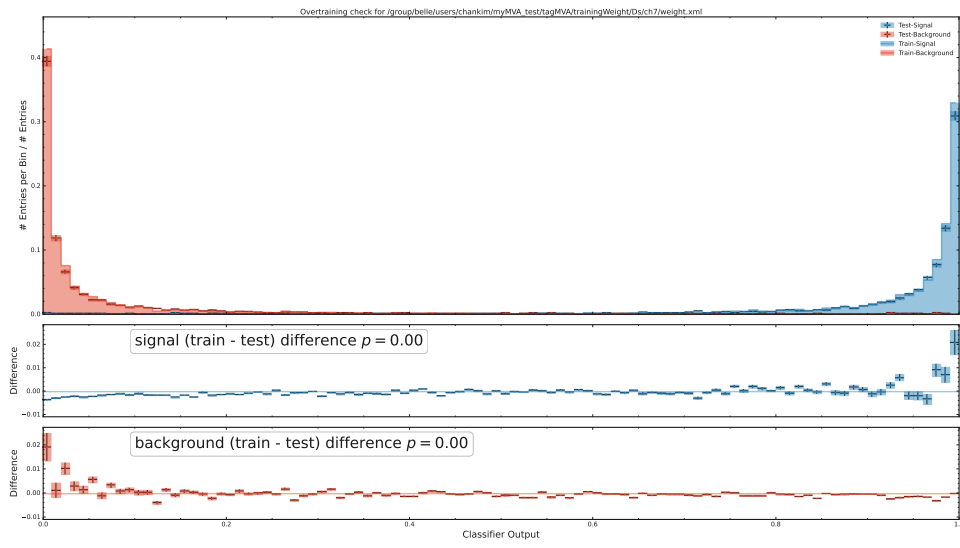


Figure 136: BDT output

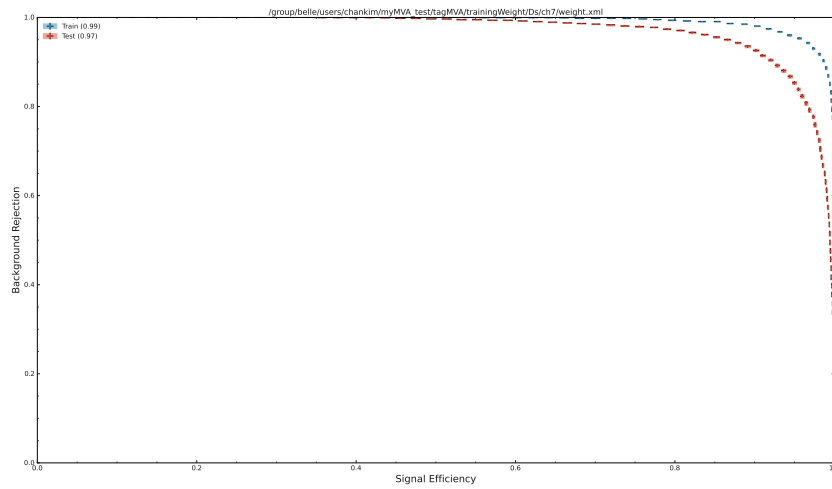


Figure 137: ROC Curve

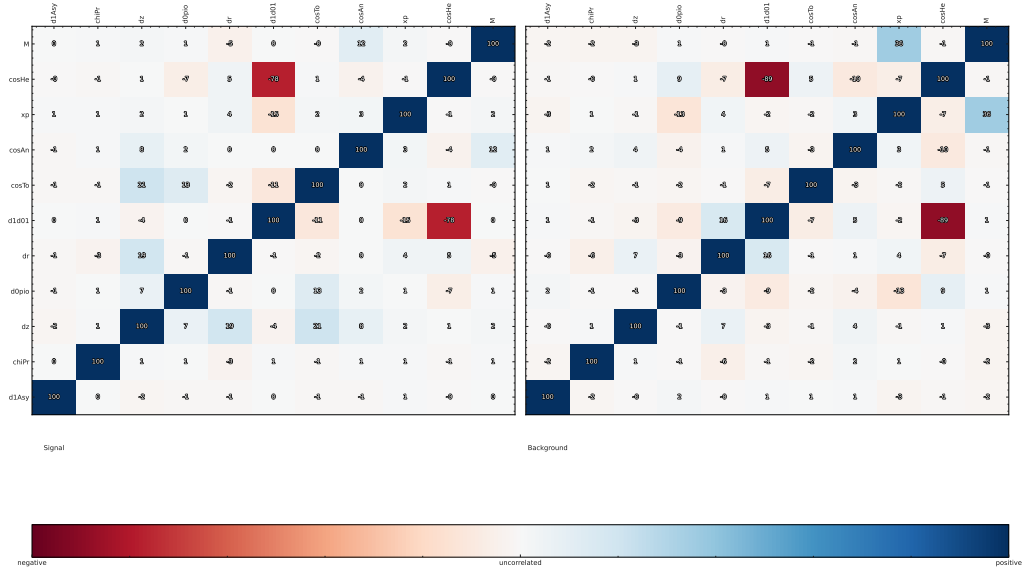


Figure 138: Correlation plot

412 **A.1.35**  $D_s^+ \rightarrow \pi^+ \pi^0 K_S^0$

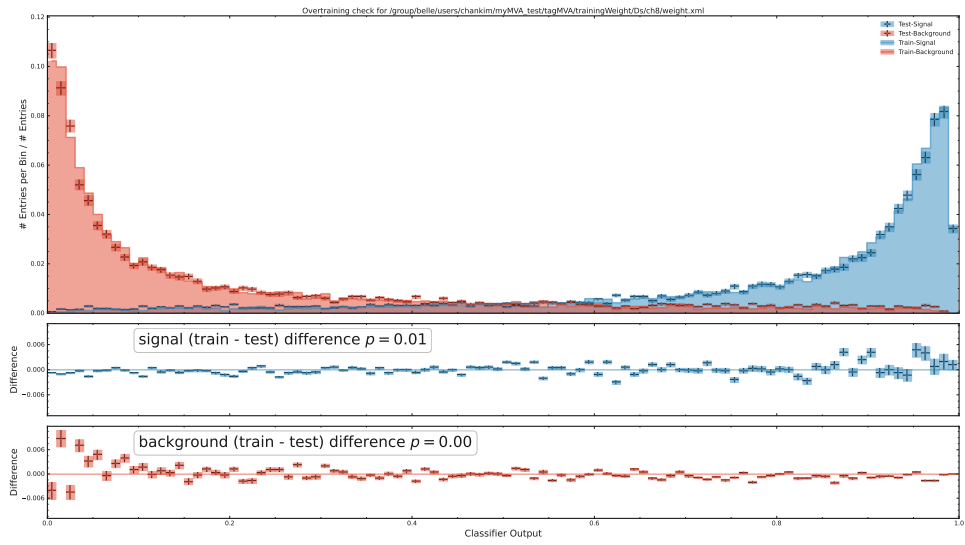


Figure 139: BDT output

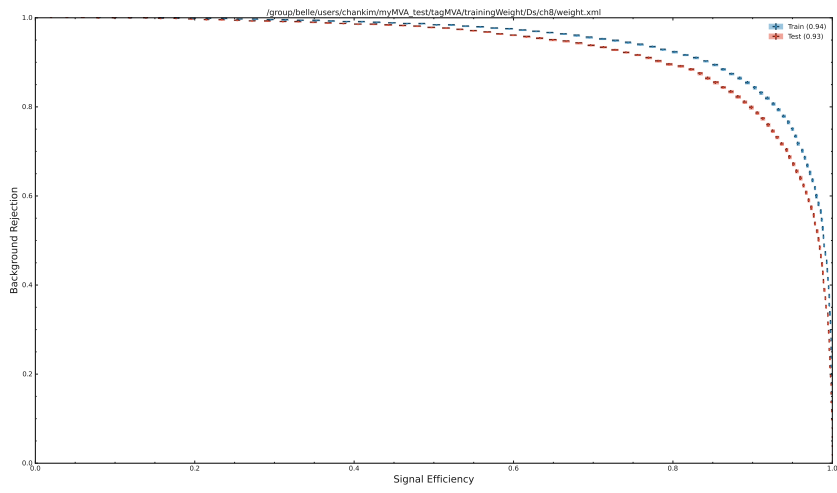


Figure 140: ROC Curve

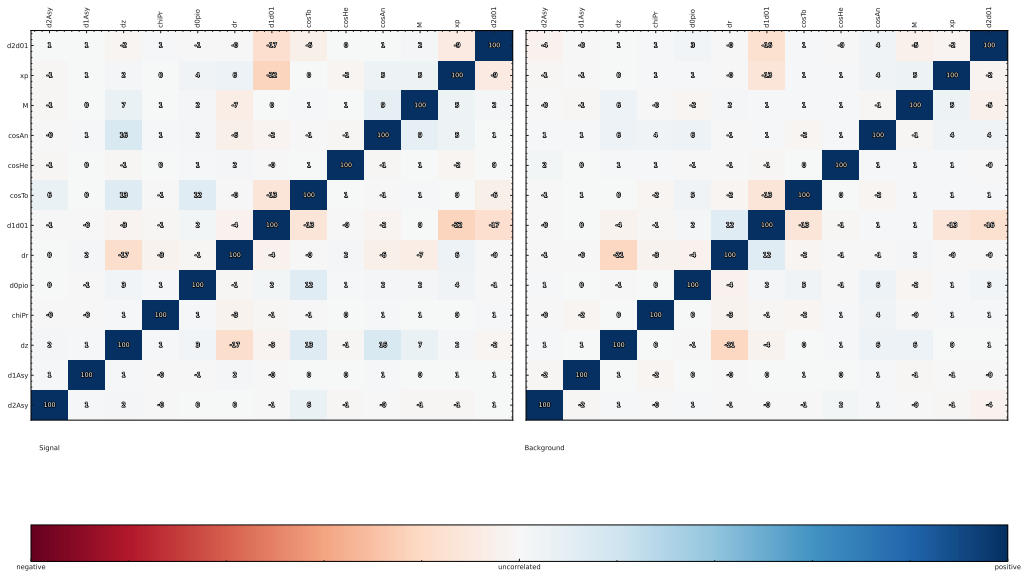


Figure 141: Correlation plot

413 **A.1.36**  $D_s^+ \rightarrow K^+ K^- \pi^+ \pi^- \pi^+$

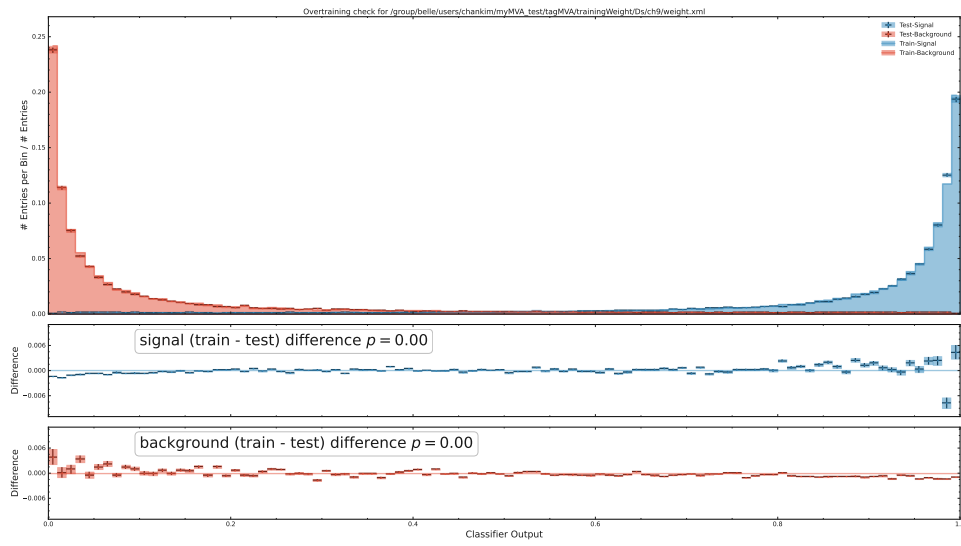


Figure 142: BDT output

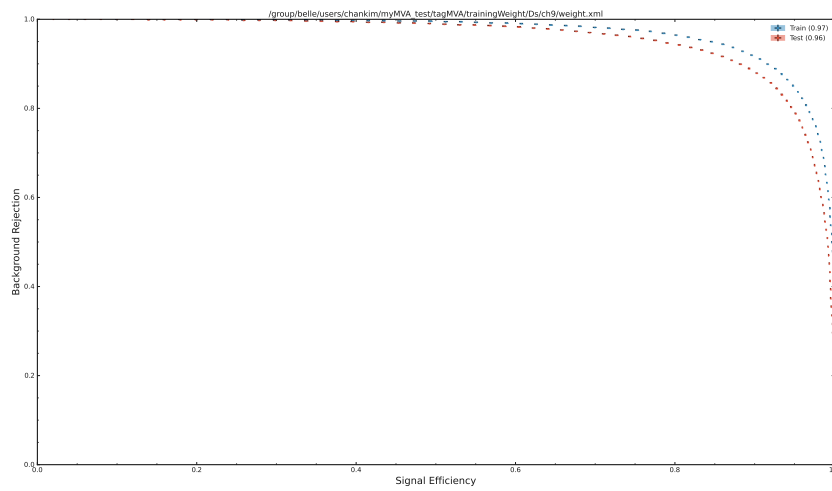


Figure 143: ROC Curve

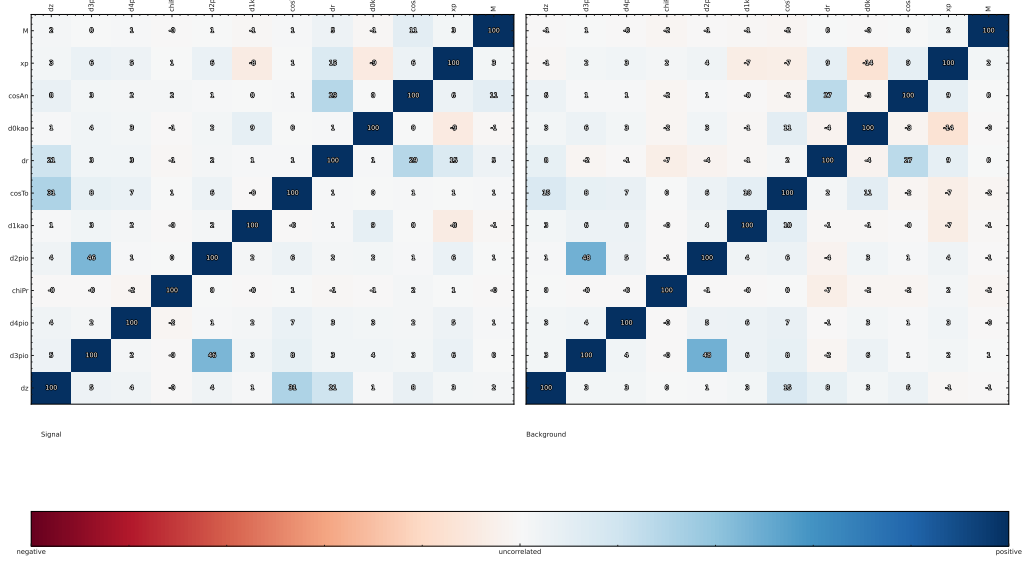


Figure 144: Correlation plot

414 **A.1.37**  $\Lambda_c^+ \rightarrow p^+ K^- \pi^+$

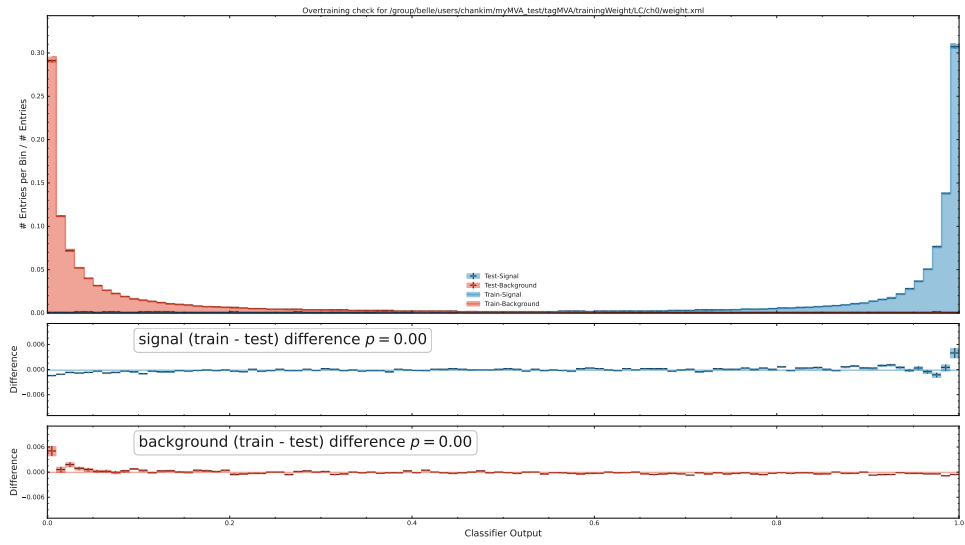


Figure 145: BDT output



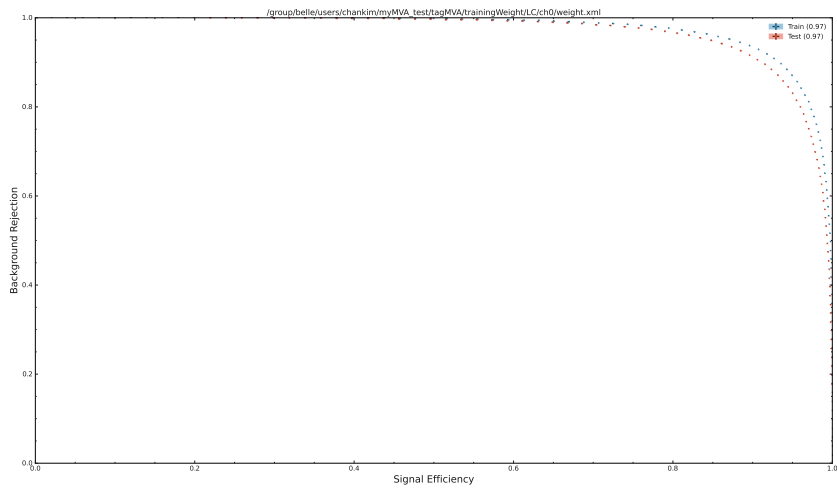


Figure 146: ROC Curve

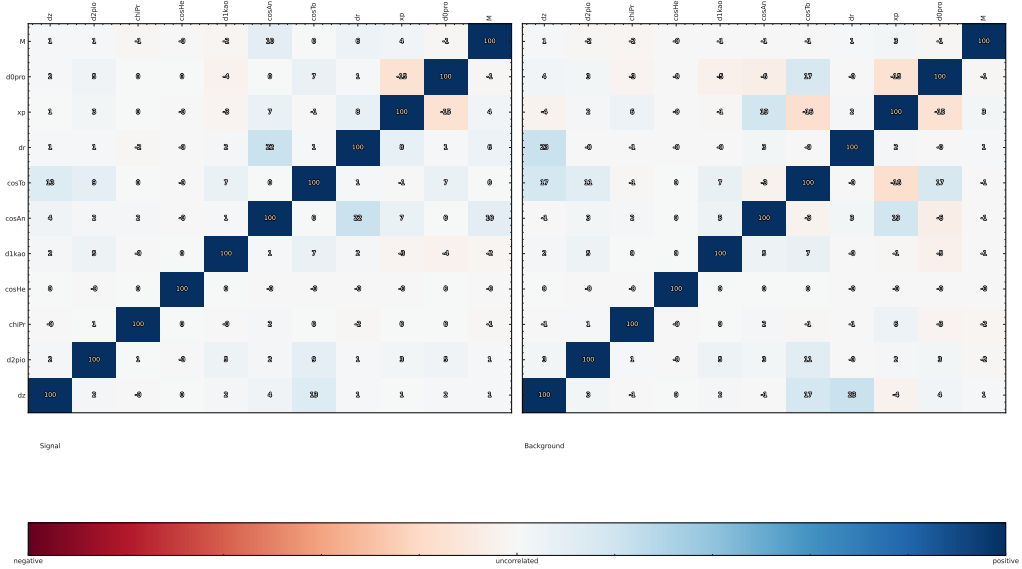


Figure 147: Correlation plot

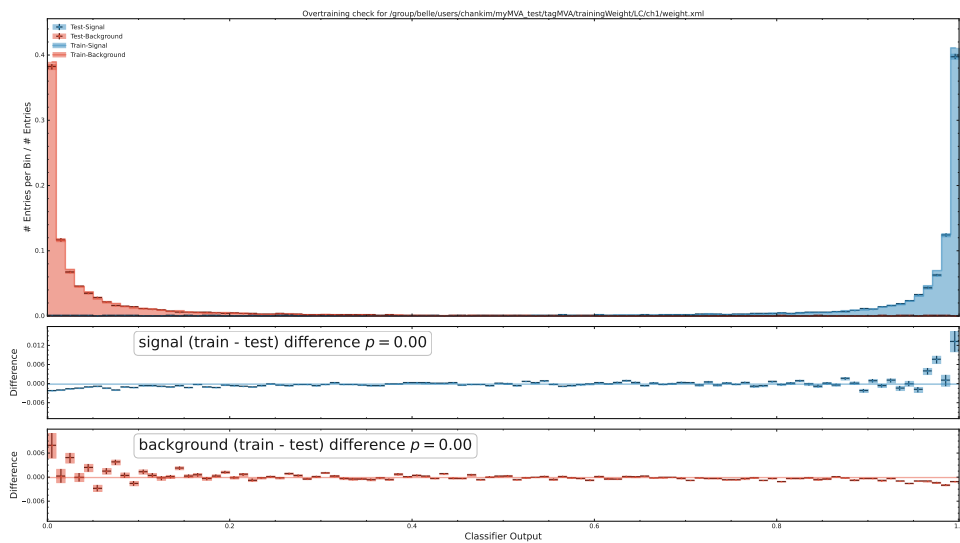


Figure 148: BDT output

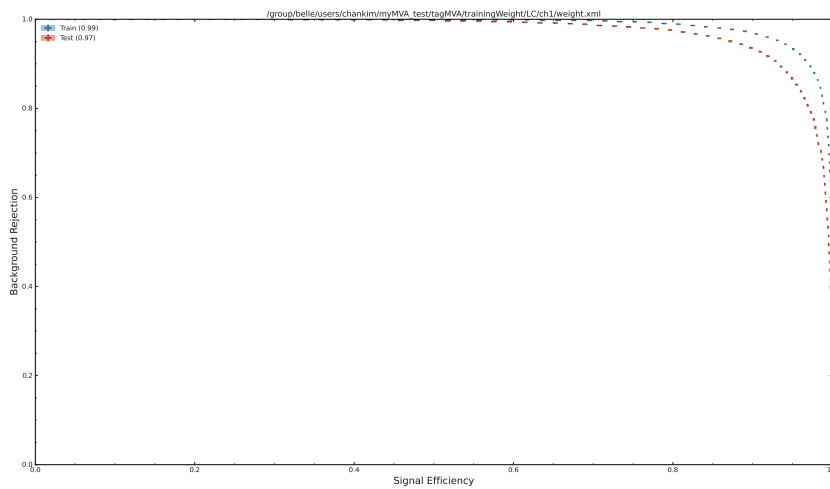


Figure 149: ROC Curve

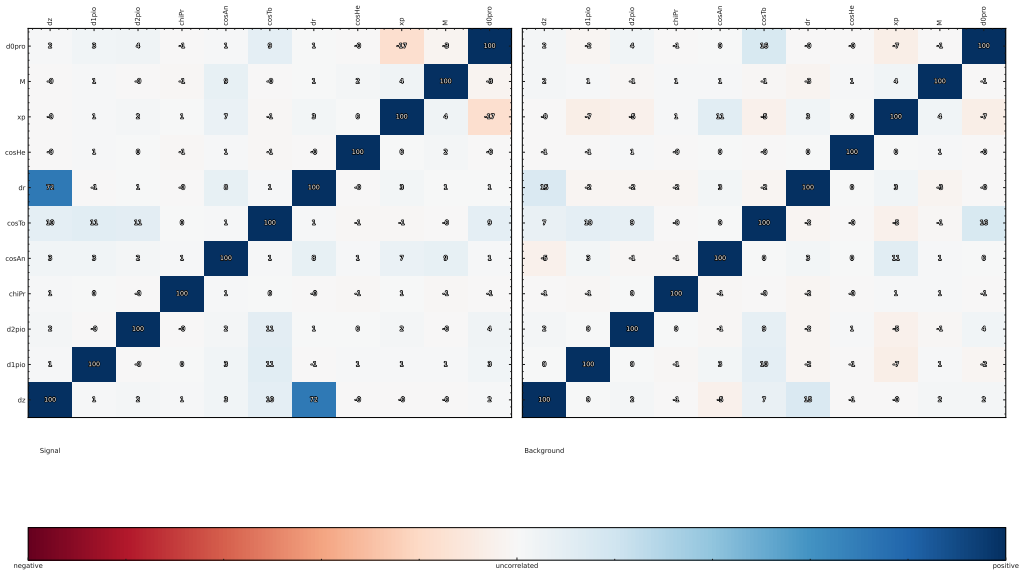


Figure 150: Correlation plot

416 **A.1.39**  $\Lambda_c^+ \rightarrow p^+ K^- K^+$

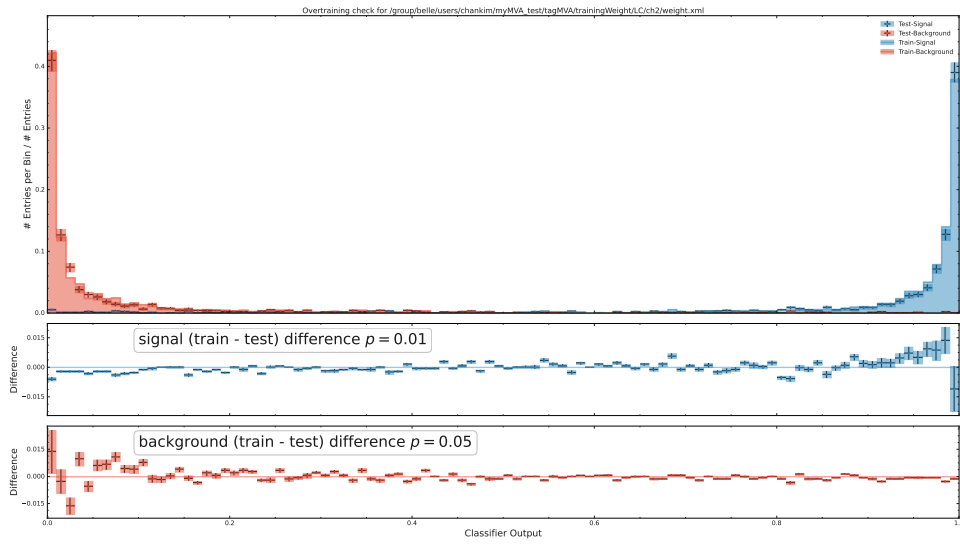


Figure 151: BDT output

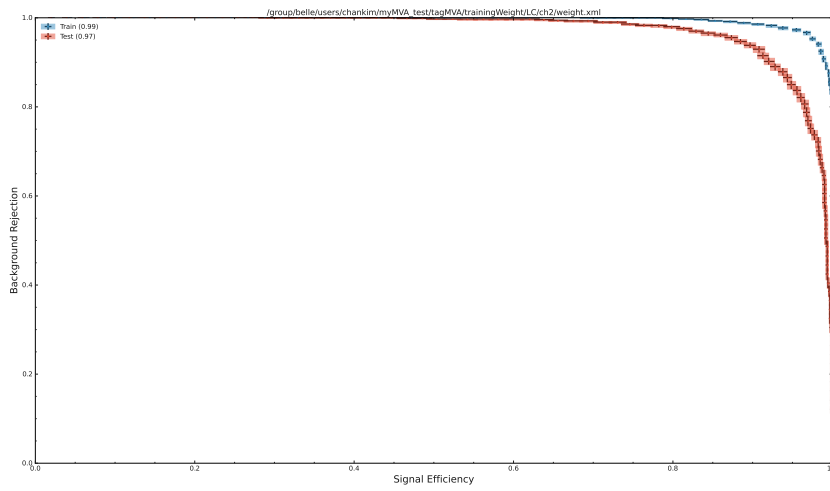


Figure 152: ROC Curve

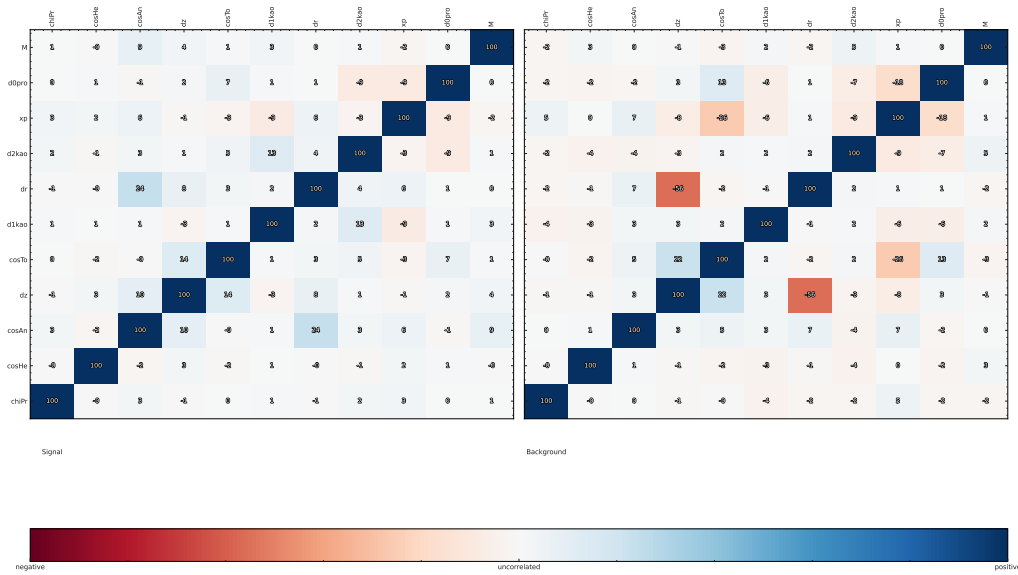


Figure 153: Correlation plot

417 A.1.40  $\Lambda_c^+ \rightarrow p^+ K^- \pi^+ \pi^0$

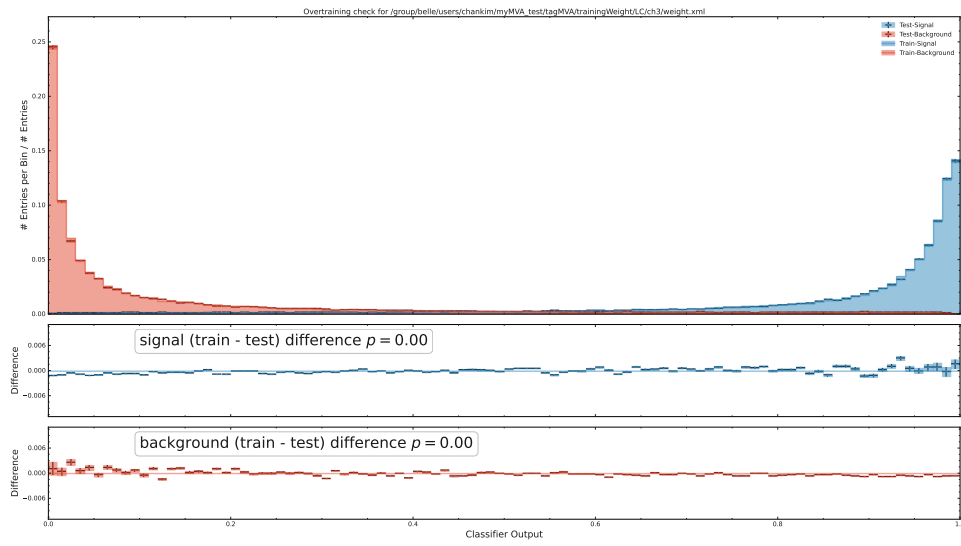


Figure 154: BDT output

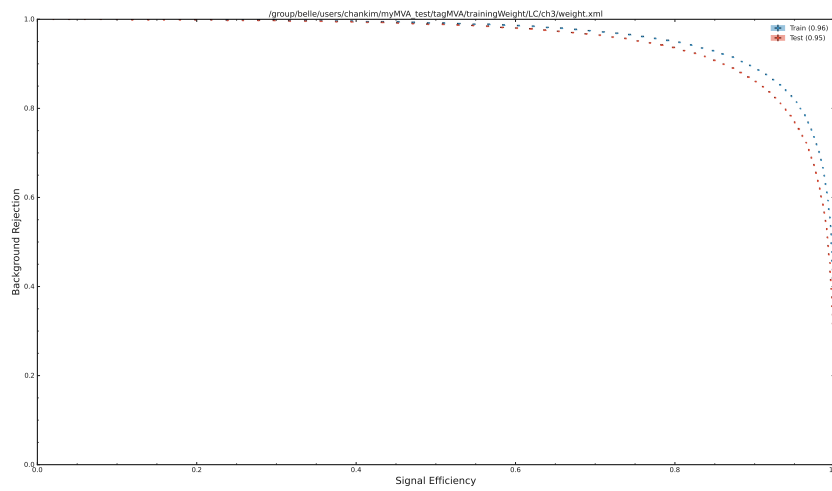


Figure 155: ROC Curve

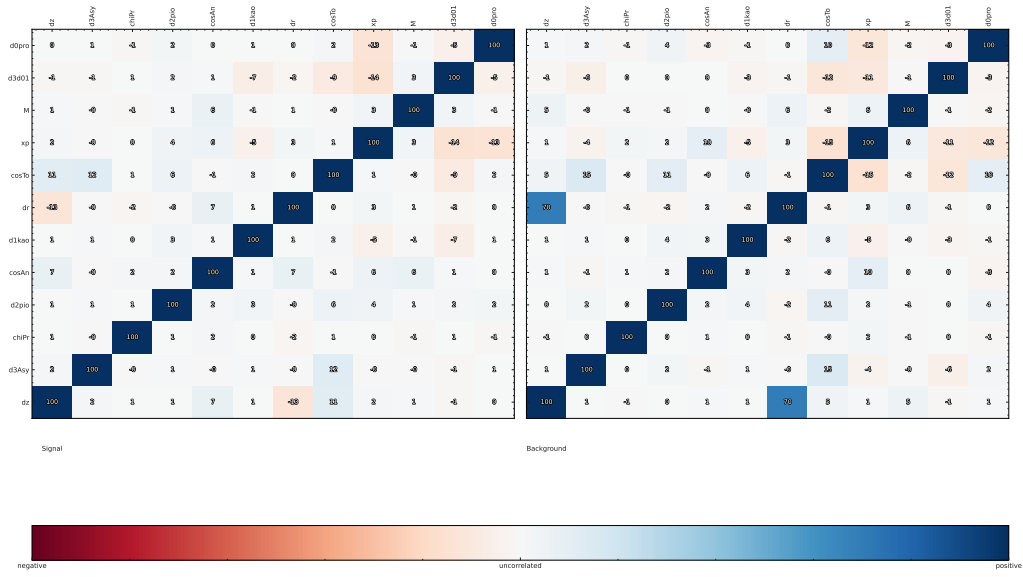


Figure 156: Correlation plot

418 **A.1.41**  $\Lambda_c^+ \rightarrow p^+ K^- \pi^+ \pi^0 \pi^0$

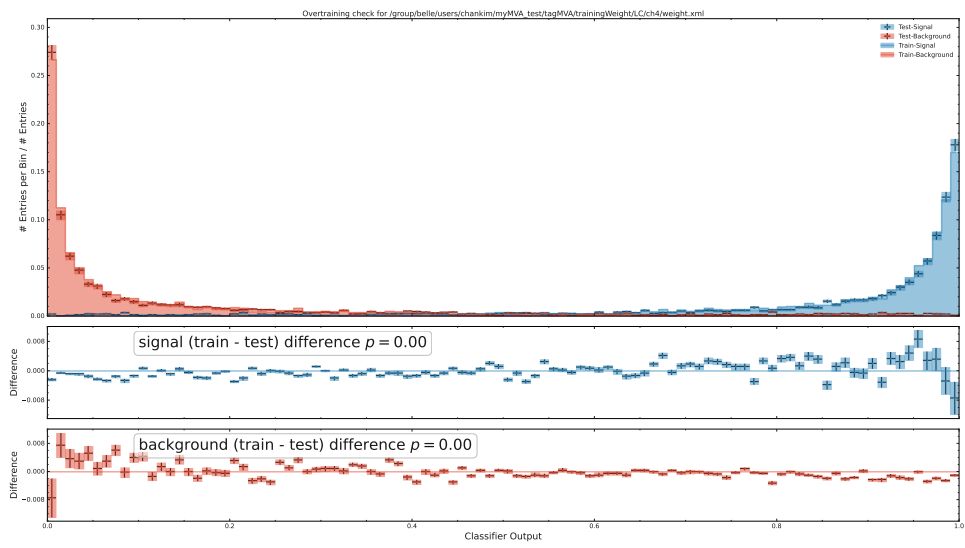


Figure 157: BDT output

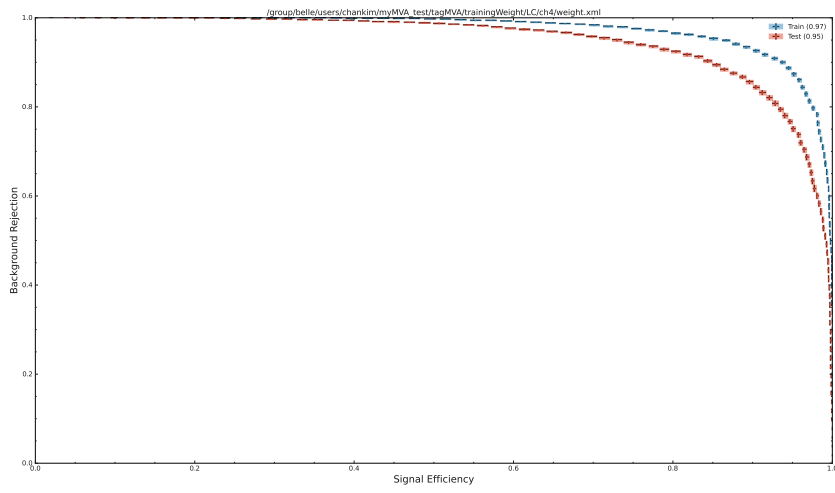


Figure 158: ROC Curve

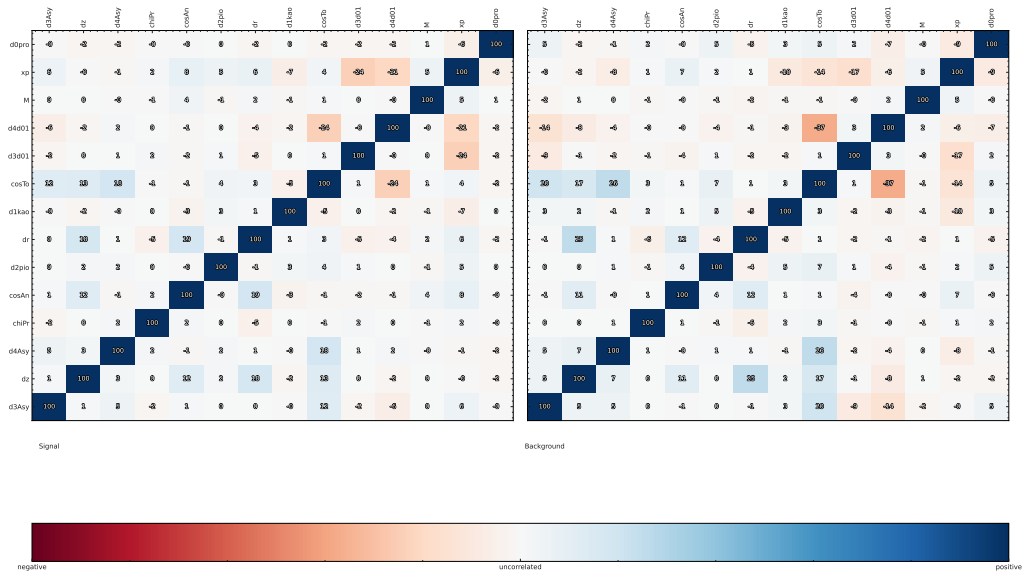


Figure 159: Correlation plot

419 A.1.42  $\Lambda_c^+ \rightarrow p^+ \pi^- \pi^+ \pi^- \pi^+$

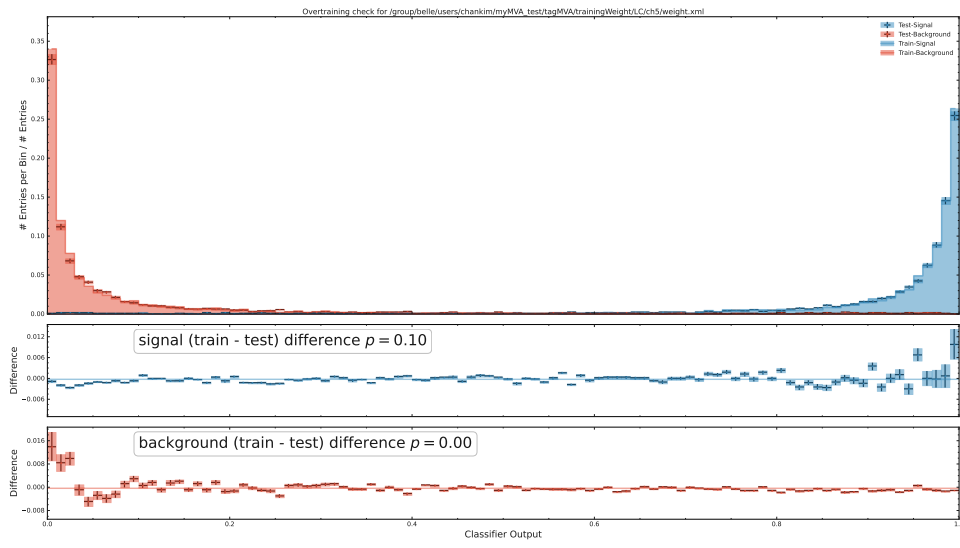


Figure 160: BDT output

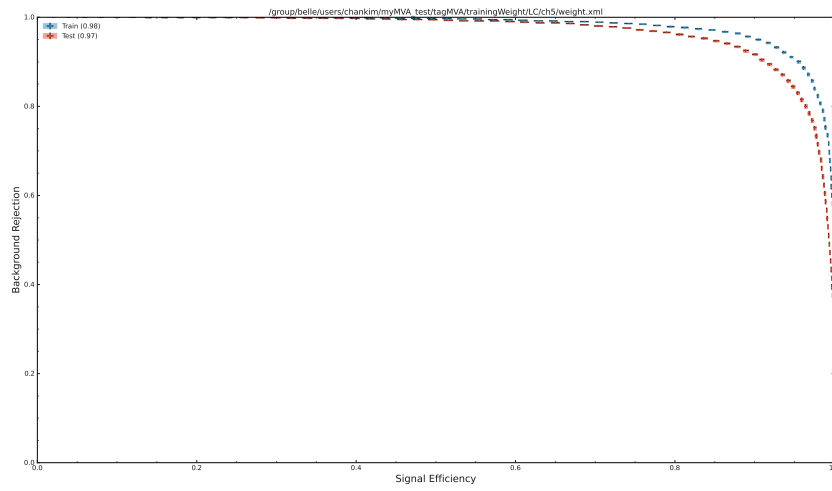


Figure 161: ROC Curve



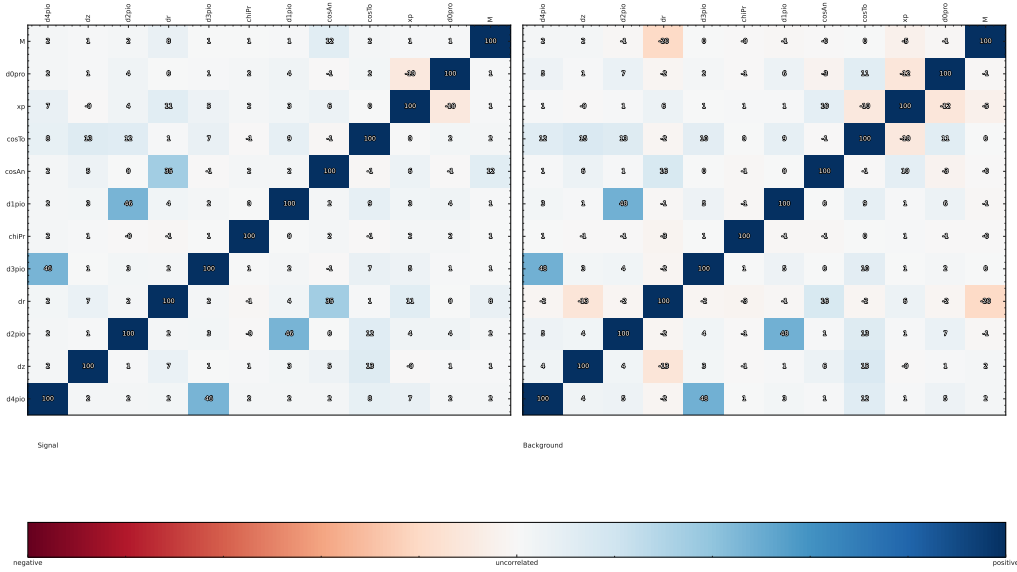


Figure 162: Correlation plot

420 **A.1.43**  $\Lambda_c^+ \rightarrow p^+ K_S^0$

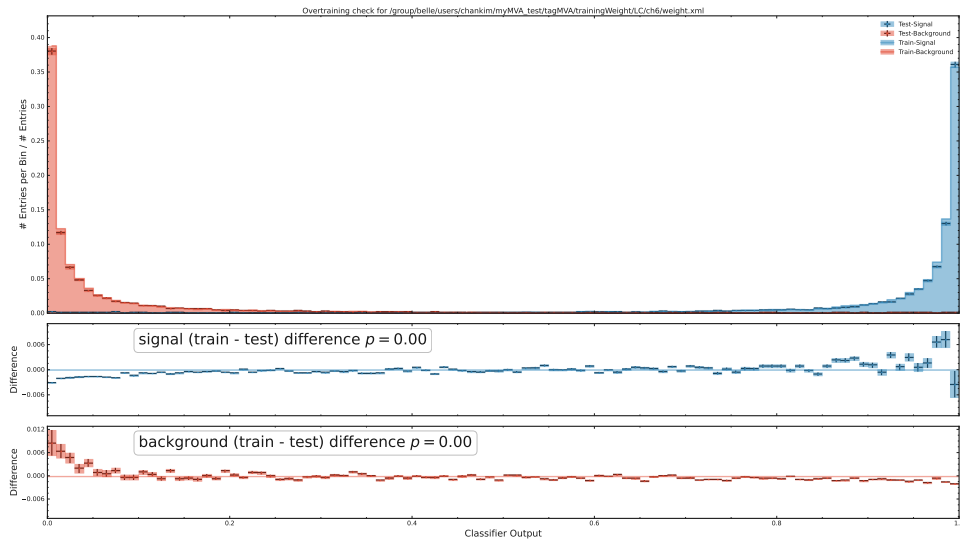


Figure 163: BDT output

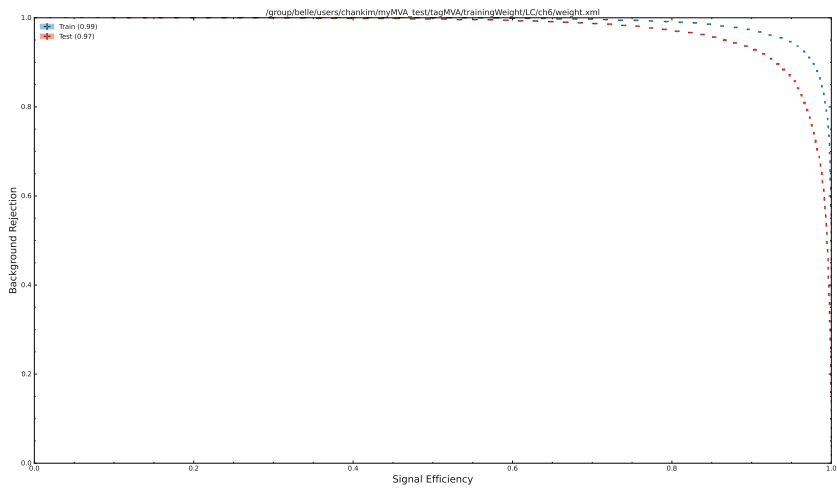


Figure 164: ROC Curve

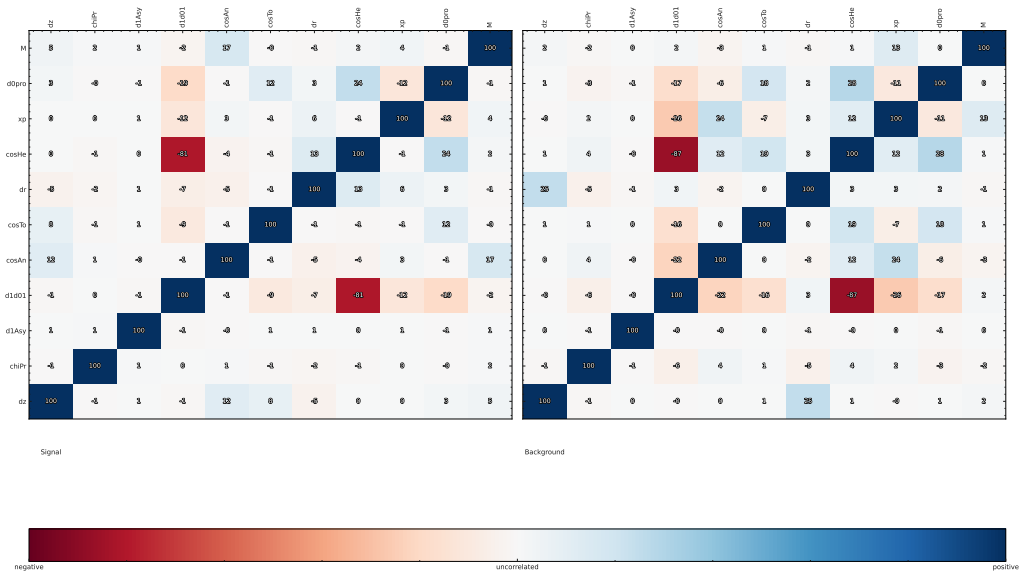


Figure 165: Correlation plot

421 A.1.44  $\Lambda_c^+ \rightarrow p^+ K_S^0 \pi^0$

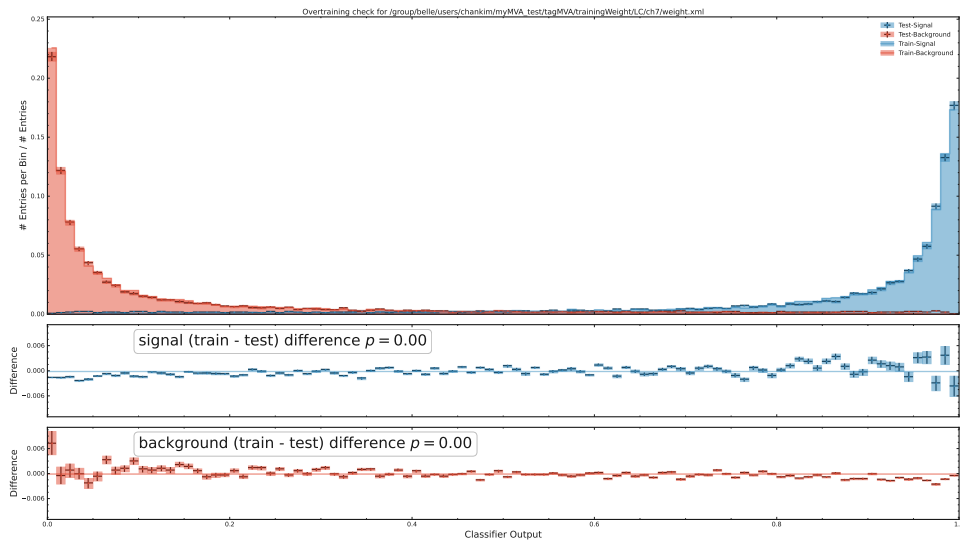


Figure 166: BDT output

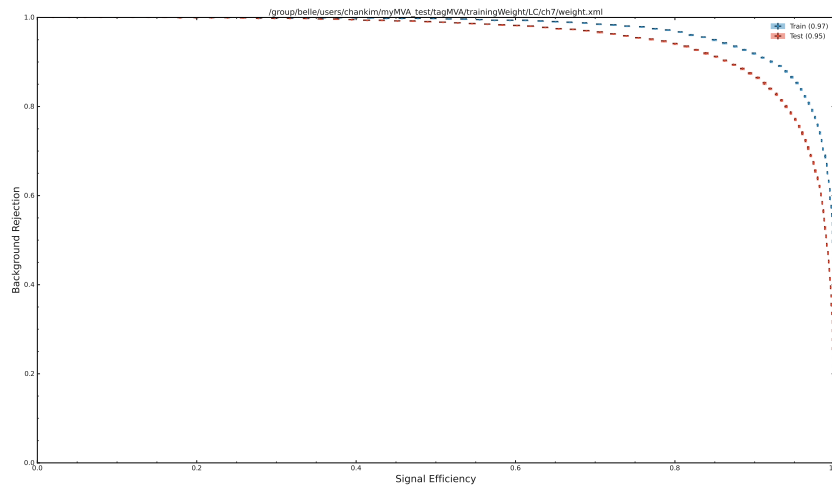


Figure 167: ROC Curve

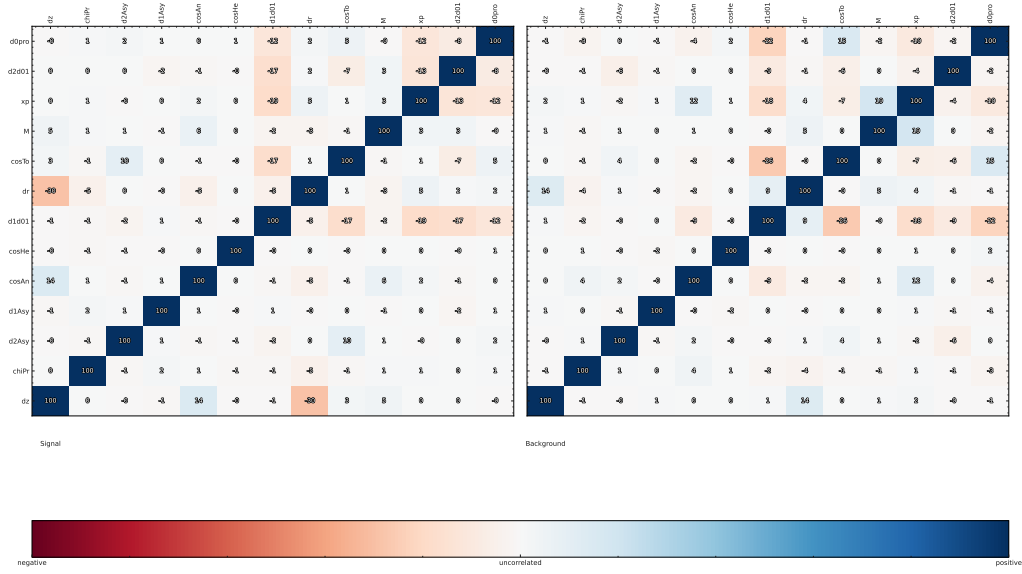


Figure 168: Correlation plot

422 **A.1.45**  $\Lambda_c^+ \rightarrow p^+ \pi^- \pi^+ K_S^0$

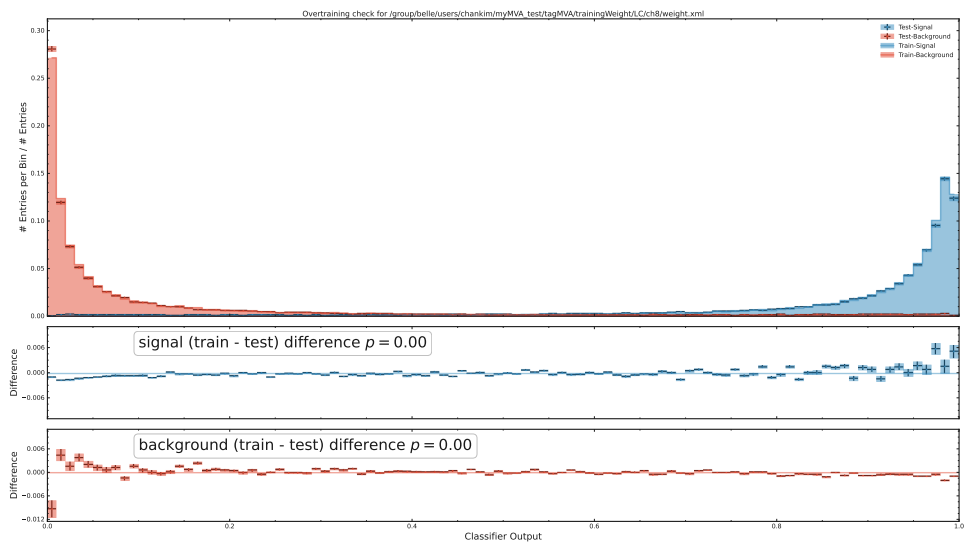


Figure 169: BDT output

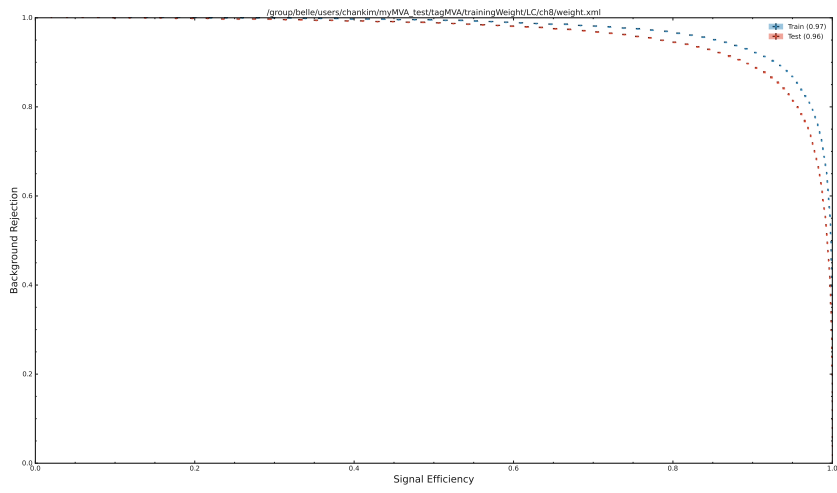


Figure 170: ROC Curve

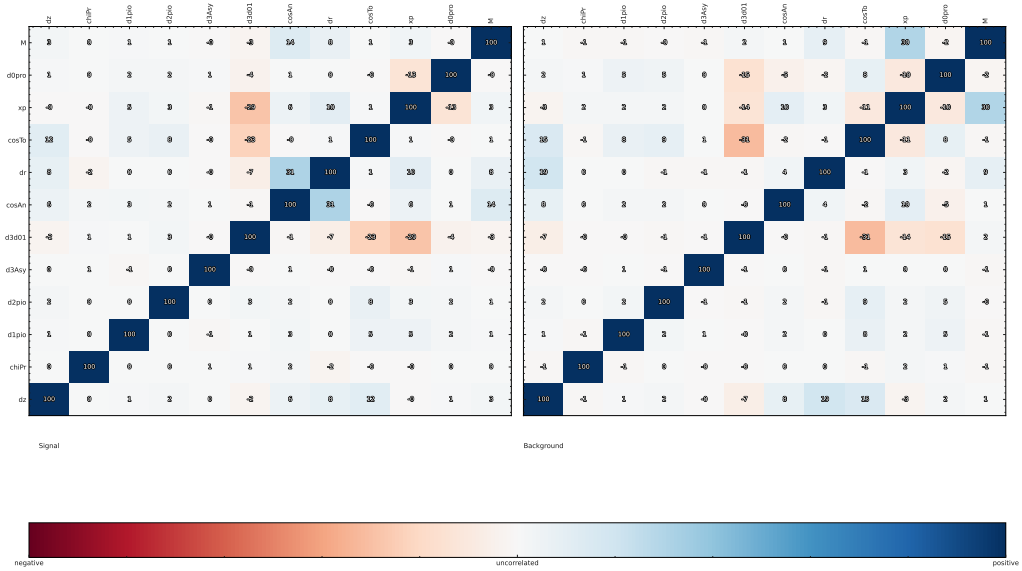


Figure 171: Correlation plot

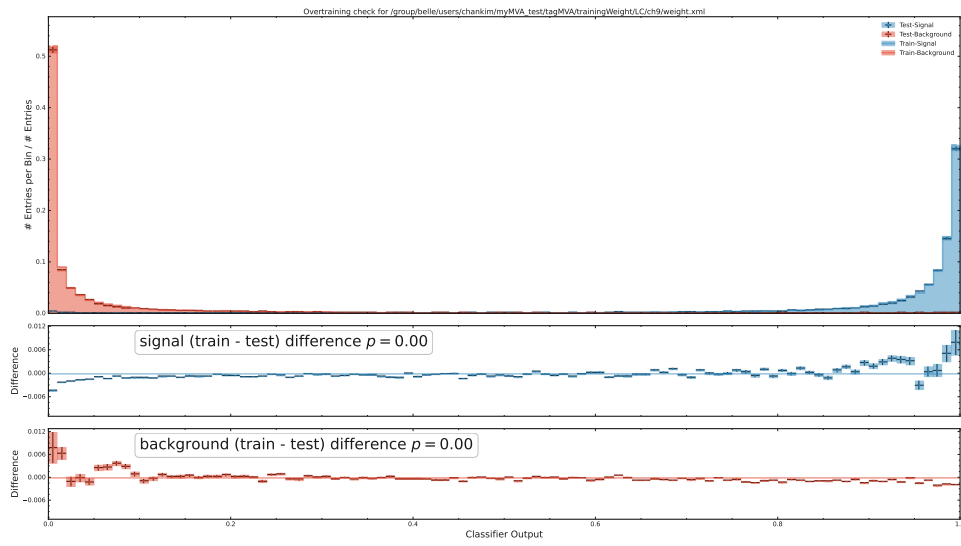


Figure 172: BDT output

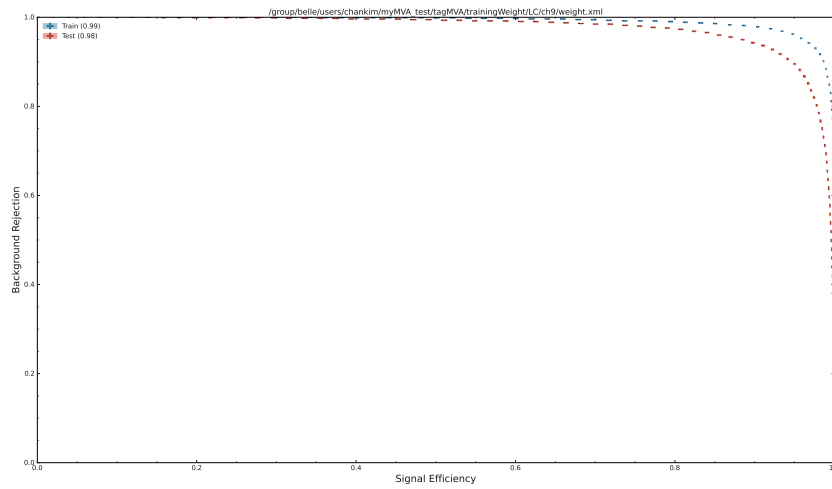


Figure 173: ROC Curve

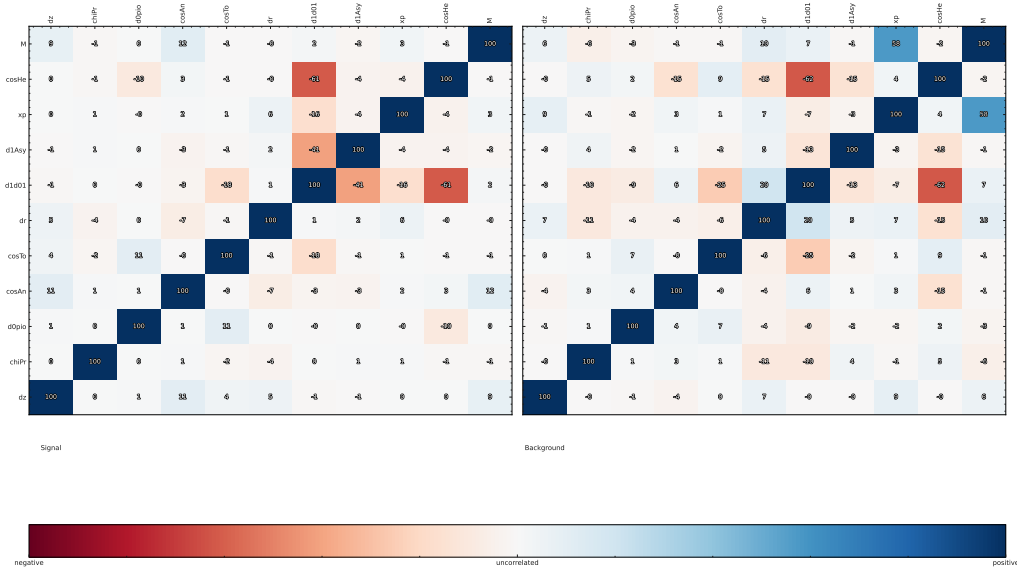


Figure 174: Correlation plot

424 **A.1.47**  $\Lambda_c^+ \rightarrow \pi^+ \pi^0 \Lambda^0$

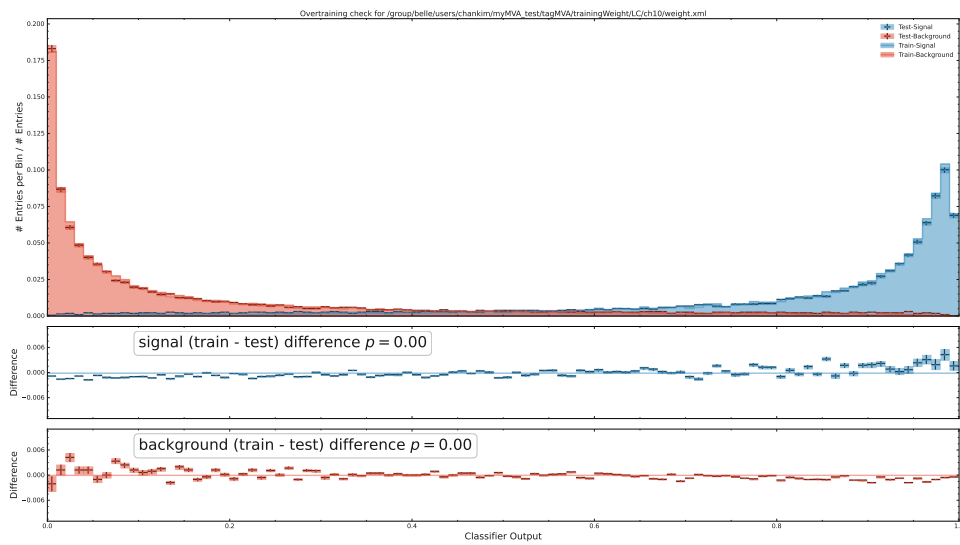


Figure 175: BDT output

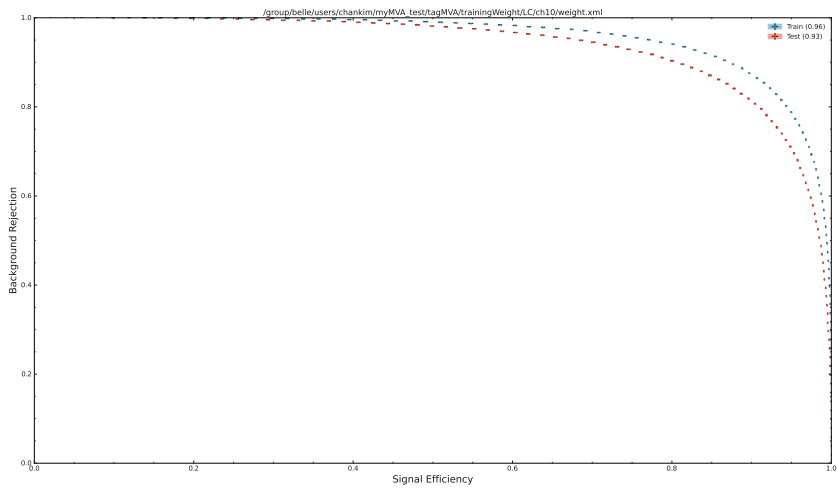


Figure 176: ROC Curve

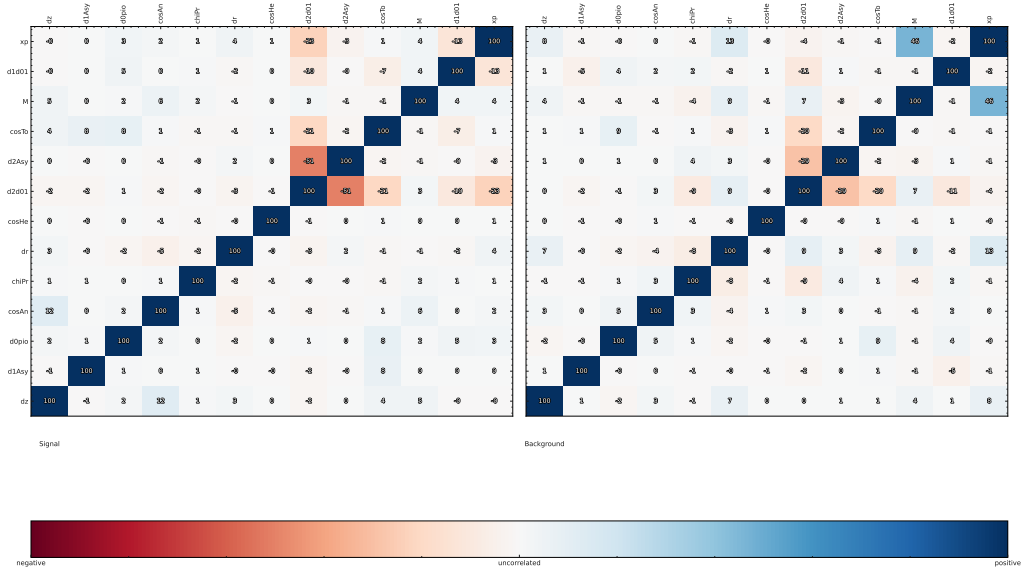


Figure 177: Correlation plot



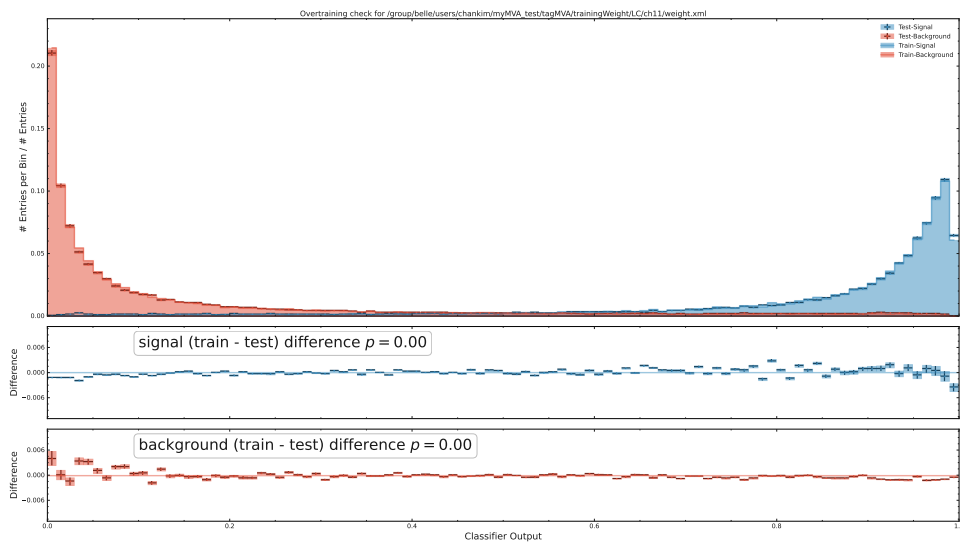


Figure 178: BDT output

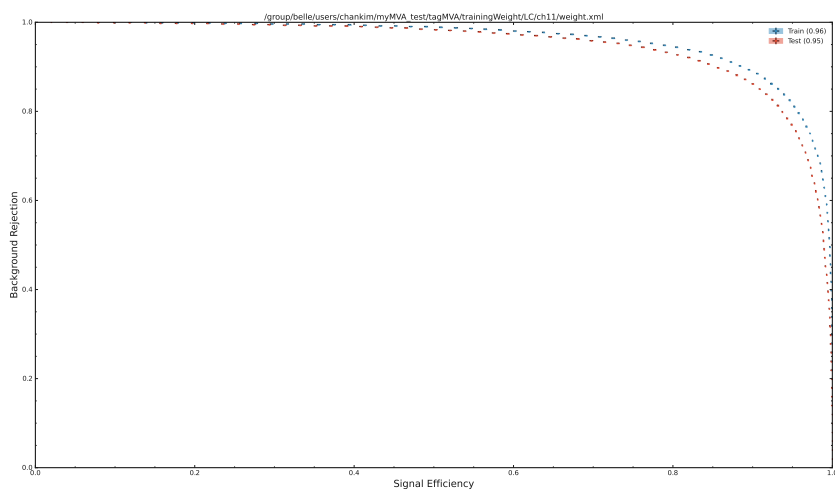


Figure 179: ROC Curve

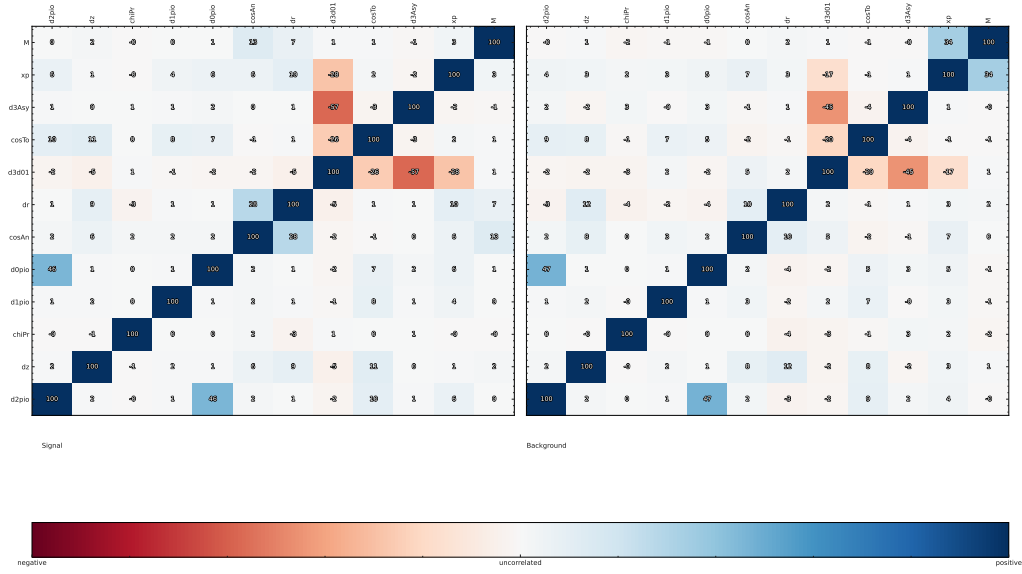


Figure 180: Correlation plot

426 **A.1.49**  $\Lambda_c^+ \rightarrow \pi^+ \pi^- \Sigma^+$

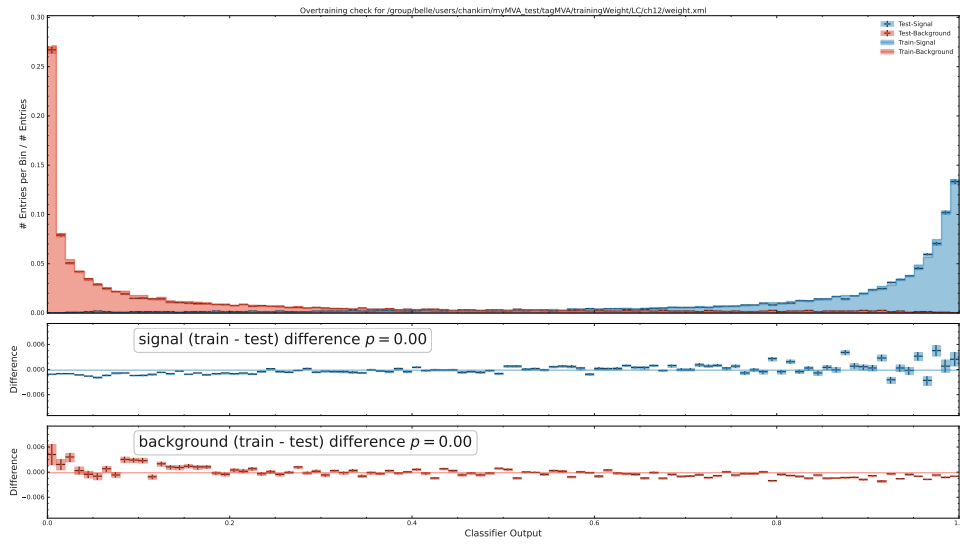


Figure 181: BDT output

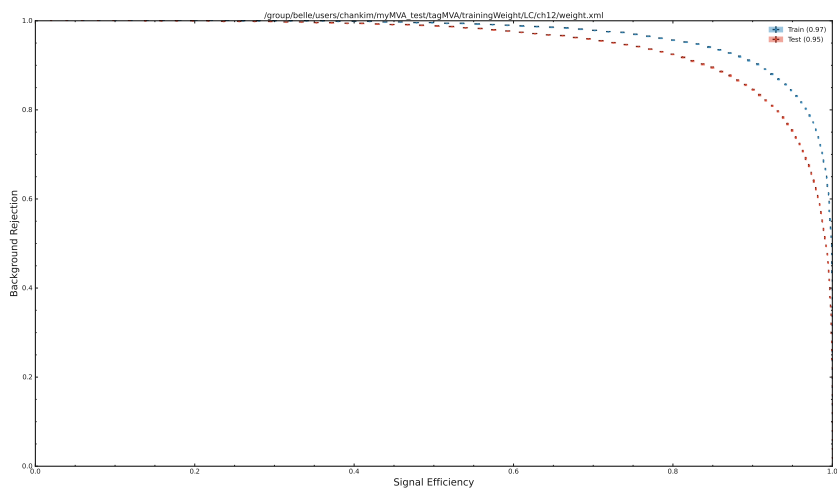


Figure 182: ROC Curve

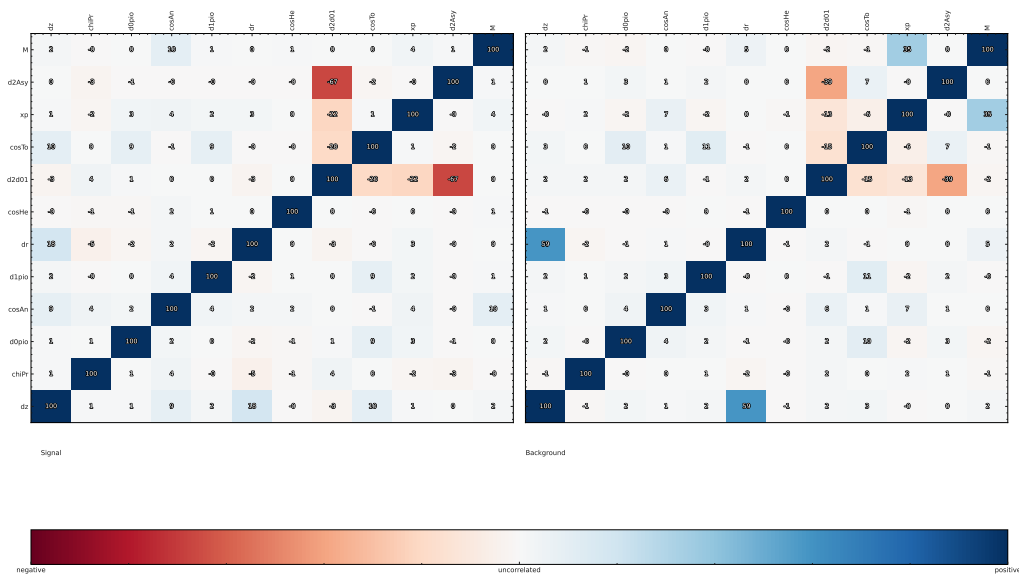


Figure 183: Correlation plot

427 **A.1.50**  $\Lambda_c^+ \rightarrow \pi^+ \pi^- \pi^0 \Sigma^+$

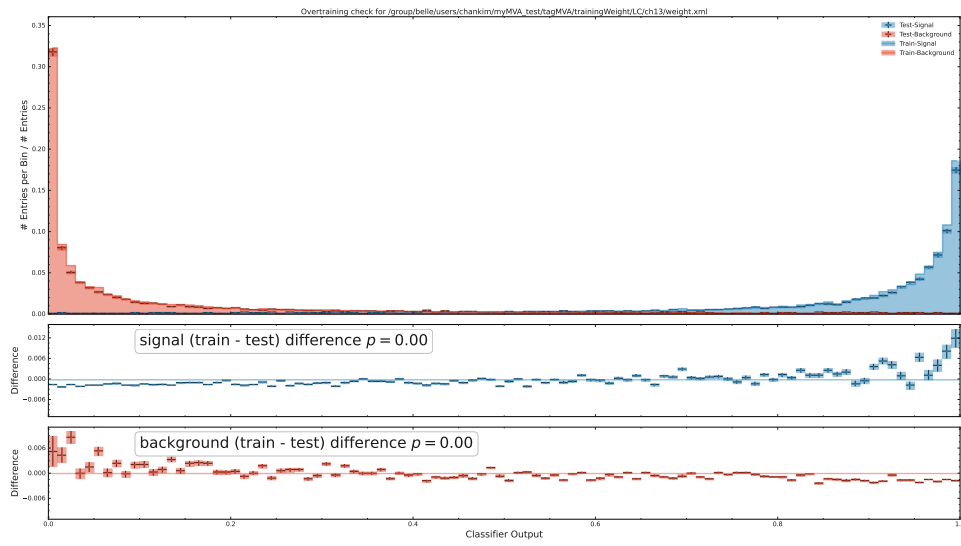


Figure 184: BDT output

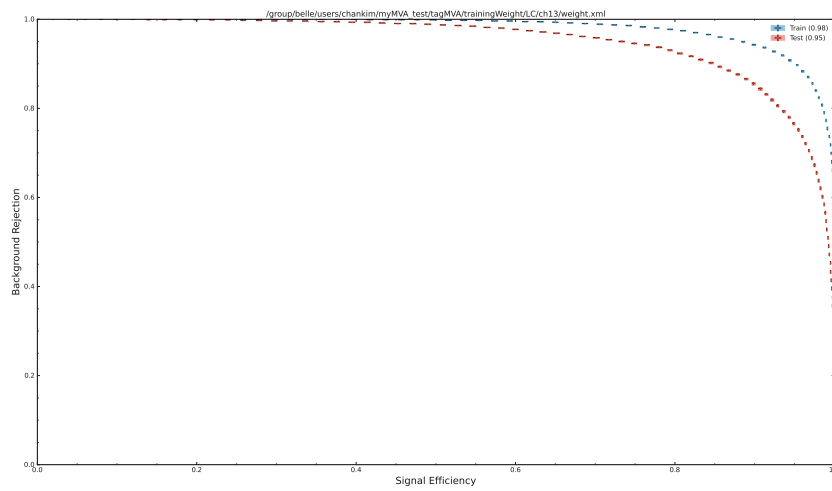


Figure 185: ROC Curve

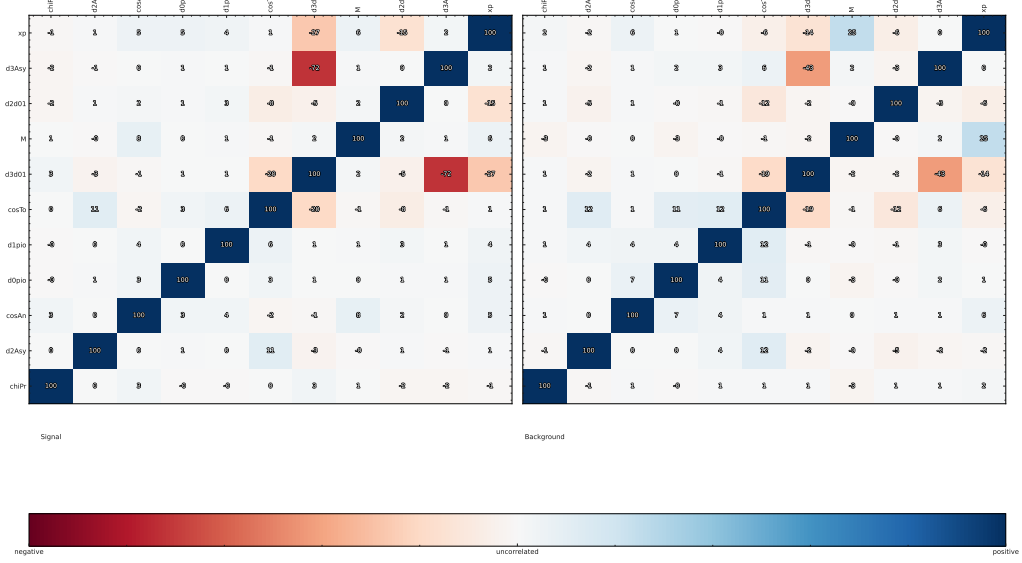


Figure 186: Correlation plot

428 **A.1.51**  $\Lambda_c^+ \rightarrow \pi^0 \Sigma^+$

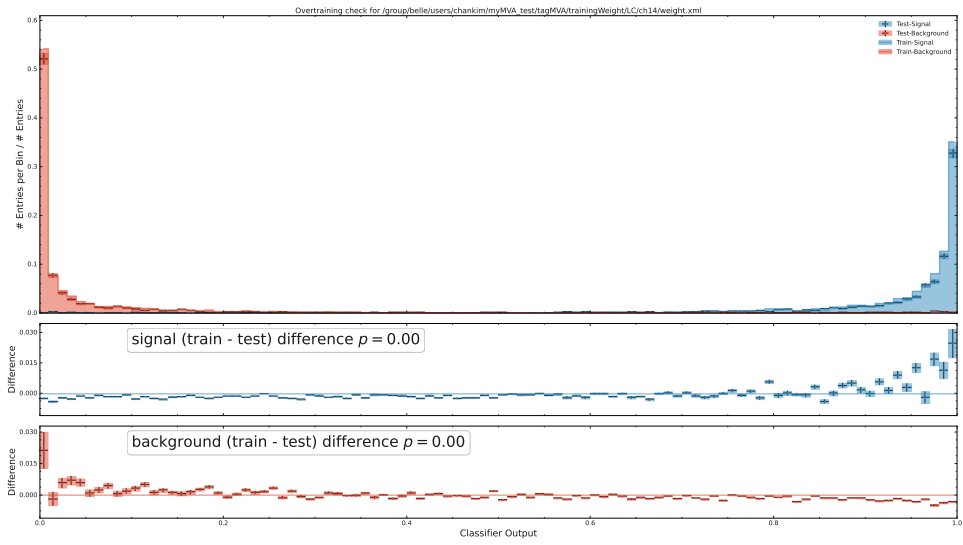


Figure 187: BDT output

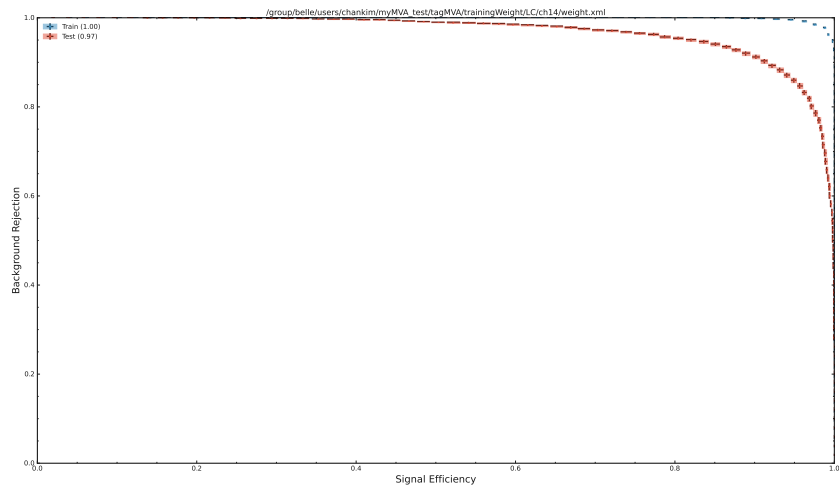


Figure 188: ROC Curve

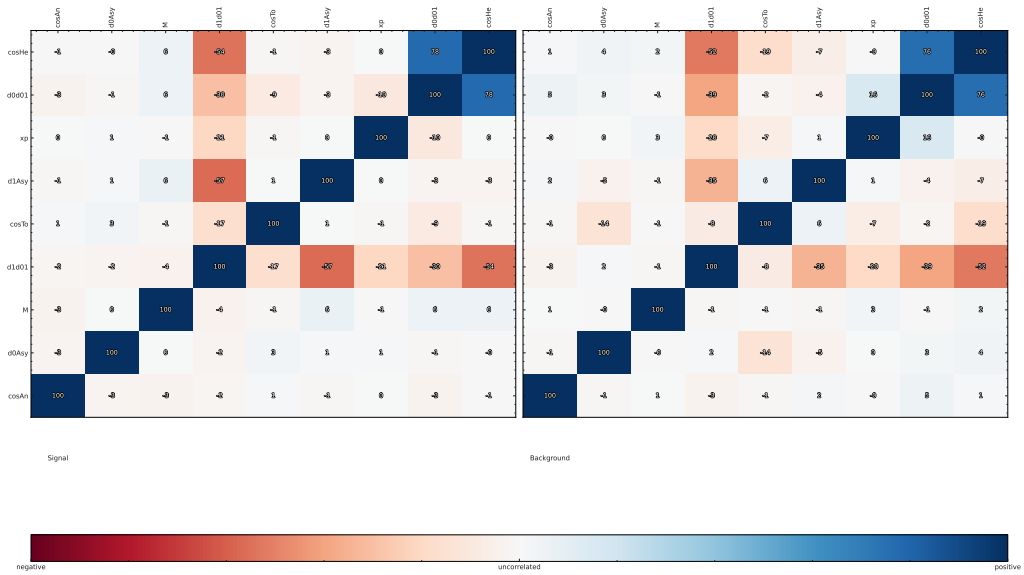


Figure 189: Correlation plot

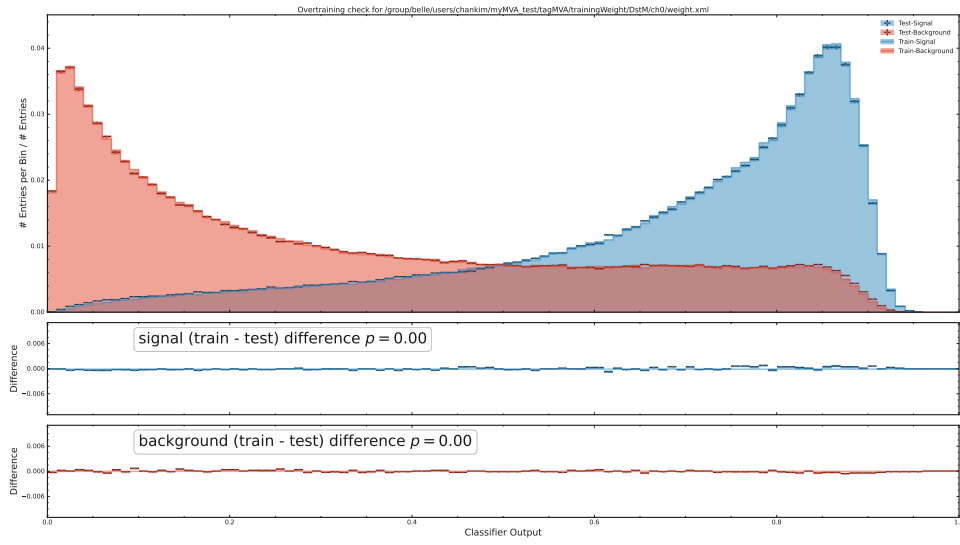


Figure 190: BDT output

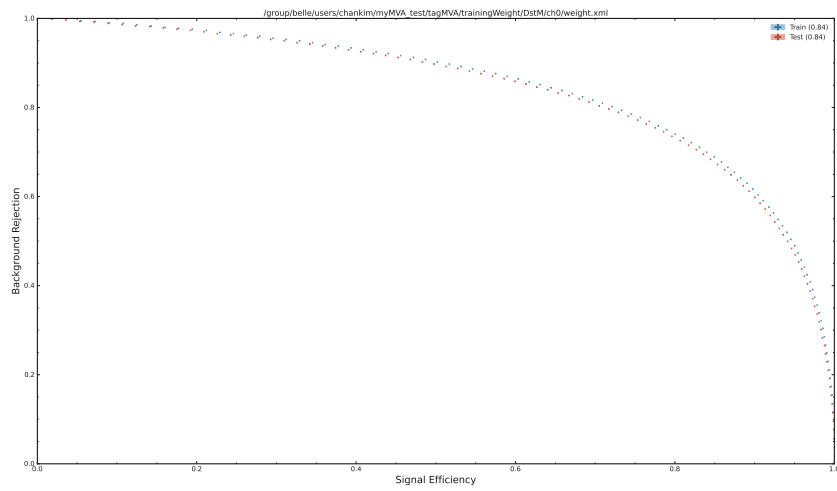


Figure 191: ROC Curve

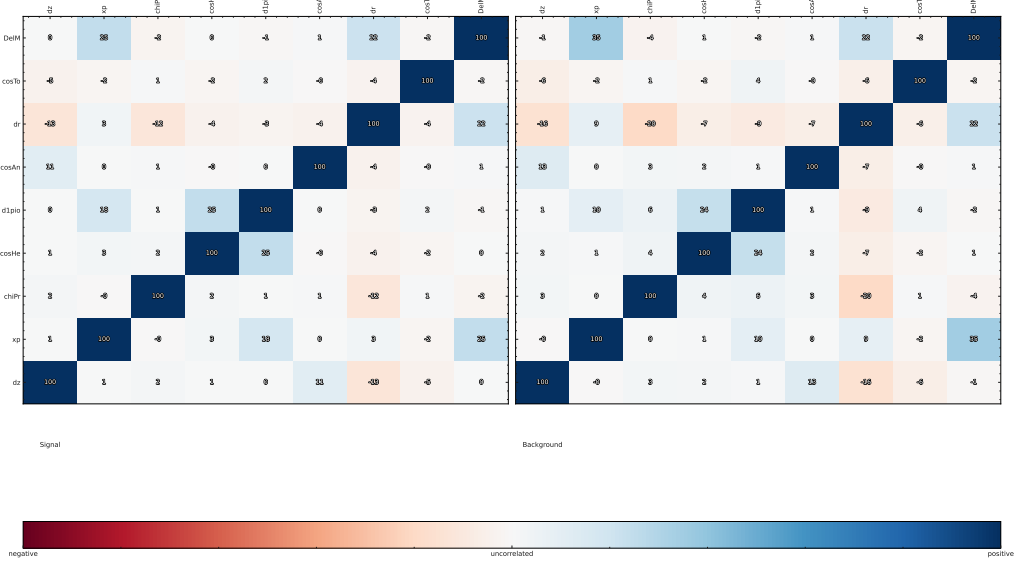


Figure 192: Correlation plot

430 **A.1.53**  $D^{*+} \rightarrow D^+ \pi^0$

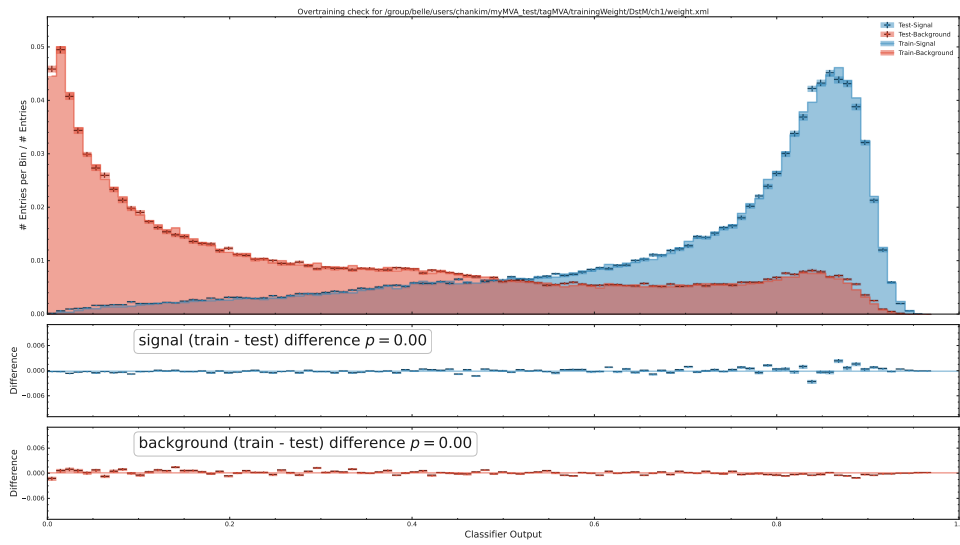


Figure 193: BDT output



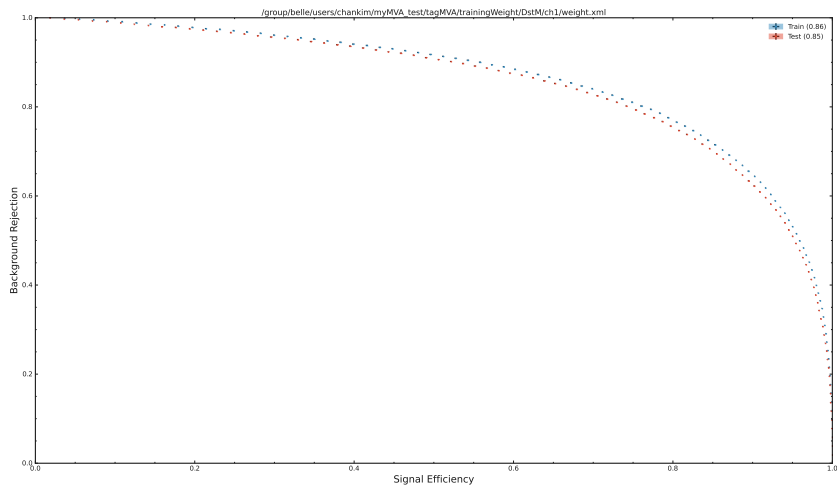


Figure 194: ROC Curve

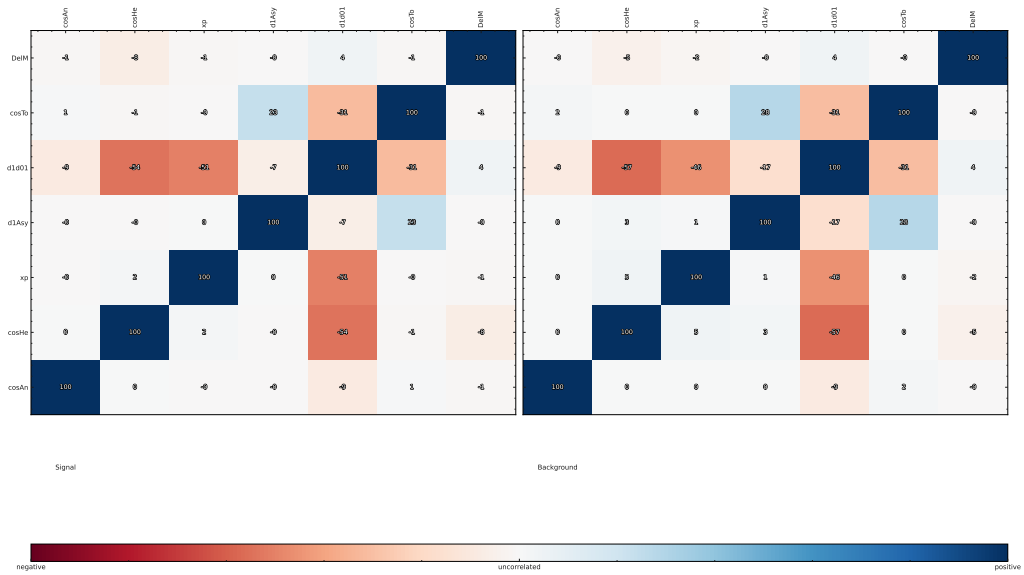


Figure 195: Correlation plot

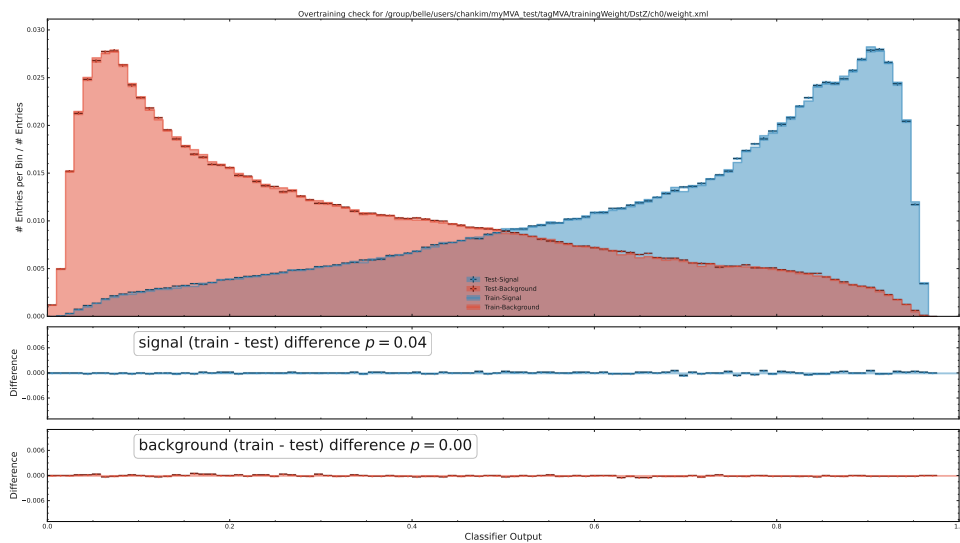


Figure 196: BDT output

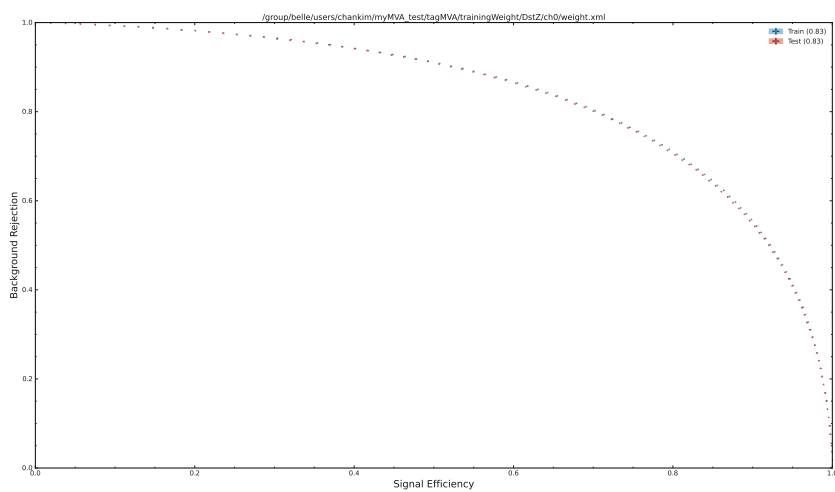


Figure 197: ROC Curve

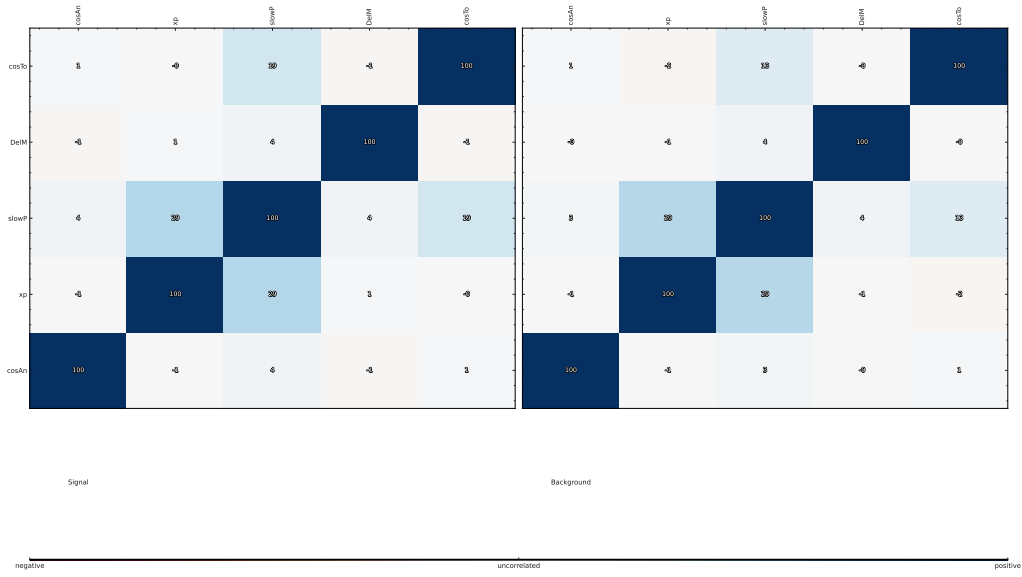


Figure 198: Correlation plot

432 A.1.55  $D^{*0} \rightarrow D^0 \pi^0$

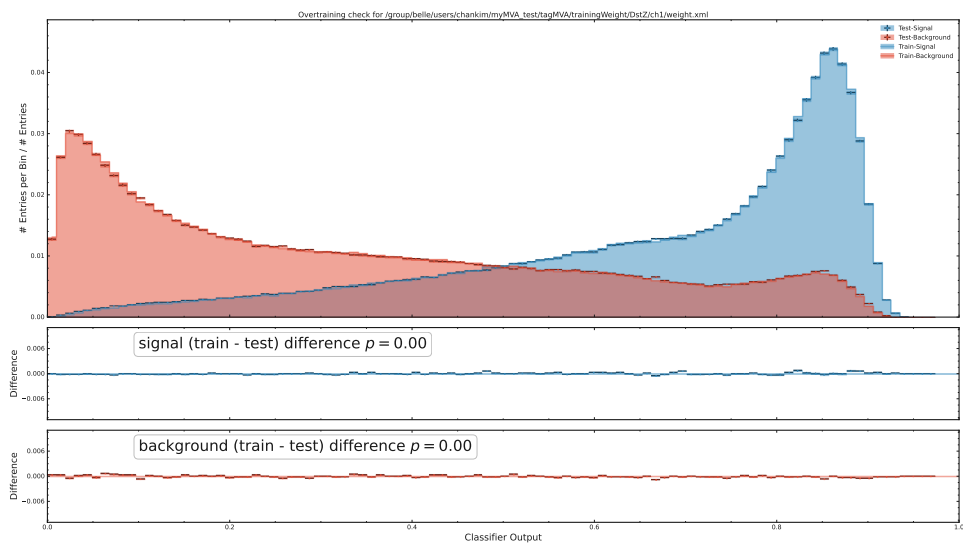


Figure 199: BDT output

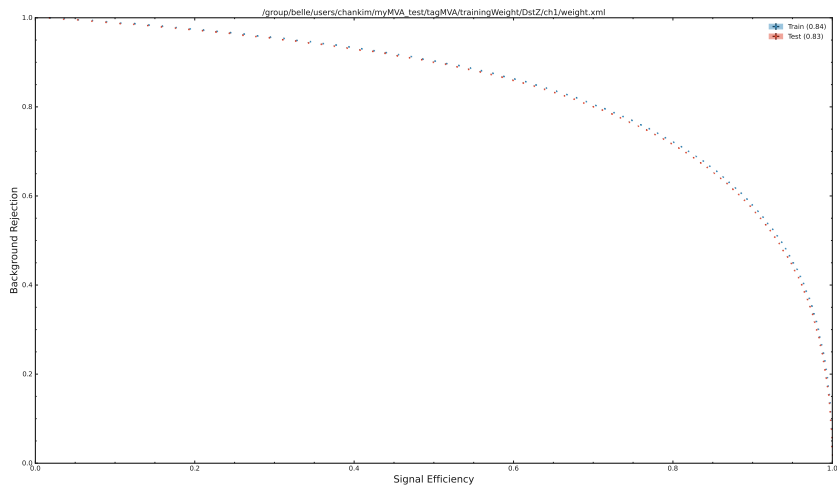


Figure 200: ROC Curve

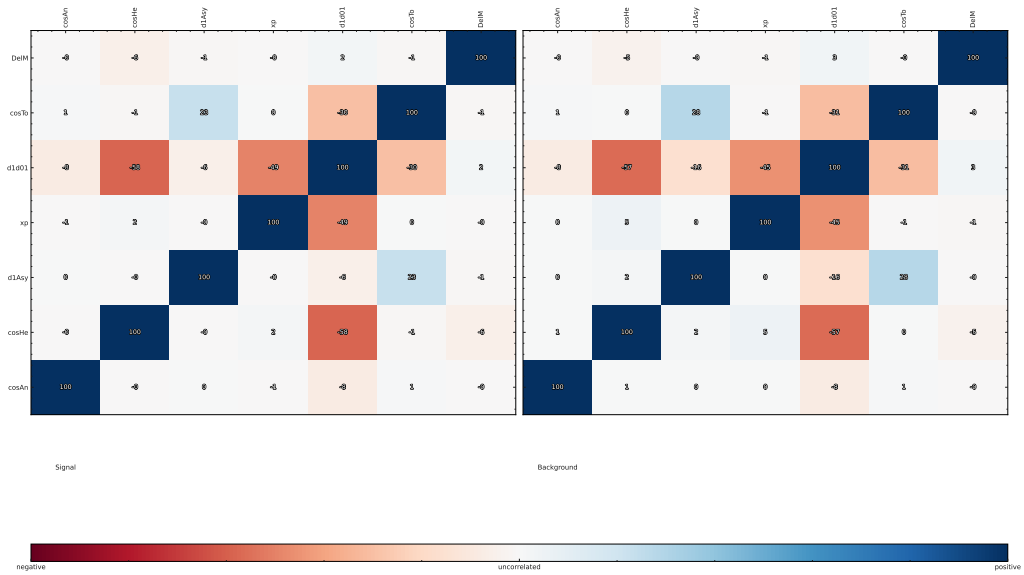


Figure 201: Correlation plot

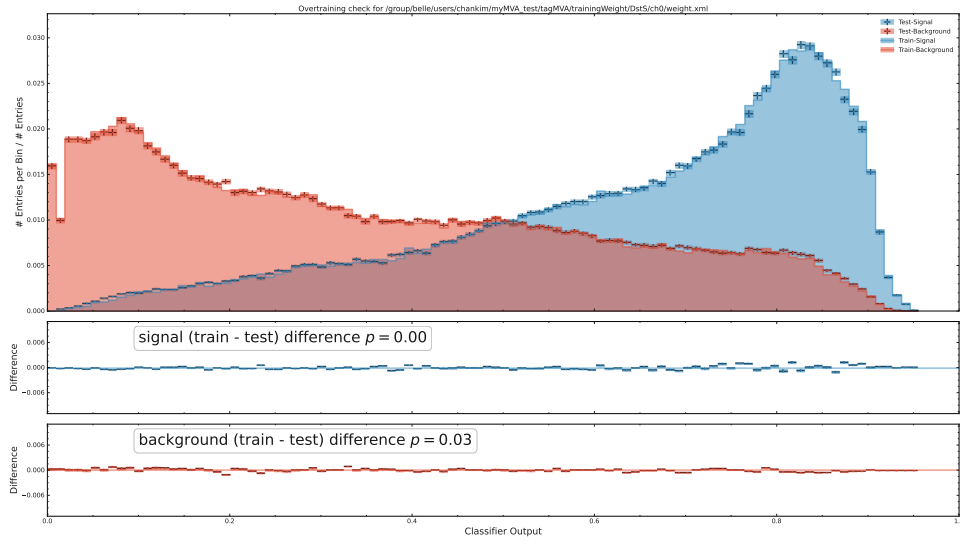


Figure 202: BDT output

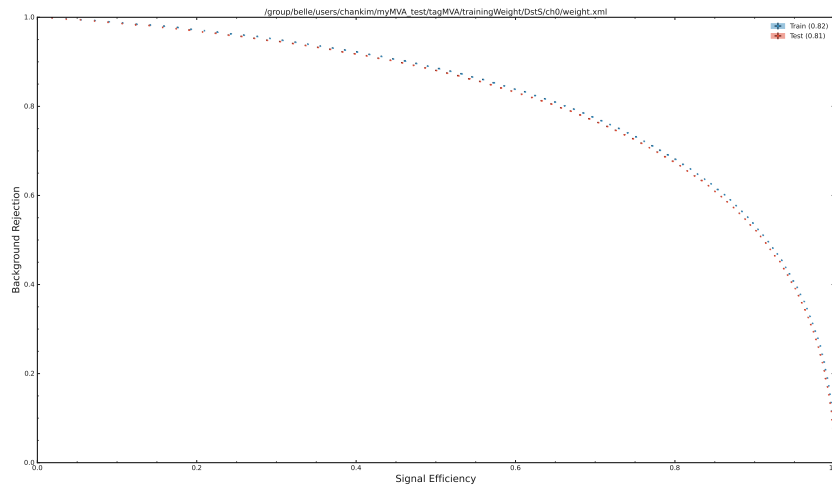


Figure 203: ROC Curve

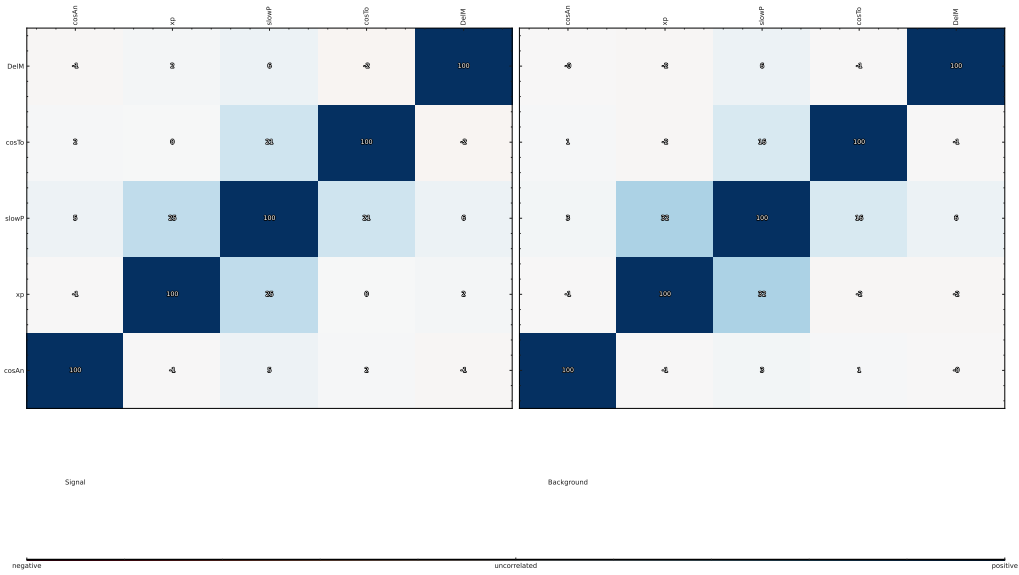


Figure 204: Correlation plot

## 434 B.0 Second Appendix

### 435 References

436 recommended references are

- 437 • Belle II physics: [1]
- 438 • B factories: [2]
- 439 • Belle: [3]
- 440 • CKM matrix: [4]
- 441 • Belle II detector: [5]
- 442 • SuperKEKB: [6]
- 443 • EVTGEN: [7]
- 444 • PYTHIA8: [8]
- 445 • KKMC: [9]
- 446 • TAUOLA: [10]
- 447 • PHOTOS: [11]
- 448 • GEANT4: [12]
- 449 • basf2: [13]

450 • FEI: [14]

451 • PDG: [15]

452 • HFLAV: [16]

453 Some other standard references are available in `references.bib`. To add your own ref-  
454 erences to the bibtex file use the command `./addref` with the arXiv number or inspire  
455 ID as argument. The added entries are might still need some editing:

456 • check that Latex symbols in the titles are properly rendered (use Belle II symbols  
457 whenever possible);

458 • page ranges should in many cases be shortened to just having the start page;

459 • collaborations might need to be added or indeed just the word “collaboration” put  
460 after them;

461 • for errata and/or addenda use the fields `extraPrefix`, `extraVolume`, `extraPages`,  
462 `extraYear`, and `extraDoi`, as done for example in Ref. [1].

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496 [arXiv:1909.12524](#), updated results and plots available at  
497 <https://hflav.web.cern.ch/>.



498 **Additional Material**

499 This sections contains all figures and numbers that are requested to be approved for public  
500 presentation.